

EXHIBIT D

DISPUTED CLAIMS

SECTION I: Claims Determined Not to Have a Recognized Claim		
A. Claimant Sold All Luckin ADSs for a Gain (Settlement Notice App. A ¶ 10)ⁱ		
Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
1	Maria-Teresa De La Rosa Roth Contributory IRA (16602)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant sold all eligible Luckin ADSs they purchased during the Class Period for a gain.</i></p> <p><u>Detailed Explanation:</u> Because all shares were sold for a gain during the Class Period, the Recognized Loss Amount is \$0.00. See Settlement Notice App. A ¶ 10. Specifically, for Exchange Act Loss Amounts, “the purchase price <i>minus</i> the sale price” (see Settlement Notice App. A ¶ 7(b)) is a negative number. Similarly, the Securities Act Loss Amount is a negative number as the calculation for these shares is “the purchase price per share (not to exceed \$17.00) <i>minus</i> the sale price per share” (see Settlement Notice App. A ¶ 8(a)). Pursuant to ¶ 10 of Appendix A of the Settlement Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero.</p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The response the Claimant provided did not reflect or support any additional transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone twice and left a voicemail to further explain the reason for the rejection. The Claimant did not take or return Epiq’s calls. The 65 Luckin ADSs that the Claimant provided for a separate account on a different claim number (Claim 22970) are eligible to participate in the Settlement.</p>

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total (excluding commission)</u>	<u>Settlement Notice Reference</u>
11/13/2019	Purchase	92	\$21.25	\$1,955.00	¶ 7(b), 8(a)
1/31/2020	Sale	92	\$27.465	\$2,526.78	¶ 7(b), 8(a)
10/20/2021	Unsold Holdings	0			

B. All Luckin ADSs Sold Prior to January 31, 2020 (Settlement Notice App. A ¶ 7(a)), and Sales Price Greater Than \$17.00 (Settlement Notice App. ¶ 8(a))

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
2	Andrew Shin (9084)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant sold all eligible Luckin ADSs prior to the first corrective disclosure date (Exchange Act), and all eligible Luckin ADSs were sold at a price greater than \$17.00 per share (Securities Act).</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were “sold before 11:00 a.m. Eastern time on January 31, 2020, the Exchange Act Loss Amount is zero.” Settlement Notice App. A ¶ 7(a). The Securities Act Loss Amount for the shares is also \$0.00 as “the purchase price per share (not to exceed \$17.00) <i>minus</i> the sale price” (see Settlement Notice App. A ¶ 8(a)) results in a negative number. Pursuant to ¶ 10 of Appendix A of the Settlement Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible</p>

transactions beyond those contained in the original submission. Epiq contacted the Claimant twice by telephone and left a voicemail to further explain the reason for ineligibility but was unable to make contact with the Claimant.

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total (excluding commission)</u>	<u>Settlement Notice Reference</u>
5/17/2019	Purchase	1,480	\$23.593	\$34,917.64	¶¶ 7(a), 8(a)
5/21/2019	Sale	1,480	\$17.982	\$26,613.36	¶¶ 7(a), 8(a)
10/20/2021	Unsold Holdings	0			

3 Loren Wayne Giles (20190)

Reason for Rejection: No Recognized Claim.

The Claimant sold all eligible Luckin ADSs prior to the first corrective disclosure date (Exchange Act), and all eligible Luckin ADSs were sold at a price greater than \$17.00 per share (Securities Act).

Detailed Explanation:

Because all shares purchased during the Class Period were “sold before 11:00 a.m. Eastern time on January 31, 2020, the **Exchange Act Loss Amount** is zero.” Settlement Notice App. A ¶ 7(a).

The **Securities Act Loss Amount** for the shares is also \$0.00 as “the purchase price per share (not to exceed \$17.00) *minus* the sale price” (see Settlement Notice App. A ¶ 8(a)) results in a negative number. Pursuant to ¶ 10 of Appendix A of the Settlement Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero.

In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant twice

by telephone to further explain the reason for ineligibility, and the Claimant confirmed they wanted to maintain their request for Court review.

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Settlement Notice Reference</u>
7/23/2019	Purchase	2,900	\$22.39	\$64,931.00	¶ 7(a), 8(a)
8/2/2019	Purchase	8,300	\$24.50	\$203,350.00	¶ 7(a), 8(a)
8/14/2019	Sale	110	\$21.22	\$2,334.20	¶ 7(a), 8(a)
8/14/2019	Sale	3,400	\$21.20	\$72,080.00	¶ 7(a), 8(a)
8/14/2019	Sale	2,196	\$21.12	\$46,379.52	¶ 7(a), 8(a)
8/14/2019	Sale	2,777	\$21.24	\$58,983.48	¶ 7(a), 8(a)
8/14/2019	Sale	2,702	\$21.18	\$57,228.36	¶ 7(a), 8(a)
8/14/2019	Sale	10	\$21.21	\$212.10	¶ 7(a), 8(a)
8/14/2019	Sale	5	\$21.19	\$105.95	¶ 7(a), 8(a)
10/20/2021	Unsold Holdings	0			

Loren W Giles
IRA
(20194)

Reason for Rejection: No Recognized Claim.

The Claimant sold all eligible Luckin ADSs prior to the first corrective disclosure date (Exchange Act), and all eligible Luckin ADSs were sold at a price greater than \$17.00 per share (Securities Act).

Detailed Explanation:

Because all shares purchased during the Class Period were “sold before 11:00 a.m. Eastern time on January 31, 2020, the **Exchange Act Loss Amount** is zero.” Settlement Notice App. A ¶ 7(a).

The **Securities Act Loss Amount** for the shares is also \$0.00 as “the purchase price per share (not to exceed \$17.00) *minus* the sale price” (see Settlement Notice App. A ¶ 8(a)) results in a negative number. Pursuant to

¶ 10 of Appendix A of the Settlement Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero.

In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant twice by telephone to further explain the reason for ineligibility, and the Claimant confirmed they wanted to maintain their request for Court review.

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Settlement Notice Reference</u>
8/2/2019	Purchase	485	\$24.815	\$12,035.275	¶ 7(a), 8(a)
8/05/2019	Sale	485	\$23.103	\$11,204.955	¶ 7(a), 8(a)
10/20/2021	Unsold Holdings	0			

**C. All Luckin ADSs Purchased and Sold Within Same Disclosure Period
(Settlement Notice App. A ¶ 7)**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
5	Richard Wallace (319)	<u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased and sold all eligible Luckin ADSs within the same disclosure period.</i>

Detailed Explanation:

Because all shares purchased during the Class Period were purchased and sold within the same disclosure period; i.e., where the artificial inflation was the same on the date(s) of purchase and sale, the Recognized Loss Amount is \$0.00. For these trades, the Exchange Act Loss Amount per ADS is calculated utilizing the amount of artificial inflation per ADS on the date of purchase minus the amount of artificial inflation per ADS on the date of sale found in Table A of the Settlement Notice. Settlement Notice App. A ¶ 7(b). For this Claimant's trades, the result of this calculation is \$1.33 minus \$1.33 totaling \$0.00 per ADS resulting in a Recognized Loss Amount of \$0.00. Settlement Notice App. A ¶ 7(b), Table A.

In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant twice by telephone and left a voicemail to further explain the reason for ineligibility but was unable to make contact with the Claimant.

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Settlement Notice Reference</u>
5/26/2020	Purchase	239	\$2.22	\$530.58	¶ 7(b)
6/4/2020	Purchase	145	\$4.11	\$595.95	¶ 7(b)
6/8/2020	Purchase	256	\$5.08	\$1,300.48	¶ 7(b)
6/8/2020	Sale	384	\$4.97	\$1,908.48	¶ 7(b)
6/8/2020	Sale	256	\$4.25	\$1,088.00	¶ 7(b)
10/20/2021	Unsold Holdings	0			

Ronald Burton
(18435)

Reason for Rejection: No Recognized Claim.

The Claimant purchased and sold all eligible Luckin ADSs within the same disclosure period.

Detailed Explanation:

Because all shares purchased during the Class Period were purchased and sold within the same disclosure period; i.e., where the artificial inflation was the same on the date(s) of purchase and sale, the Recognized Loss Amount is \$0.00. For these trades, the Exchange Act Loss Amount per ADS is calculated utilizing the amount of artificial inflation per ADS on the date of purchase minus the amount of artificial inflation per ADS on the date of sale found in Table A of the Settlement Notice. Settlement Notice App. A ¶ 7(b). For this Claimant's trades, the result of this calculation is \$1.33 minus \$1.33 totaling \$0.00 per ADS resulting in a Recognized Loss Amount of \$0.00.

In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant twice by telephone to further explain the reason for ineligibility but was unable to make contact with the Claimant.

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total (excluding commission)</u>	<u>Settlement Notice Reference</u>
5/20/2020	Purchase	7,000	\$3.05	\$21,350	¶ 7(b)
5/20/2020	Purchase	7,000	\$3.12	\$21,840	¶ 7(b)
5/21/2020	Purchase	4,000	\$2.60	\$10,400	¶ 7(b)
5/21/2020	Purchase	4,000	\$2.32	\$9,280	¶ 7(b)
5/20/2020	Sale	7,000	\$3.28	\$22,960	¶ 7(b)
5/20/2020	Sale	7,000	\$2.93	\$20,510	¶ 7(b)
5/22/2020	Sale	8,000	\$1.35	\$10,800	¶ 7(b)
10/20/2021	Unsold Holdings	0			

7	Sonal Patel (21803)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased and sold all eligible Luckin ADSs within the same disclosure period.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased and sold within the same disclosure period; i.e., where the artificial inflation was the same on the date(s) of purchase and sale, the Recognized Loss Amount is \$0.00. For these trades, the Exchange Act Loss Amount per ADS is calculated utilizing the amount of artificial inflation per ADS on the date of purchase minus the amount of artificial inflation per ADS on the date of sale found in Table A of the Settlement Notice. Settlement Notice App. A ¶ 7(b). For this Claimant’s trades, the result of this calculation is \$25.96 minus \$25.96 totaling \$0.00 per ADS resulting in a Recognized Loss Amount of \$0.00.</p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant twice by telephone and left a voicemail to further explain the reason for ineligibility but was unable to make contact with the Claimant.</p> <p><u>Transactional History:</u></p>
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<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total (excluding commission)</u>	<u>Settlement Notice Reference</u>
2/28/2020	Purchase	1,000	\$37.445	\$37,445.00	¶ 7(b)
3/19/2020	Purchase	200	\$26.472	\$5,294.40	¶ 7(b)
3/19/2020	Purchase	1,331	\$24.285	\$32,323.34	¶ 7(b)
3/31/2020	Sale	2,000	\$26.69	\$53,380.00	¶ 7(b)
3/31/2020	Sale	531	\$27.21	\$14,448.51	¶ 7(b)
10/20/2021	Unsold Holdings	0			

8	Samantha Chui Shan Ku (11979)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased and sold all eligible Luckin ADSs within the same disclosure period.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased and sold within the same disclosure period; i.e., where the artificial inflation was the same on the date(s) of purchase and sale, the Recognized Loss Amount is \$0.00. For these trades, the Exchange Act Loss Amount per ADS is calculated utilizing the amount of artificial inflation per ADS on the date of purchase minus the amount of artificial inflation per ADS on the date of sale found in Table A of the Settlement Notice. Settlement Notice App. A ¶ 7(b). For this Claimant’s trades, the result of this calculation is \$1.33 minus \$1.33 totaling \$0.00 per ADS resulting in a Recognized Loss Amount of \$0.00.</p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant twice by telephone and left a voicemail to further explain the reason for ineligibility but was unable to make contact with the Claimant.</p> <p><u>Transactional History:</u></p> <table border="1" data-bbox="998 315 1258 1480"> <thead> <tr> <th><u>Date of Transaction</u></th> <th><u>Transaction Type</u></th> <th><u>Number of Shares</u></th> <th><u>Price per Share</u></th> <th><u>Total (excluding commission)</u></th> <th><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td>5/20/2020</td> <td>Purchase</td> <td>2,000</td> <td>\$2.89</td> <td>\$5,780</td> <td>¶ 7(b)</td> </tr> <tr> <td>5/21/2020</td> <td>Sale</td> <td>2,000</td> <td>\$2.127</td> <td>\$4,254</td> <td>¶ 7(b)</td> </tr> <tr> <td>10/20/2021</td> <td>Unsold Holdings</td> <td>0</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total (excluding commission)</u>	<u>Notice Reference</u>	5/20/2020	Purchase	2,000	\$2.89	\$5,780	¶ 7(b)	5/21/2020	Sale	2,000	\$2.127	\$4,254	¶ 7(b)	10/20/2021	Unsold Holdings	0			
<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total (excluding commission)</u>	<u>Notice Reference</u>																					
5/20/2020	Purchase	2,000	\$2.89	\$5,780	¶ 7(b)																					
5/21/2020	Sale	2,000	\$2.127	\$4,254	¶ 7(b)																					
10/20/2021	Unsold Holdings	0																								

SECTION II: Claims with No Eligible Class Period Purchases of Luckin ADSs		
Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
9	Lou L Lai Contributory IRA (7878)	<p><u>Reason for Rejection:</u> Ineligible. <i>The Claimant did not purchase or otherwise acquire Luckin ADSs during the Class Period.</i></p> <p><u>Detailed Explanation:</u> The Claim submitted by the Claimant reflects that the Claimant did not purchase Luckin ADSs during the Class Period but actually purchased option contracts on Luckin ADSs. As stated in the Settlement Notice, “Option contracts or any other derivative securities are not eligible to participate in the Settlement.” Settlement Notice App. A ¶ 17. Because the Claim does not indicate any Luckin ADSs purchased during the Class Period, the Claimant was sent a Notice of Deficient Claim Submission indicating this deficiency.</p> <p>In response to the Notice of Deficient Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone two times to explain the reason for the rejection. After discussing with the Claimant, the Claimant wanted to maintain their request for Court review.</p>

SECTION III: Claims with Inadequate Documentation to Support Their Trades of Luckin ADSS		
Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
10	William Abbate (17888)	<p><u>Reason for Rejection:</u> Inadequate Supporting Documentation Provided to Support the Trades Claimed <i>The Claimant provided information regarding Class Period purchases/acquisitions, but none of the documentation provided was deemed adequate.</i></p> <p><u>Detailed Explanation:</u> The Claim submitted by the Claimant reflects that the Claimant purchased Luckin ADSS during the Class Period, but the documentation provided was purportedly from a defunct hedge fund, unregistered with the SEC. Because the Claim did not provide adequate supporting documentation of the trades claimed during the Class Period, the Claimant was sent a Notice of Deficient Claim Submission indicating this deficiency.</p> <p>The documentation the Claimant submitted in response to the Notice of Deficient Claim Submission did not reflect or support any eligible transactions beyond those contained in the original submission. The Claimant submitted a letter purportedly from the CFO of the defunct and unregistered hedge fund noted above. A second notarized letter from the same hedge fund was later provided attempting to explain the legitimacy of the trades the Claimant provided.</p> <p>Given the inadequacy of the documentation and in an attempt to resolve the issue, Epiq contacted the Claimant via email to request their permission to discuss directly with the purported broker (E*TRADE) to confirm the trades. The Claimant then requested Court review.</p>

ⁱ Exchange Act Loss Amounts

7. For each Luckin ADS purchased or otherwise acquired during the period from May 17, 2019 through July 15, 2020, inclusive (including ADSs purchased in Luckin's May 17, 2019 Initial Public Offering or its January 10, 2020 Secondary Public Offering, or on the secondary market from May 17, 2019 through July 15, 2020), and:

- (a) sold before 11:00 a.m. Eastern time on January 31, 2020, the Exchange Act Loss Amount is zero;
- (b) sold from 11:00 a.m. Eastern time on January 31, 2020 through the close of trading on July 15, 2020, the Exchange Act Loss Amount is the lesser of: (i) the amount of artificial inflation per ADS on the date of purchase/acquisition as stated in Table A minus the amount of artificial inflation per ADS on the date of sale as stated in Table A; or (ii) the purchase price minus the sale price;
- (c) sold from July 16, 2020 through the close of trading on October 13, 2020, the Exchange Act Loss Amount is equal to the least of: (i) the amount of artificial inflation per ADS on the date of purchase/acquisition as stated in Table A; (ii) the purchase price minus the sale price; or (iii) the purchase price minus the average closing price between July 16, 2020 and the date of sale as stated in Table B;
- (d) held as of the close of trading on October 13, 2020, the Exchange Act Loss Amount is equal to the lesser of: (i) the amount of artificial inflation per ADS on the date of purchase/acquisition as stated in Table A; or (ii) the purchase price minus \$2.75. (footnotes omitted)

Securities Act Loss Amounts

8. Purchases of Luckin ADSs In or Traceable to the May 17, 2019 Initial Public Offering ("IPO"): For each Luckin ADS either (a) purchased directly in the May 17, 2019 Initial Public Offering, (b) purchased in the open market from May 20, 2019 through January 9, 2020, inclusive, or (c) purchased in the open market from January 10, 2020 through July 15, 2020, inclusive and for which the Claimant provides records establishing that those specific shares were originally issued in the IPO, and:

- (a) sold before the close of trading on April 2, 2020, the Securities Act Loss Amount is the purchase price per share (not to exceed \$17.00) minus the sale price per share;
- (b) sold after the close of trading on April 2, 2020 but before the close of trading on October 20, 2021, the Securities Act Loss Amount is the purchase price per share (not to exceed \$17.00) minus the greater of: (i) the sale price per share or (ii) \$1.38 (the value of Luckin ADSs on April 2, 2020, the date the lawsuit was filed, based on the closing price that day less the remaining artificial inflation in the shares);
- (c) held as of the close of trading on October 20, 2021, the Securities Act Loss Amount is the purchase price per share (not to exceed \$17.00) minus \$1.38.

* * * *

10. As noted above, for each purchase or acquisition of a Luckin ADS during the Class Period, a Recognized Loss Amount will be calculated which is the greater of: the Exchange Act Loss Amount, if any, or the Securities Act Loss Amount, if any. If a Recognized Loss Amount calculates to a negative number, the Recognized Loss Amount for that transaction will be zero.

MARIA-TERESA DE LA
ROSA ROTH
CONTRIBUTORY IRA
(16602)

In re Luckin Coffee Inc. Securities Litigation
Toll-Free Number: 1-855-535-1824
Email: info@LuckinCoffeeSecuritiesLitigation.com
Website: www.LuckinCoffeeSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a payment from the Settlement, you must complete and sign this Claim Form and mail it by first-class mail to the address below, or submit it online at www.LuckinCoffeeSecuritiesLitigation.com, with supporting documentation, **postmarked (or received) no later than March 15, 2022.**

Mail to:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Failure to submit your Claim Form by the date specified will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Submit your Claim Form only to the Claims Administrator at the address set forth above.

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DATE PREPARED

MAR 15 2022 10:00 AM

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name MI Last Name
 MARIA-TERESA DE LA ROSA IAA

Joint Beneficial Owner's Name (if applicable)

First Name MI Last Name

If this claim is submitted for an IRA, and if you would like any check that you **MAY** be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.
2. This Claim Form is directed to **all persons and entities (and their beneficiaries) who purchased or otherwise acquired the American Depository Shares ("ADSs") of Luckin Coffee Inc. ("Luckin") during the Class Period (from May 17, 2019 through July 15, 2020, inclusive) (the "Class")**. Included in the Class are all persons and entities who purchased Luckin ADSs on the open market and/or in or traceable to the May 17, 2019 Initial Public Offering ("IPO") and January 10, 2020 Secondary Public Offering ("SPO") during the Class Period. Certain persons and entities are excluded from the Class by definition as set forth in ¶ 25 of the Settlement Notice.
3. By submitting this Claim Form, you will be making a request to receive a payment from the Settlement described in the Settlement Notice. **IF YOU ARE NOT A CLASS MEMBER (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**
4. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement, if it is approved. The distribution of the payments to eligible purchasers of Luckin ADSs will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**
5. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in, and holdings of, Luckin ADSs. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Luckin ADSs (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**
6. **Please note:** Only Luckin ADSs purchased or acquired from May 17, 2019 through July 15, 2020, inclusive, are eligible for payment under the Settlement. However, sales of Luckin ADSs during the period from July 16, 2020 through and including the close of trading on October 20, 2021, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase/acquisition and sale/disposition information during this period must also be provided.
7. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Luckin ADSs as set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Luckin ADSs. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**
8. **Traceability of Luckin ADSs to Public Offerings in the Class Period.** Public offerings of Luckin ADSs occurred during the Class Period on or about (i) May 17, 2019 (the IPO); and (ii) January 10, 2020 (the SPO). Claimants who purchased Luckin ADSs directly in one or both of the offerings, or who purchased shares "traceable" to one or both of the offerings (as opposed to generally on the open market) may be entitled to additional compensation under the Plan of Allocation. All Luckin ADSs purchased from May 17, 2019 through January 9, 2020 are assumed to be traceable to the IPO. However, if you purchased Luckin ADSs from January 10, 2020 through July 15, 2020 that were not purchased directly in the SPO but that you believe are specifically traceable to Luckin ADSs that were issued in the IPO or SPO, you must submit documentation with your Claim Form showing that the specific ADSs you purchased were shares issued in the IPO or SPO.
9. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Luckin ADSs. The complete name(s) of the beneficial owner(s) must be entered. If you held the Luckin ADSs in your own name, you were the beneficial owner as well as the record owner. If, however, your Luckin ADSs were registered in the name of a third party, such as a nominee or brokerage firm, you were the beneficial owner of the ADSs, but the third party was the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

10. **One Claim should be submitted for each separate legal entity or separately managed account.** Separate Claim Forms should be submitted for each separate legal entity (*e.g.*, an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Luckin ADSs made on behalf of a single beneficial owner.

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name; account number; last four digits of the Social Security Number, taxpayer identification number, or comparable identification number for non-U.S. claimants; address; and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Luckin ADSs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the Luckin ADSs you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. Payments to eligible Authorized Claimants pursuant to the Plan of Allocation will be made after final approval of the Settlement and any appeals from such approval, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the funds available under the Settlement. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form, you may contact the Claims Administrator, Epiq Class Action & Claims Solutions, Inc., at the address on the first page of this Claim Form, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you can visit the website, www.LuckinCoffeeSecuritiesLitigation.com, where copies of the Claim Form and other relevant documents are available for downloading.

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.LuckinCoffeeSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** The *complete* name of the beneficial owner of the securities must be entered where called for (*see* ¶ 9 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-855-535-1824.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
11 13 19	92	21.25	1955.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
02 05 20	65	37.14	2414.10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

0 ZERO . 00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

**IF NONE,
CHECK
HERE**

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
01 31 20	92	27.47	2526.78	<input checked="" type="checkbox"/>
05 20 20	65	2.81	182.42	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

0 ZERO . 00

**Confirm
Proof of
Position
Enclosed**

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART IV - RELEASE OF CLAIMS AND SIGNATURE**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.**

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)) heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Maria Louisa de La Rosa

Signature of claimant

Date: 02 - 03 - 2022
MM DD YYYY

MAAIA-TERESA DE LA ROSA

Print claimant name here

Signature of joint claimant, if here

Date: - - -
MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date: - - -
MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶ 11 on page 4 of this Claim Form.)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

REMINDER CHECKLIST

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-855-535-1824.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you may visit www.LuckinCoffeeSecuritiesLitigation.com.

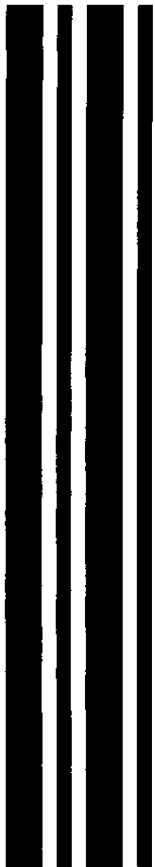
This Claim Form must be mailed to the Claims Administrator by first-class mail or submitted online at www.LuckinCoffeeSecuritiesLitigation.com, postmarked (or received) no later than March 15, 2022. If mailed, the Claim Form should be addressed as follows:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before March 15, 2022 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824



Attachments

Patch Code 3



Subject Schwab eConfirms account ending in [REDACTED]
From Schwab Alerts <donotreply-comm@schwab.com>
To: [REDACTED]
Date Nov 14, 2019 at 12:56 AM



Electronic Trade Confirmation

November 14, 2019 | your account ending: [REDACTED]

This email contains your trade confirmations for 11/13/2019.

Please log in to the Schwab web site to view your confirmations at www.schwab.com/reports.

Symbol: LK Security Description: LUCKIN COFFEE INC ADR
 Action: BOUGHT
 Security No./CUSIP: 54951L-10-9
 Type: Cash
 Trade Date: 11/13/19
 Settle Date: 11/15/19

Quantity	Price	Principal	Charges and/or Interest	Total Amount
92	\$21.25	\$1,955.00	N/A	\$1,955.00

Additional information for this security:
 - Schwab acted as your agent.

If you have questions about this report, please contact Schwab at 800-435-4000.

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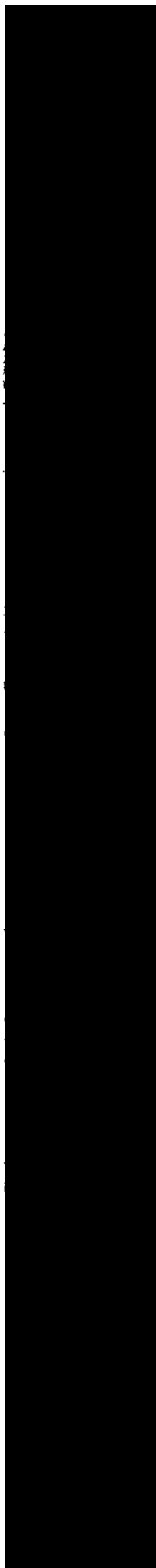
Roth Contributory IRA of
 MARIA-TERESA DE LA ROSA
 CHARLES SCHWAB & CO INC CUST
 ROTH CONTRIBUTORY IRA

Account Number [REDACTED]
 Statement Period
 November 1-30, 2019

Investment Detail - Other Assets

Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
Cost Basis						

Other Assets



Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Total Investment Detail
 Total Account Value [REDACTED]
 Total Cost Basis [REDACTED]

Transaction Detail - Purchases & Sales

Equities Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
11/15/19	11/13/19	Bought	LUCKIN COFFEE INC F UNSPONSORED ADR: LK	92.0000	21.2500	(1,955.00)
Total Equities Activity						(1,955.00)



Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Subject Schwab eConfirms account ending in [REDACTED]
From Schwab Alerts <donotreply-comm@schwab.com>
To: [REDACTED]
Date Feb 6, 2020 at 12:16 AM



Electronic Trade Confirmation

February 06, 2020 | your account ending: [REDACTED]

This email contains your trade confirmations for 02/05/2020.

Please log in to the Schwab web site to view your confirmations at www.schwab.com/reports.

Symbol: LK
 Security Description: LUCKIN COFFEE INC ADR
 Action: BOUGHT
 Security No./CUSIP: 54951L-10-9
 Type: Cash
 Trade Date: 02/05/20
 Settle Date: 02/07/20

Quantity	Price	Principal	Charges and/or Interest	Total Amount
65	\$37.14	\$2,414.10	N/A	\$2,414.10

Additional information for this security:
 - Schwab acted as your agent.

If you have questions about this report, please contact Schwab at 800-435-4000.

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Rollover IRA of
MARIA-TERESA DE LA ROSA
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number [REDACTED]
 Statement Period
February 1-29, 2020



Gain or (Loss) on Investments Sold (continued)

Investments (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
-------------------------	--------------	---------------------	-----------------	----------------	------------	----------------

[REDACTED]

Total Gain or (Loss) on Investments Sold
 Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.
 Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Equities Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
-------------	------------	-------------	-------------	----------	------------	--------------

02/07/20	02/05/20	Bought	LUCKIN COFFEE INC F	65.0000	37.1400	(2,414.10)
			UNSPONSORED ADR: LK			

[REDACTED]

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Subject Schwab eConfirms account ending in [REDACTED]
From Schwab Alerts <donotreply-comm@schwab.com>
To: [REDACTED]
Date Feb 1, 2020 at 12:55 AM



Electronic Trade Confirmation

February 01, 2020 | your account ending: [REDACTED]



This email contains your trade confirmations for 01/31/2020.

Please log in to the Schwab web site to view your confirmations at www.schwab.com/reports.

Symbol: LK
 Security Description: LUCKIN COFFEE INC ADR
 Action: SOLD
 Security No./CUSIP: 54951L-10-9
 Type: Cash
 Trade Date: 01/31/20
 Settle Date: 02/04/20

Quantity	Price	Principal	Charges and/or Interest	Total Amount
92	\$27.465	\$2,526.78	Exch Process Fee: \$0.05	\$2,528.73

Additional information for this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

If you have questions about this report, please contact Schwab at 800-435-4000.

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Roth Contributory IRA of
MARIA-TERESA DE LA ROSA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number [REDACTED]

Statement Period
February 1-29, 2020



Gain or (Loss) on Investments Sold

Investments	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
LUCKIN COFFEE INC FUNSPONSORED ADR 1 ADR REPS: LK	92.0000	11/13/19	01/31/20	2,526.73	1,955.00	571.73
Total Gain or (Loss) on Investments Sold				2,526.73	1,955.00	571.73

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.
 Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Equities Activity	Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
	02/04/20	01/31/20	Sold	LUCKIN COFFEE INC F UNSPONSORED ADR: LK	(92.0000)	27.4650	2,526.73

[REDACTED]

Total Equities Activity

Total Purchases & Sales

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Subject Schwab eConfirms account ending in [REDACTED]
From Schwab Alerts <donotreply-comm@schwab.com>
To: [REDACTED]
Date May 21, 2020 at 12:22 AM



Electronic Trade Confirmation

May 21, 2020 | your account ending: [REDACTED]



This email contains your trade confirmations for 05/20/2020.

Please log in to the Schwab web site to view your confirmations at www.schwab.com/reports.

Symbol: LK Security Description: LUCKIN COFFEE INC ADR
 Action: SOLD
 Security No./CUSIP: 54951L-10-9
 Type: Cash
 Trade Date: 05/20/20
 Settle Date: 05/22/20

Quantity	Price	Principal	Charges and/or Interest	Total Amount
65	\$2.8064	\$182.42	N/A	\$182.42

Additional information for this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

If you have questions about this report, please contact Schwab at 800-435-4000.

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For your security, Charles Schwab will never request personal information through email.

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Charles Schwab & Co., Inc., 211 Main Street, San Francisco, CA 94105.

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 (1215-7383) ECRT

Rollover IRA of
MARIA-TERESA DE LA ROSA
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number [REDACTED]
 Statement Period
May 1-31, 2020



Gain or (Loss) on Investments Sold (continued)

Investments (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
LUCKIN COFFEE INC FUNSPONSORED ADR 1 ADR REPS: LK	65.0000	02/05/20	05/20/20	182.42	2,414.10	(2,231.68)

Total Gain or (Loss) on Investments Sold

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.
 Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Equities Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
05/22/20	05/20/20	Sold	LUCKIN COFFEE INC FUNSPONSORED ADR: LK	(65.0000)	2.8064	182.42
Total Equities Activity						
Total Purchases & Sales						

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

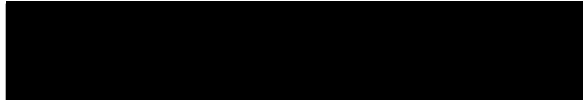


Document Received

Date:



Luckin



Subject Line of email (if applicable):



Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004710286

000 0002810 00000000 0001 0001 02810 INS: 0 0
MARIA-TERESA DE LA ROSA ROTH
CONTRIBUTORY IRA
CHARLES SCHWAB & CO INC CUST
[REDACTED]

Claim Number: 16602

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004710286

000 0002810 00000000 0001 0001 02810 INS: 0 0

MARIA-TERESA DE LA ROSA ROTH
CONTRIBUTORY IRA
CHARLES SCHWAB & CO INC CUST
[REDACTED]

Claim Number: 16602

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

May 2, 2022

RE: Maria Teresa De La Rosa IRA
Luckin Claim #16602

I respectfully request Court Review of the full or partial rejection of my claim. I have submitted all the necessary documentation previously.

As shown attached, I have suffered economic loss due to Luckin's fraud thus entitled to receive some form of settlement.

Thank you for your consideration.


Maria Teresa De La Rosa



Rollover IRA of
MARIA-TERESA DE LA ROSA
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number

Statement Period
 May 1-31, 2020

Gain or (Loss) on Investments Sold (continued)

Investments (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
LUCKIN COFFEE INC FUNSPONSORED ADR 1 ADR REPS: LK	65.0000	02/05/20	05/20/20	182.42	2,414.10	(2,231.68)

Total Gain or (Loss) on Investments Sold

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.
 Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Equities Activity

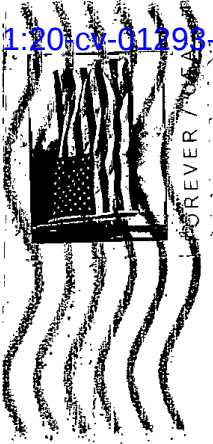
Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
05/22/20	05/20/20	Sold	LUCKIN COFFEE INC FUNSPONSORED ADR: LK	(65.0000)	2.8064	182.42

Total Equities Activity

Total Purchases & Sales

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Terri de la Rosa



3 MAY 2022 PM 3 L

IN RE LUCKIN COFFEE INC SECURITIES LITIGATION
c/o ELIQ CLASS ACTION & CLAIMS SOLUTIONS INC
P.O. Box 5887
PORTLAND, OR 97228-5887

97228-5887 97

Andrew Shin
(9084)

In re Luckin Coffee Inc. Securities Litigation
Toll-Free Number: 1-855-535-1824
Email: info@LuckinCoffeeSecuritiesLitigation.com
Website: www.LuckinCoffeeSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a payment from the Settlement, you must complete and sign this Claim Form and mail it by first-class mail to the address below, or submit it online at www.LuckinCoffeeSecuritiesLitigation.com, with supporting documentation, *postmarked (or received) no later than March 15, 2022.*

Mail to:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Failure to submit your Claim Form by the date specified will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Submit your Claim Form only to the Claims Administrator at the address set forth above.

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PART II – GENERAL INSTRUCTIONS	3-4
PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN AMERICAN DEPOSITORY SHARES	5
PART IV – RELEASE OF CLAIMS AND SIGNATURE	6-7

[Faint, illegible text, possibly a stamp or signature]

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

A N D R E W

MI

Last Name

S H I N

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.

2. This Claim Form is directed to **all persons and entities (and their beneficiaries) who purchased or otherwise acquired the American Depository Shares ("ADSs") of Luckin Coffee Inc. ("Luckin") during the Class Period (from May 17, 2019 through July 15, 2020, inclusive) (the "Class")**. Included in the Class are all persons and entities who purchased Luckin ADSs on the open market and/or in or traceable to the May 17, 2019 Initial Public Offering ("IPO") and January 10, 2020 Secondary Public Offering ("SPO") during the Class Period. Certain persons and entities are excluded from the Class by definition as set forth in ¶ 25 of the Settlement Notice.

3. By submitting this Claim Form, you will be making a request to receive a payment from the Settlement described in the Settlement Notice. **IF YOU ARE NOT A CLASS MEMBER (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**

4. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement, if it is approved. The distribution of the payments to eligible purchasers of Luckin ADSs will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

5. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in, and holdings of, Luckin ADSs. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Luckin ADSs (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

6. **Please note:** Only Luckin ADSs purchased or acquired from May 17, 2019 through July 15, 2020, inclusive, are eligible for payment under the Settlement. However, sales of Luckin ADSs during the period from July 16, 2020 through and including the close of trading on October 20, 2021, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase/acquisition and sale/disposition information during this period must also be provided.

7. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Luckin ADSs as set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Luckin ADSs. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

8. **Traceability of Luckin ADSs to Public Offerings in the Class Period.** Public offerings of Luckin ADSs occurred during the Class Period on or about (i) May 17, 2019 (the IPO); and (ii) January 10, 2020 (the SPO). Claimants who purchased Luckin ADSs directly in one or both of the offerings, or who purchased shares "traceable" to one or both of the offerings (as opposed to generally on the open market) may be entitled to additional compensation under the Plan of Allocation. All Luckin ADSs purchased from May 17, 2019 through January 9, 2020 are assumed to be traceable to the IPO. However, if you purchased Luckin ADSs from January 10, 2020 through July 15, 2020 that were not purchased directly in the SPO but that you believe are specifically traceable to Luckin ADSs that were issued in the IPO or SPO, you must submit documentation with your Claim Form showing that the specific ADSs you purchased were shares issued in the IPO or SPO.

9. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Luckin ADSs. The complete name(s) of the beneficial owner(s) must be entered. If you held the Luckin ADSs in your own name, you were the beneficial owner as well as the record owner. If, however, your Luckin ADSs were registered in the name of a third party, such as a nominee or brokerage firm, you were the beneficial owner of the ADSs, but the third party was the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

10. **One Claim should be submitted for each separate legal entity or separately managed account.** Separate Claim Forms should be submitted for each separate legal entity (*e.g.*, an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Luckin ADSs made on behalf of a single beneficial owner.

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name; account number; last four digits of the Social Security Number, taxpayer identification number, or comparable identification number for non-U.S. claimants; address; and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Luckin ADSs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the Luckin ADSs you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. Payments to eligible Authorized Claimants pursuant to the Plan of Allocation will be made after final approval of the Settlement and any appeals from such approval, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the funds available under the Settlement. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form, you may contact the Claims Administrator, Epiq Class Action & Claims Solutions, Inc., at the address on the first page of this Claim Form, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you can visit the website, www.LuckinCoffeeSecuritiesLitigation.com, where copies of the Claim Form and other relevant documents are available for downloading.

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.LuckinCoffeeSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** The *complete* name of the beneficial owner of the securities must be entered where called for (*see* ¶ 9 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-855-535-1824.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADS

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
052119	1480	23.59	34918.96	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

.

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

**IF NONE,
CHECK
HERE**

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
052319	1480	17.98	26613.36	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

. 0

**Confirm
Proof of
Position
Enclosed**

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ **Please note:** Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.


I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.



Signature of claimant

Date:

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MM DD YYYY

ANDREW SHIN

Print claimant name here

Signature of joint claimant, if here

Date:

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MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date:

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MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶ 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST

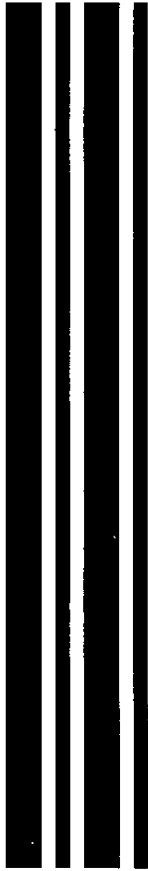
1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-855-535-1824.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you may visit www.LuckinCoffeeSecuritiesLitigation.com.

This Claim Form must be mailed to the Claims Administrator by first-class mail or submitted online at www.LuckinCoffeeSecuritiesLitigation.com, postmarked (or received) no later than March 15, 2022. If mailed, the Claim Form should be addressed as follows:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before March 15, 2022 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.



Attachments

Patch Code 3



RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Investment Industry Regulatory Organization of Canada and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc.

The use of the term "We" in this confirmation refers to RBC Direct Investing Inc.

If an order on this confirmation represents a stock exchange transaction, it is subject to the by-laws, rules and customs of that exchange. If not disclosed on this confirmation, the name of our representative will be provided upon request. The name of the other broker in this transaction is furnished upon written or verbal request.

All transactions are subject to the by-laws and regulations of the Investment Industry Regulatory Organization of Canada. If settlement or provision for settlement, of a transaction on this confirmation is not made by the settlement date shown hereon, or by the date to which accrued interest is calculated as shown hereon, whichever date is applicable, this transaction may be closed without notice to you by the sale or purchase of the related securities, whichever action is appropriate, and we will claim from you any balance owing to us from such action.

Customers accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of the coverage is available upon request.

Please review this confirmation carefully. Failure to object to incorrect or unauthorized trades within 5 days of receipt of this confirmation, constitutes ratification by you of those trades.

We or our affiliate act as principal on foreign currency conversions and fixed income transactions and apply discretionary currency conversion rates. The foreign currency conversion rate shown on the confirmation includes our or our affiliates' spread-based revenues for performing this function. Spread means the difference between the rate we or our affiliates obtain and the rate you receive.

If the description on this confirmation reads "YIELD/RENDEMENT", please consult with your investment services representative for further information regarding the yield calculation applied to your trade.

Please note the following security description abbreviations may appear on your Trade Confirmation: NON VTG for non-voting shares; RES VTG for restricted voting shares; SUB VTG for subordinate voting shares.

We may facilitate trades in securities of related issuers and connected issuers of the firm in your account. For a list of such related issuers and connected issuers, refer to the following website: www.rbc.com/issuers-disclosures or contact your investment services representative.

NOTE: INTEREST PAYABLE ON OVERDUE ACCOUNTS

PLEASE RETAIN THIS CONFIRMATION FOR INCOME TAX PURPOSES.

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Investment Industry Regulatory Organization of Canada and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc.

The use of the term "We" in this confirmation refers to RBC Direct Investing Inc.

If an order on this confirmation represents a stock exchange transaction, it is subject to the by-laws, rules and customs of that exchange. If not disclosed on this confirmation, the name of our representative will be provided upon request. The name of the other broker in this transaction is furnished upon written or verbal request.

All transactions are subject to the by-laws and regulations of the Investment Industry Regulatory Organization of Canada. If settlement or provision for settlement, of a transaction on this confirmation is not made by the settlement date shown hereon, or by the date to which accrued interest is calculated as shown hereon, whichever date is applicable, this transaction may be closed without notice to you by the sale or purchase of the related securities, whichever action is appropriate, and we will claim from you any balance owing to us from such action.

Customers accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of the coverage is available upon request.

Please review this confirmation carefully. Failure to object to incorrect or unauthorized trades within 5 days of receipt of this confirmation, constitutes ratification by you of those trades.

We or our affiliate act as principal on foreign currency conversions and fixed income transactions and apply discretionary currency conversion rates. The foreign currency conversion rate shown on the confirmation includes our or our affiliates' spread-based revenues for performing this function. Spread means the difference between the rate we or our affiliates obtain and the rate you receive.

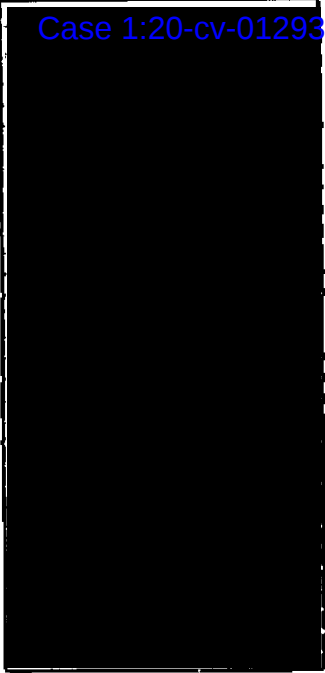
If the description on this confirmation reads "YIELD/RENDEMENT", please consult with your investment services representative for further information regarding the yield calculation applied to your trade.

Please note the following security description abbreviations may appear on your Trade Confirmation: NON VTG for non-voting shares; RES VTG for restricted voting shares; SUB VTG for subordinate voting shares.

We may facilitate trades in securities of related issuers and connected issuers of the firm in your account. For a list of such related issuers and connected issuers, refer to the following website: www.rbc.com/issuers-disclosures or contact your investment services representative.

NOTE: INTEREST PAYABLE ON OVERDUE ACCOUNTS

PLEASE RETAIN THIS CONFIRMATION FOR INCOME TAX PURPOSES.



In re LUCKIN COFFEE INC.
SECURITIES LITIGATION
c/o EPIQ CLASS ACTION & CLAIMS
SOLUTIONS, INC.
P.O. BOX 5887
PORTLAND OREGON USA 97228-5887

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



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ANDREW SHIN



Claim Number: 9084

Response Deadline: April 18, 2022

March 29, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement, which has been approved by the Court and which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that no Luckin ADSs were held through the alleged corrective disclosure on January 31, 2020 (*see* Settlement Notice Appendix A paragraph 7(a)). Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

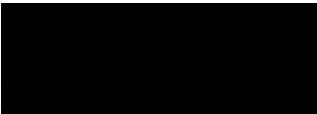
If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Andrew Shin


April 10, 2022

To the Claims Administrator:

I am writing to request that the Court review my submitted Claim as part of the class action against Luckin Coffee.

The Settlement Notice defines the Class at hand as following:

All persons and entities (and their beneficiaries) that purchased or otherwise acquired the American Depositary Shares of Luckin Coffee Inc. between May 17, 2019 through July 15, 2020, inclusive (6).

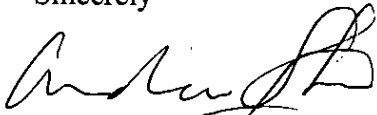
Please find enclosed my receipts for shares of Luckin Coffee purchased and sold, which took place during the designated period.

The Settlement Notice makes no reference to the "Ineligibility Condition" outlined in the subsequent Notice of Deficient Claim Submission, dated March 29, 2022, and in any case does not constitute legitimate grounds for disqualification.

I incurred a significant loss because of Luckin Coffee's financial misrepresentations and should be duly compensated.

Thank you for your consideration.

Sincerely



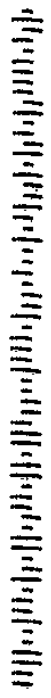
Andrew Shin





Mr Andrew Shin
[Redacted]

IN RE. LUCKIN COFFEE SECURITIES
LITIGATION
C/O EPI & CLASS ACTION & CLAIMS
SOLUTIONS, INC.
P.O. BOX 5887
PORTLAND, OR 97228-5887

972285887 8907 

Loren Wayne Giles
(20190)

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

L O R E N

MI

N

Last Name

G I L E S

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.
2. This Claim Form is directed to all persons and entities (and their beneficiaries) who purchased or otherwise acquired the American Depository Shares ("ADSs") of Luckin Coffee Inc. ("Luckin") during the Class Period (from May 17, 2019 through July 15, 2020, inclusive) (the "Class"). Included in the Class are all persons and entities who purchased Luckin ADSs on the open market and/or in or traceable to the May 17, 2019 Initial Public Offering ("IPO") and January 10, 2020 Secondary Public Offering ("SPO") during the Class Period. Certain persons and entities are excluded from the Class by definition as set forth in ¶ 25 of the Settlement Notice.
3. By submitting this Claim Form, you will be making a request to receive a payment from the Settlement described in the Settlement Notice. **IF YOU ARE NOT A CLASS MEMBER** (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), **OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER.** **THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**
4. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement, if it is approved. The distribution of the payments to eligible purchasers of Luckin ADSs will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**
5. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in, and holdings of, Luckin ADSs. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Luckin ADSs (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**
6. **Please note:** Only Luckin ADSs purchased or acquired from May 17, 2019 through July 15, 2020, inclusive, are eligible for payment under the Settlement. However, sales of Luckin ADSs during the period from July 16, 2020 through and including the close of trading on October 20, 2021, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase/acquisition and sale/disposition information during this period must also be provided.
7. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Luckin ADSs as set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Luckin ADSs. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**
8. **Traceability of Luckin ADSs to Public Offerings in the Class Period.** Public offerings of Luckin ADSs occurred during the Class Period on or about (i) May 17, 2019 (the IPO); and (ii) January 10, 2020 (the SPO). Claimants who purchased Luckin ADSs directly in one or both of the offerings, or who purchased shares "traceable" to one or both of the offerings (as opposed to generally on the open market) may be entitled to additional compensation under the Plan of Allocation. All Luckin ADSs purchased from May 17, 2019 through January 9, 2020 are assumed to be traceable to the IPO. However, if you purchased Luckin ADSs from January 10, 2020 through July 15, 2020 that were not purchased directly in the SPO but that you believe are specifically traceable to Luckin ADSs that were issued in the IPO or SPO, you must submit documentation with your Claim Form showing that the specific ADSs you purchased were shares issued in the IPO or SPO.
9. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Luckin ADSs. The complete name(s) of the beneficial owner(s) must be entered. If you held the Luckin ADSs in your own name, you were the beneficial owner as well as the record owner. If, however, your Luckin ADSs were registered in the name of a third party, such as a nominee or brokerage firm, you were the beneficial owner of the ADSs, but the third party was the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

10. **One Claim should be submitted for each separate legal entity or separately managed account.** Separate Claim Forms should be submitted for each separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Luckin ADSs made on behalf of a single beneficial owner.

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name; account number; last four digits of the Social Security Number, taxpayer identification number, or comparable identification number for non-U.S. claimants; address; and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Luckin ADSs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the Luckin ADSs you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. Payments to eligible Authorized Claimants pursuant to the Plan of Allocation will be made after final approval of the Settlement and any appeals from such approval, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the funds available under the Settlement. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form, you may contact the Claims Administrator, Epiq Class Action & Claims Solutions, Inc., at the address on the first page of this Claim Form, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you can visit the website, www.LuckinCoffeeSecuritiesLitigation.com, where copies of the Claim Form and other relevant documents are available for downloading.

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.LuckinCoffeeSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** The *complete* name of the beneficial owner of the securities must be entered where called for (see ¶ 9 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-855-535-1824.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
050219	8300	24.50		<input type="checkbox"/>	<input checked="" type="checkbox"/>
072319	2900	22.39		<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

0.

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

**IF NONE,
CHECK
HERE**

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
081419	110	21.22	2331.14	<input checked="" type="checkbox"/>
081419	3400	21.20	72078.00	<input checked="" type="checkbox"/>
081419	2194	21.12	46378.96	<input checked="" type="checkbox"/>
081419	2777	21.24	58974.88	<input checked="" type="checkbox"/>
081419	2702	21.19	57224.86	<input checked="" type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

0.

**Confirm
Proof of
Position
Enclosed**

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"); the claimant(s) appoint(s) Sjunde AP-Fonden, and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Handwritten Signature]

Signature of claimant

Date: 03 - 15 - 2022
MM DD YYYY

[Blank box for print name]

Print claimant name here

[Blank box for joint claimant signature]

Signature of joint claimant, if here

Date: [] - [] - []
MM DD YYYY

[Blank box for joint claimant name]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Blank box for person signing on behalf of claimant signature]

Signature of person signing on behalf of claimant

Date: [] - [] - []
MM DD YYYY

[Blank box for person signing on behalf of claimant name]

Print name of person signing on behalf of claimant here

[Blank box for capacity of person signing on behalf of claimant]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶ 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-855-535-1824.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you may visit www.LuckinCoffeeSecuritiesLitigation.com.

This Claim Form must be mailed to the Claims Administrator by first-class mail or submitted online at www.LuckinCoffeeSecuritiesLitigation.com, postmarked (or received) no later than March 15, 2022. If mailed, the Claim Form should be addressed as follows:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

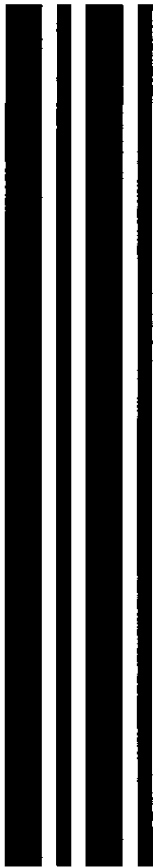
A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before March 15, 2022 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824



Attachments



Patch Code 3



 **Ameritrade** 

LOREN WAYNE GILES


Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.
Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month. The asset allocation indicates your portfolio diversification by investment type.
Multiple allocations (up to 5% will group into no category of "Other" in the pie chart. Margin Equity = Total Account Equity (Total Long Margable Value - Total Short Value)
Activity Summary: The opening and closing cash balances are recorded here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRA's only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.
Reirement Account Summary (IRA's only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 for market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.
Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income and average cost per share are displayed for your reference (the oldest purchase date is shown for the indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.
Trades Pending Settlement: Confirm executed trades when a settlement date after moment. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this month, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.
 TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-866-3800 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account (DDA) deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. DDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including potential loss of principal. TD Ameritrade is a member of SIPC, which protects investors customers of its members up to \$500,000 (including \$250,000 for claims for cash). Exploratory brochure is available on request at www.sipc.org.

Accountly: TD Ameritrade provides each client \$148.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London Investors. In the event of brokerage insolvency, a client's account is protected up to the amount in bankruptcy and then SIPC. Supplemental coverage is paid after the trustee and SIPC. The amount of such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London Investors. The TD Ameritrade supplemental coverage has an aggregate limit of \$200 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible in rare money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, the statement details may not equal the statement totals.
Estimated Annual Income and Estimated Annual Yield Values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-cash investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you. **Tax Reporting:** The portfolio is not a tax account. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to recalculation which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gain/loss are offered and conducted by COH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its related providers do not guarantee the accuracy of the information and data provided. COH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission fees represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The values on our portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Average based positions are valued using the opening balance and the current market price. Portfolio based positions may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a first-come, first-served basis. Securities trade differently than equity securities and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity contracts, private equity, private debt or hedge funds. AI are typically AI investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, broker/agent party broker offers that have been provided by the management, arbitrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. An estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional information regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. All transactions fees are applicable to purchases, capital call, processing, and redemptions.

A Disclaimers on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Properly disclosed information has been provided by the sponsor, issuer or other relevant party responsible for preparing the DPP or REIT and the classification distribution (as return or return of capital) in whole or in part. It is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.
Auction Rate Securities ("ARS") pricing: The market values for ARS securities have been determined, if available, from quotation services and other independent sources. The accuracy of pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position using into consideration both the liquidity and underlying underlying value. The ARS may lack liquidity, and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the correlation, risks, regulations, and rules and usages of the exchange or market and (ii) the rules and regulations of the exchange or market. TD Ameritrade and its related providers are not responsible for the accuracy of the information and data provided. COH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value Balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the rate for the applicable rate to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal

Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at: <http://www.tdameritrade.com/financialstatement.html>

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rules 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.
Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing order and OTC execution, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems) (including electronic communications networks) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the limits of the transactions. If any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route our flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Notice of FINRA Rule 6030, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price improvement, likelihood of execution, the marketability of the order, size guarantees, service levels and support. The reliability of order handling systems, customer needs and expectations, transaction costs and whether we will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor orders to see if such improvement is available.

Trade Confirmations (Rule 10b-10): All confirmations are limited to the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Future/Options Disclosure for EU Clients: In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No. 1286, retail investors based in the European Economic Area (EEA) can access key information documents (KIDs) through the following landing page: <https://tdameritrade.com/pep-regs-landing-page>

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-468-3900, or in writing to P.O. Box 2709, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be pending upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, direct transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
 (1) Tell us your name and account number.
 (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
 (3) Tell us the dollar amount of the suspected error.
 We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the error you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:
07/01/19 - 07/31/19

Statement for Account # [REDACTED]
LOREN WAYNE GILES [REDACTED]

TD AMERITRADE
DIVISION OF TD AMERITRADE INC

TD Ameritrade Clearing, Inc., Member SIPC

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	(\$1,331.73)	\$1,331.73	-	\$ -	-	
Instrd Dep Accl (IDA)	86.22	110,265.55	(110,179.33)	(99.9)%	-	0.07%	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	291,382.00	267,733.25	23,648.75	8.8%	334.00	0.1%	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$291,468.22	\$376,667.07	(\$85,198.85)	(22.6)%	\$334.00	0.1%	Stocks 100.0%
Margin Equity	100.0%						

Cash Activity Summary

	Current	YTD	Reportable	Non Reportable	YTD
Opening Balance	(\$1,331.73)	\$ -			
Securities Purchased	(64,937.95)	(845,924.65)	\$83.50	\$ -	\$83.50
Securities Sold	-	912,681.83	-	-	0.02
Funds Deposited	-	-	-	-	-
Funds Disbursed	(44,000.00)	(65,000.00)	-	-	-
Income	83.50	83.52	-	-	(2,004.61)
Expense	-	(2,004.61)	-	-	-
Other	110,186.18	163.91	-	-	-
Closing Balance	\$ 0.00	\$0.00	\$83.50	\$0.00	(\$1,921.09)

Performance Summary

Cost Basis As Of - 07/31/19 **	\$601,526.42
Unrealized Gains	5,503.05
Unrealized Losses	(315,647.47)
Funds Deposited/(Disbursed) YTD	(65,000.00)
Income/(Expense) YTD	(1,921.09)
Securities Received/(Delivered) YTD	0.00

**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.

Statement for Account # [REDACTED]
07/01/19 - 07/31/19

Online-Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	\$ (44,000.00)	\$ (65,000.00)
Subtotal	(44,000.00)	(65,000.00)
TOTAL	(44,000.00)	(65,000.00)

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.00	\$ 0.02
Margin Interest Charged	0.00	(2,004.61)
Qualified Dividends	83.50	83.50
IDA Interest	6.85	18.67

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. Those corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Current Price	Quantity	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
CHINA SHEN ZHOU MNG & RES INC COM	CSHZ	\$ NA	1,100	\$ NA	12/30/10	\$ 9,865.99	\$ 8.97	\$ (9,865.99)	\$ -	-
DMC GLOBAL INC COM	BOOM	52.24	4,175	218,102.00	04/16/19	292,048.20	69.95	(73,946.20)	334.00	0.2%
LUCKIN COFFEE INC ADR SPONSORED	LK	24.29	2,900	70,441.00	07/23/19	64,937.95	22.39	5,503.05	-	-
VIVUS INC COM	VVUS	3.34	850	2,839.00	03/23/12	234,674.28	276.09	(231,835.28)	-	-
Total Stocks				\$291,382.00		\$601,526.42		\$(310,144.42)	\$334.00	0.1%
Total Margin Account				\$291,382.00		\$601,526.42		\$(310,144.42)	\$334.00	0.1%

Statement for Account # [REDACTED]
07/01/19 - 07/31/19

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
Electronic Transfer	07/23/2019	ACH OUT - 07/22/2019 06:17PM	\$ (44,000.00)
Subtotal			(44,000.00)
TOTAL			(44,000.00)

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance	07/01/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	\$ 0.00	\$ 1,331.73	(\$1,331.73)
07/16/19	07/16/19	Margin	Dv/Int - Income	DMC GLOBAL INC COM	BOOM	-	0.00	83.50	83.50
07/16/19	07/16/19	Margin	Journal - Other	Payable: 07/16/2019 QUALIFIED DIVIDENDS 83.50	-	-	0.00	(83.50)	0.00
07/22/19	07/23/19	Margin	- Funds Disbursed	ACH OUT - 07/22/2019 06:17PM	-	-	0.00	(44,000.00)	(44,000.00)
07/23/19	07/23/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	44,000.00	0.00
07/23/19	07/25/19	Margin	Buy - Securities Purchased	LUCKIN COFFEE INC ADR SPONSORED Commission/Fee 6.95	LK	2,900	22.39	(64,937.95)	(64,937.95)
07/25/19	07/25/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	64,937.95	0.00

Closing Balance

\$ 0.00

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/01/19	\$ 108,933.82	15	0.1000	\$ 4.47	\$ 4.47	\$ -
07/16/19	109,017.32	7	0.1000	2.09	6.56	-
07/23/19	65,017.32	2	0.0800	0.29	6.85	-
07/25/19	79.37	7	0.0700	-	6.85	6.85
Total Interest Income						\$6.85

Statement for Account # [REDACTED]

07/01/19 - 07/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
07/01/19	-	07/01/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	\$ (1,331.73)	\$110,265.55 108,933.82
07/16/19	-	07/16/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	83.50	109,017.32
07/23/19	-	07/23/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(44,000.00)	65,017.32
07/25/19	-	07/25/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(64,937.95)	79.37
07/31/19	-	07/31/19	Received	Interest: Insured Deposit Account Bank NA	-	-	6.85	86.22
Closing Balance								\$86.22

US Bank NA

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

ID Ameritrade [REDACTED]

LOREN WAYNE GILES

[REDACTED]

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month. The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other in this pie chart. (Average Equity) = Total Account Equity (Total Long-Maturity Value + Total Short Value)

Activity Summary: The opening and closing cash balances are recorded here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary and IRAs (non-IRA's only): This section details the income and expense totals from the Activity Summary and identifies the tax treatment.

Reirement Account Summary (IRAs only): Renew the IRS regulated transactions for the current and prior years. IRS Form 5498 for market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unweighted gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to the unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. This estimated investment income and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirmed trades with a settlement date other than the month-end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including checks, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction or if there are any errors or omissions, please contact us at 800-658-3000 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account (DDA) deposits are held at one or more banks (Program Banks). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. DDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of a brokerage insolvency, a client may receive coverage for securities held in brokerage and then SIPC. Supplemental coverage is paid out after the mutual fund SIPC protection which covers each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. This TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve more value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, this statement details may not equal the statement totals. ²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned. Current value balances as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-excess investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or reinvested to you. **Tax Reporting:** The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, losses, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Cost-Step-up are offered and managed by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information presented. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly notify TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission fees represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods, if no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available (N/A). The values on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Non-put hedged positions are valued using the remaining balance and the current market price. Portfolio report valuations are not intended as sales proposals. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may differ from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Real Income Structured Securities (offering) may require sales and do not trade on a liquid exchange. Further, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non-Separated Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, a real estate investment trust), commodity pool, private equity, private debt or hedge funds. AI are typically fund investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party broker offices that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values do not reflect the original purchase price, actual market value or be reduced upon liquidation. AI are estimated values and cannot be established through these methods, the valuation may be affected by Not Available ("N/A"). For additional information regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

A Description of Direct Participation Programs and/or REITs are reported and in not investment per share is reported in the prospectus. Please refer to the prospectus for more information. The sponsor, issuer or the company responsible for managing the DPP or REIT and the classification description is not intended to be relied upon in a Form 1099-DIV, as applicable, accounting by such party(ies) and will be reported to you on a Form 1099-DIV, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, giving due consideration to the liquidity and underlying credit quality. The ARS may have liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the conversion rates, margins, charges and charges of the exchange or issuer and (ii) the rules and regulations of the issuer. (iii) the rules and regulations of the issuer and the Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-368-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value Balance in the TD Ameritrade Cash, as of the statement closing date. Sample interest is accurate only based on the interest rate for applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal

Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The Statement of Financial Condition for TD Ameritrade Clearing, Inc. is available twice a year once may be obtained at no cost, via the internet at: <http://www.tdameritrade.com/financialstatement.html>

Option Assignment: All short equity option positions and any index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route our then-current best execution for our clients. For non-directed client orders, as our policy is to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Disclosures of FINRA Rule 5310, including where applicable, but not necessarily limited to: speed of execution, price improvement opportunities, differences in price determination, likelihood of execution, the immediacy of the order, size of orders, service levels and support. Where the firm will receive remuneration for routing order flow to such market centers, TD Ameritrade is available under certain market conditions and for certain order types and we regularly monitor orders to test for such improvement of execution.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP), details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Future/Options Disclosures for EU Clients: In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/pepp-reg-disclosure>

Transfers: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include limits and fees charged by the foreign markets or governments, which may be reflected in the price of this security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-658-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at client-services@tdameritrade.com. The information contained in your account statement shall pertain upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation C, such as ATM and point-of-sale transfers, direct transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of this money during the time it takes us to complete our investigation.



Statement Reporting Period:
08/01/19 - 08/31/19

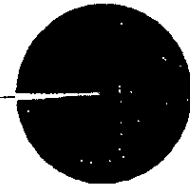
Statement for Account # [REDACTED]
LOREN WAYNE GILES

TD AMERITRADE
DIVISION OF TD AMERITRADE INC

TD Ameritrade Clearing, Inc., Member SIPC

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	(\$1.61)	\$ -	(\$1.61)	-	\$ -	-	
Instrd Dep Accl (IDA)	260,928.49	86.22	260,842.27	-	-	0.12%	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	3,442.50	291,382.00	(287,939.50)	(98.8)%	-	-	Stocks 1.3%
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$264,369.38	\$291,468.22	(\$27,098.84)	(9.3)%	\$ 0.00	0.0%	IDA 98.7%
Margin Equity	100.0%						



Cash Activity Summary

	Current	YTD	Reportable	Non Reportable	YTD
Opening Balance	\$ 0.00	\$ -	-	-	-
Securities Purchased	(646,211.85)	(1,492,136.50)	\$ -	\$ -	\$83.50
Securities Sold	900,648.50	1,813,330.33	0.21	-	0.23
Funds Deposited	6,400.00	6,400.00	-	-	-
Funds Disbursed	-	(65,000.00)	-	-	-
Income	0.21	83.73	(1.82)	-	(2,006.43)
Expense	(1.82)	(2,006.43)	-	-	-
Other	(260,836.65)	(260,672.74)	-	-	-
Closing Balance	(\$1.61)	(\$1.61)	(\$1.61)	\$0.00	(\$1,922.70)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income	\$ -	\$ -	\$83.50
Dividends	-	-	-
Interest	0.21	-	0.23
Other	-	-	-
Expense	(65,000.00)	-	(2,006.43)
Interest	(1.82)	-	-
Fees	-	-	-
Other	-	-	-
Net	(\$1.61)	\$0.00	(\$1,922.70)

Performance Summary

Cost Basis As Of - 08/31/19 **	\$244,540.27
Unrealized Gains	-
Unrealized Losses	(241,097.77)
Funds Deposited/(Disbursed) YTD	(58,600.00)
Income/(Expense) YTD	(1,922.70)
Securities Received/(Delivered) YTD	0.00

**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.

Statement for Account # [REDACTED]

08/01/19 - 08/31/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ 6,400.00	\$ 6,400.00
Subtotal	6,400.00	6,400.00
DEBITS		
Electronic Transfer	\$ -	\$ (65,000.00)
Subtotal	0.00	(65,000.00)
TOTAL	6,400.00	(58,600.00)

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.21	\$ 0.23
Margin Interest Charged	(1.82)	(2,006.43)
Qualified Dividends	0.00	83.50
IDA Interest	5.62	24.29

*This section displays current and year to date taxation values for this account. The current totals may not equal to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Current Price	Quantity	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
CHINA SHEN ZHOU MING & RES INC COM DELISTED	16942H109	\$ NA	1,100	\$ NA	12/30/10	\$ 9,865.99	\$ 8.97	\$ (9,865.99)	\$ -	-
VIVUS INC COM	VVUS	4.05	850	3,442.50	03/23/12	234,674.28	276.09	(231,231.78)	-	-
Total Stocks				\$3,442.50		\$244,540.27		\$(241,097.77)	\$0.00	0.0%
Total Margin Account				\$3,442.50		\$244,540.27		\$(241,097.77)	\$0.00	0.0%

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	08/27/2019	ACH IN	\$ 6,400.00
Subtotal			6,400.00
TOTAL			6,400.00

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									\$ 0.00
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Commission/Fee 6.95 Regulatory Fee 0.23	BOOM	200-	\$ 48.84	\$ 9,760.82	9,760.82
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.45	BOOM	400-	48.83	19,531.55	29,292.37
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.11	BOOM	100-	48.79	4,878.89	34,171.26
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.11	BOOM	10-	48.66	486.59	34,657.85
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.01	BOOM	100-	48.65	4,864.89	39,522.74
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.11	BOOM	90-	48.64	4,377.50	43,900.24
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.10	BOOM	200-	48.63	9,725.77	53,626.01
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.23	BOOM	3,075-	48.62	149,503.03	203,129.04
08/02/19	08/06/19	Margin	Buy - Securities Purchased	Regulatory Fee 3.47 LUCKIN COFFEE INC ADR SPONSORED Commission/Fee 6.95	LK	8,300	24.50	(203,356.95)	(227.91)

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/06/19	08/06/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	86.22	(141.69)
08/06/19	08/06/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,473.05	7,331.36
08/07/19	08/07/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,331.36)	0.00
08/07/19	08/07/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,034.00	3,034.00
08/08/19	08/08/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,034.00)	0.00
08/08/19	08/08/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(4,633.00)	(4,633.00)
08/09/19	08/09/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	4,633.00	0.00
08/09/19	08/09/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,911.00	2,911.00
08/12/19	08/12/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,911.00)	0.00
08/12/19	08/12/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,501.00	2,501.00
08/13/19	08/13/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,501.00)	0.00
08/13/19	08/13/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,863.00)	(5,863.00)
08/14/19	08/14/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,863.00	0.00
08/14/19	08/14/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	12,095.00	12,095.00
08/15/19	08/15/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(12,095.00)	0.00
08/15/19	08/15/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,230.00	1,230.00
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Commission/Fee 6.95 Regulatory Fee 1.55	LK	2,777-	21.24	58,974.98	60,204.98
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 0.06	LK	110-	21.22	2,334.14	62,539.12
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED	LK	10-	21.21	212.10	62,751.22
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 1.91	LK	3,400-	21.20	72,078.09	134,829.31

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED	LK	5-	21.19	105.95	134,935.26
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 1.50	LK	2,702-	21.18	57,226.86	192,162.12
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 1.22	LK	2,196-	21.1203	46,378.96	238,541.08
08/16/19	08/16/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(149,421.58)	89,119.50
08/16/19	08/16/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,371.00)	83,748.50
08/19/19	08/19/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	8,252.00	92,000.50
08/19/19	08/19/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,863.00	97,863.50
08/20/19	08/20/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(8,956.00)	88,907.50
08/20/19	08/20/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	10,086.00	98,993.50
08/21/19	08/21/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(15,571.00)	83,422.50
08/21/19	08/21/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,854.00)	79,568.50
08/22/19	08/22/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(78,041.05)	1,527.45
08/22/19	08/22/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,665.00)	(1,137.55)
08/23/19	08/23/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	80,433.45	79,295.90
08/23/19	08/23/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(219.95)	79,075.95
08/22/19	08/26/19	Margin	Buy - Securities Purchased	SERVICENOW INC COM Commission/Fee 6.95	NOW	1,000	266.00	(266,006.95)	(186,931.00)
08/26/19	08/26/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	180,680.54	(6,250.46)

Statement for Account # [REDACTED]

08/01/19 - 08/31/19

Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
08/26/19	08/26/19	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT	MMDA1	-	0.00	3.90	(6,246.56)	
				CORE NOT COVERED BY SIPC Interest: Insured Deposit Account Bank NA Payable: 08/31/2019 Insured Deposit Account Interest 2.52						
08/26/19	08/27/19	Margin	- Funds Deposited	ACH IN	-	-	0.00	6,400.00	153.44	
08/27/19	08/27/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(153.44)	0.00	
08/28/19	08/30/19	Margin	Sell - Securities Sold	SERVICENOW INC COM	NOW	1,000-	260.7858	260,773.33	260,773.33	
				Commission/Fee 6.95 Regulatory Fee 5.52						
08/30/19	08/30/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(260,773.33)	0.00	
08/30/19	08/30/19	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 08/30/2019	-	-	0.00	(1.82)	(1.82)	
08/30/19	08/30/19	Margin	Div/Int - Income	INTEREST CREDIT Payable: 08/30/2019	-	-	0.00	0.21	(1.61)	
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	400-	48.85	19,532.60	19,530.99	
				Commission/Fee 6.95 Regulatory Fee 0.45						
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	300-	48.71	14,612.65	34,143.64	
				Regulatory Fee 0.35						
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	405-	48.69	19,719.01	53,862.65	
				Regulatory Fee 0.44						
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	895-	48.68	43,567.58	97,430.23	
				Regulatory Fee 1.02						
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	100-	48.67	4,866.89	102,297.12	
				Regulatory Fee 0.11						

Statement for Account # [REDACTED]

08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symboll/ CUSIP	Quantity	Price	Amount	Balance
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	1,900-	48.5703	92,281.43	194,578.55
08/02/19	08/06/19	Short	Sell - Securities Sold	Regulatory Fee 2.14					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	100-	48.55	4,854.89	199,433.44
08/06/19	08/06/19	Short	Journal - Other	Regulatory Fee 0.11					
08/06/19	08/06/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(7,473.05)	191,960.39
08/07/19	08/07/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(3,034.00)	188,926.39
08/08/19	08/08/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	4,633.00	193,559.39
08/09/19	08/09/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(2,911.00)	190,648.39
08/12/19	08/12/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(2,501.00)	188,147.39
08/13/19	08/13/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	5,863.00	194,010.39
08/14/19	08/14/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(12,095.00)	181,915.39
08/15/19	08/15/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(1,230.00)	180,685.39
08/16/19	08/16/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	5,371.00	186,056.39
08/19/19	08/19/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(5,863.00)	180,193.39
08/20/19	08/20/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	(10,086.00)	170,107.39
08/21/19	08/21/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	3,854.00	173,961.39
08/22/19	08/22/19	Short	Journal - Other	MARK TO MARKET ADJ			0.00	2,665.00	176,626.39
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	3,200	43.15	(138,086.95)	38,539.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	Commission/Fee 6.95					
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	400	43.11	(17,244.00)	21,295.41
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	43.10	(4,310.00)	16,985.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	43.08	(4,308.00)	12,677.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	43.03	(4,303.00)	8,374.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	42.99	(4,299.00)	4,075.44

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	42.97	(4,297.00)	(221.56)
08/23/19	08/23/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	219.95	(1.61)
Closing Balance									(\$1.61)

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
08/16/19	\$ -	\$ 83,748.50	3	0.01	\$ -	\$ 0.07
08/19/19	-	97,863.50	1	0.01	-	0.03
08/20/19	-	98,993.50	1	0.01	-	0.03
08/21/19	-	79,568.50	1	0.01	-	0.02
08/23/19	-	79,075.95	3	0.01	-	0.06
08/26/19	(6,246.56)	-	1	10.50	1.82	-
Total Interest Income/(Expense)						\$0.21

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/01/19	\$ 86.22	5	0.0400	\$ -	\$ -	\$ -
08/06/19	0.00	1	0.0400	-	-	-
08/07/19	7,331.36	1	0.0400	0.01	0.01	-
08/08/19	5,732.36	4	0.0400	0.02	0.03	-
08/12/19	8,643.36	1	0.0400	0.01	0.04	-
08/13/19	5,281.36	2	0.0400	0.01	0.05	-
08/15/19	17,376.36	1	0.0400	0.02	0.07	-
08/16/19	166,797.94	3	0.0700	0.96	1.03	-
08/19/19	158,545.94	1	0.0700	0.31	1.34	-
08/20/19	167,501.94	1	0.0700	0.32	1.66	-
08/21/19	183,072.94	1	0.0700	0.35	2.01	-
08/22/19	259,976.44	1	0.1199	0.85	2.86	-
08/23/19	180,680.54	3	0.0700	1.04	3.90	3.90
08/27/19	153.44	3	0.0400	-	-	-
08/30/19	260,926.77	2	0.1199	1.72	1.72	1.72
Total Interest Income						\$5.62

Statement for Account # [REDACTED]

08/01/19 - 08/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
08/06/19	-	08/06/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	\$ (86.22)	\$86.22 0.00
08/07/19	-	08/07/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,331.36	7,331.36
08/08/19	-	08/08/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,034.00	10,365.36
08/09/19	-	08/09/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(4,633.00)	5,732.36
08/12/19	-	08/12/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,911.00	8,643.36
08/13/19	-	08/13/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,501.00	11,144.36
08/14/19	-	08/14/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,863.00)	5,281.36
08/15/19	-	08/15/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	12,095.00	17,376.36
08/16/19	-	08/16/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	149,421.58	166,797.94
08/19/19	-	08/19/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(8,252.00)	158,545.94

Statement for Account # [REDACTED]

08/01/19 - 08/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
08/20/19	-	08/20/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	8,956.00	167,501.94
08/21/19	-	08/21/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	15,571.00	183,072.94
08/22/19	-	08/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	64,427.06	247,500.00
08/22/19	-	08/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	13,613.99	261,113.99
08/23/19	-	08/23/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(13,613.99)	247,500.00
08/23/19	-	08/23/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(66,819.46)	180,680.54
08/26/19	-	08/26/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(180,680.54)	0.00
08/27/19	-	08/27/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	153.44	153.44
08/30/19	-	08/30/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,346.56	247,500.00
08/30/19	-	08/30/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	13,426.77	260,926.77
08/30/19	-	08/30/19	Received	Interest: Insured Deposit Account Bank USA	-	-	0.09	260,926.86

Statement for Account # [REDACTED]
 08/01/19 - 08/31/19

Insured Deposit Account Activity

Date	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
08/30/19	-	08/30/19	Received	Interest: Insured Deposit Account Bank NA	-	-	1.63	260,928.49

Closing Balance

TD Bank NA

TD Bank USA NA

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

\$260,928.49

\$247,501.63

\$13,426.86

Bui, Dinh

From: Info_LuckinCoffeeSecuritiesL
Sent: Tuesday, March 15, 2022 2:03 PM
To: Claims_LuckinCoffeeSecuritie
Subject: Luckin Coffee Inc. Securities Litigation – Claim Upload

Contact Information

Location: \\Ca003-prfs001\CA-7\Luckin Coffee Inc. Securities Litigation - 40050093\Electronic Files Received\Claim\26501\

Name: Loren Giles

Address:

[REDACTED]

Phone Number: [REDACTED]

Email: [REDACTED]

Date and Time: 3/15/2022 2:03:23 PM

Confirmation Number: [REDACTED]

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



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LOREN W GILES

Claim Number: 20190

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



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LOREN W GILES

Claim Number: 20190

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

May 13, 2022

Loren Giles



Luckin Coffee Inc. Securities Litigation
C/O Equip Class Action and Claims Solutions Inc.
PO Box 5887
Portland OR 97228-5887

Claim Number 20190

Dear Claims Administrator,

I request the court to review to full rejection of my claim for the losses from my purchase of Luckin coffee. I purchased 8,300 shares of stock on 8-2-19 for 24.50 and sold on 8-14-19 for 21.20. It is my understanding that these dates fall within the dates of the Class Period.

Respectfully submitted

A handwritten signature in cursive script that reads "Loren Giles".

Loren Giles

TD Ameritrade [REDACTED]

LOREN WAYNE GILES
[REDACTED]

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity (Total Long-Marketable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income³ and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Details: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost-Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information, TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically liquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the programs net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained. If available, from quotation services other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its derivatives, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal

Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c2-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of other risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for creating listed and OTC securities, and options order flow, securities market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully disclosed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price improvement, likelihood of execution, the immediability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Futures/Options Disclosures for EU Clients: In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/prips-regulation.page>

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your annual statement regarding you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
 - (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
 - (3) Tell us the dollar amount of the suspected error.
- We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



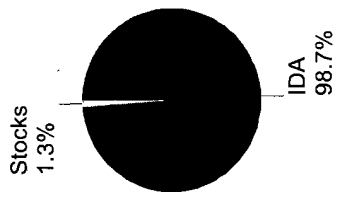
Statement Reporting Period:
08/01/19 - 08/31/19

Statement for Account # [REDACTED]
LOREN WAYNE GILES [REDACTED]

TD AMERITRADE
DIVISION OF TD AMERITRADE INC
[REDACTED]
TD Ameritrade Clearing, Inc., Member SIPC

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	(\$1.61)	\$ -	(\$1.61)	-	\$ -	-	
Insrd Dep Acct (IDA)	260,928.49	86.22	260,842.27	-	-	0.12%	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	3,442.50	291,382.00	(287,939.50)	(98.8)%	-	-	Stocks 1.3%
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$264,369.38	\$291,468.22	(\$27,098.84)	(9.3)%	\$ 0.00	0.0%	
Margin Equity	100.0%						IDA 98.7%



Cash Activity Summary

Income & Expense Summary

Performance Summary

	Current	YTD	Reportable	Non Reportable	YTD
Opening Balance	\$ 0.00	\$ -			
Securities Purchased	(646,211.85)	(1,492,136.50)			\$83.50
Securities Sold	900,648.50	1,813,330.33	0.21		0.23
Funds Deposited	6,400.00	6,400.00			-
Funds Disbursed	-	(65,000.00)			-
Income	0.21	83.73	(1.82)		(2,006.43)
Expense	(1.82)	(2,006.43)			-
Other	(260,836.65)	(260,672.74)			-
Closing Balance	(\$1.61)	(\$1.61)	(\$1.61)	\$0.00	(\$1,922.70)

	Cost Basis As Of - 08/31/19 **
Unrealized Gains	\$244,540.27
Unrealized Losses	(241,097.77)
Funds Deposited/(Disbursed) ^{YTD}	(58,600.00)
Income/(Expense) ^{YTD}	(1,922.70)
Securities Received/(Delivered) ^{YTD}	0.00

**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ 6,400.00	\$ 6,400.00
Subtotal	6,400.00	6,400.00
DEBITS		
Electronic Transfer	\$ -	\$ (65,000.00)
Subtotal	0.00	(65,000.00)
TOTAL	6,400.00	(58,600.00)

Income Summary Detail*

Description	Current	Year To Date
Interest Income Credit Balance	\$ 0.21	\$ 0.23
Margin Interest Charged	(1.82)	(2,006.43)
Qualified Dividends	0.00	83.50
IDA Interest	5.62	24.29

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
CHINA SHEN ZHOU MNG & RES INC COM DELISTED	16942H109	1,100	\$ NA	\$ NA	12/30/10	\$ 9,865.99	\$ 8.97	\$ (9,865.99)	\$ -	-
VIVUS INC COM	VVUS	850	4.05	3,442.50	03/23/12	234,674.28	276.09	(231,231.78)	-	-
Total Stocks				\$3,442.50		\$244,540.27		\$(241,097.77)	\$0.00	0.0%
Total Margin Account				\$3,442.50		\$244,540.27		\$(241,097.77)	\$0.00	0.0%

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	08/27/2019	ACH IN	\$ 6,400.00
Subtotal			6,400.00
TOTAL			6,400.00

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
									\$ 0.00
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Commission/Fee 6.95 Regulatory Fee 0.23	BOOM	200-	\$ 48.84	\$ 9,760.82	9,760.82
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.45	BOOM	400-	48.83	19,531.55	29,292.37
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.11	BOOM	100-	48.79	4,878.89	34,171.26
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.01	BOOM	10-	48.66	486.59	34,657.85
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.11	BOOM	100-	48.65	4,864.89	39,522.74
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.10	BOOM	90-	48.64	4,377.50	43,900.24
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 0.23	BOOM	200-	48.63	9,725.77	53,626.01
08/02/19	08/06/19	Margin	Sell - Securities Sold	DMC GLOBAL INC COM Regulatory Fee 3.47	BOOM	3,075-	48.62	149,503.03	203,129.04
08/02/19	08/06/19	Margin	Buy - Securities Purchased	LUCKIN COFFEE INC ADR SPONSORED Commission/Fee 6.95	LK	8,300	24.50	(203,356.95)	(227.91)

Statement for Account # [REDACTED]

08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/06/19	08/06/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	86.22	(141.69)
08/06/19	08/06/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,473.05	7,331.36
08/07/19	08/07/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,331.36)	0.00
08/07/19	08/07/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,034.00	3,034.00
08/08/19	08/08/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,034.00)	0.00
08/08/19	08/08/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(4,633.00)	(4,633.00)
08/09/19	08/09/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	4,633.00	0.00
08/09/19	08/09/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,911.00	2,911.00
08/12/19	08/12/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,911.00)	0.00
08/12/19	08/12/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,501.00	2,501.00
08/13/19	08/13/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,501.00)	0.00
08/13/19	08/13/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,863.00)	(5,863.00)
08/14/19	08/14/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,863.00	0.00
08/14/19	08/14/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	12,095.00	12,095.00
08/15/19	08/15/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(12,095.00)	0.00
08/15/19	08/15/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,230.00	1,230.00
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Commission/Fee 6.95 Regulatory Fee 1.55	LK	2,777-	21.24	58,974.98	60,204.98
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 0.06	LK	110-	21.22	2,334.14	62,539.12
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED	LK	10-	21.21	212.10	62,751.22
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 1.91	LK	3,400-	21.20	72,078.09	134,829.31

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED	LK	5-	21.19	105.95	134,935.26
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 1.50	LK	2,702-	21.18	57,226.86	192,162.12
08/14/19	08/16/19	Margin	Sell - Securities Sold	LUCKIN COFFEE INC ADR SPONSORED Regulatory Fee 1.22	LK	2,196-	21.1203	46,378.96	238,541.08
08/16/19	08/16/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(149,421.58)	89,119.50
08/16/19	08/16/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,371.00)	83,748.50
08/19/19	08/19/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	8,252.00	92,000.50
08/19/19	08/19/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,863.00	97,863.50
08/20/19	08/20/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(8,956.00)	88,907.50
08/20/19	08/20/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	10,086.00	98,993.50
08/21/19	08/21/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(15,571.00)	83,422.50
08/21/19	08/21/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,854.00)	79,568.50
08/22/19	08/22/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(78,041.05)	1,527.45
08/22/19	08/22/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,665.00)	(1,137.55)
08/23/19	08/23/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	80,433.45	79,295.90
08/23/19	08/23/19	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(219.95)	79,075.95
08/22/19	08/26/19	Margin	Buy - Securities Purchased	SERVICENOW INC COM Commission/Fee 6.95	NOW	1,000	266.00	(266,006.95)	(186,931.00)
08/26/19	08/26/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	180,680.54	(6,250.46)

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/26/19	08/26/19	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT	MMDA1	-	0.00	3.90	(6,246.56)
				CORE NOT COVERED BY SIPC					
				Interest: Insured					
				Deposit Account Bank NA					
				Payable: 08/31/2019					
				Insured Deposit Account Interest 2.52					
08/26/19	08/27/19	Margin	- Funds Deposited	ACH IN	-	-	0.00	6,400.00	153.44
08/27/19	08/27/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(153.44)	0.00
08/28/19	08/30/19	Margin	Sell - Securities Sold	SERVICENOW INC COM	NOW	1,000-	260.7858	260,773.33	260,773.33
				Commission/Fee 6.95					
				Regulatory Fee 5.52					
08/30/19	08/30/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(260,773.33)	0.00
08/30/19	08/30/19	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE	-	-	0.00	(1.82)	(1.82)
				Payable: 08/30/2019					
08/30/19	08/30/19	Margin	Div/Int - Income	INTEREST CREDIT	-	-	0.00	0.21	(1.61)
				Payable: 08/30/2019					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	400-	48.85	19,532.60	19,530.99
				Commission/Fee 6.95					
				Regulatory Fee 0.45					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	300-	48.71	14,612.65	34,143.64
				Regulatory Fee 0.35					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	405-	48.69	19,719.01	53,862.65
				Regulatory Fee 0.44					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	895-	48.68	43,567.58	97,430.23
				Regulatory Fee 1.02					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	100-	48.67	4,866.89	102,297.12
				Regulatory Fee 0.11					

Statement for Account # [REDACTED]
 08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	1,900-	48.5703	92,281.43	194,578.55
				Regulatory Fee 2.14					
08/02/19	08/06/19	Short	Sell - Securities Sold	DMC GLOBAL INC COM	BOOM	100-	48.55	4,854.89	199,433.44
				Regulatory Fee 0.11					
08/06/19	08/06/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(7,473.05)	191,960.39
08/07/19	08/07/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,034.00)	188,926.39
08/08/19	08/08/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	4,633.00	193,559.39
08/09/19	08/09/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,911.00)	190,648.39
08/12/19	08/12/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,501.00)	188,147.39
08/13/19	08/13/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,863.00	194,010.39
08/14/19	08/14/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(12,095.00)	181,915.39
08/15/19	08/15/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,230.00)	180,685.39
08/16/19	08/16/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,371.00	186,056.39
08/19/19	08/19/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,863.00)	180,193.39
08/20/19	08/20/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(10,086.00)	170,107.39
08/21/19	08/21/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,854.00	173,961.39
08/22/19	08/22/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,665.00	176,626.39
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	3,200	43.15	(138,086.95)	38,539.44
				Commission/Fee 6.95					
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	400	43.11	(17,244.00)	21,295.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	43.10	(4,310.00)	16,985.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	43.08	(4,308.00)	12,677.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	43.03	(4,303.00)	8,374.44
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	42.99	(4,299.00)	4,075.44

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/21/19	08/23/19	Short	Buy - Securities Purchased	DMC GLOBAL INC COM	BOOM	100	42.97	(4,297.00)	(221.56)
08/23/19	08/23/19	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	219.95	(1.61)
Closing Balance									
									(\$1.61)

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
08/16/19	\$ -	\$ 83,748.50	3	0.01	\$ -	\$ 0.07
08/19/19	-	97,863.50	1	0.01	-	0.03
08/20/19	-	98,993.50	1	0.01	-	0.03
08/21/19	-	79,568.50	1	0.01	-	0.02
08/23/19	-	79,075.95	3	0.01	-	0.06
08/26/19	(6,246.56)	-	1	10.50	1.82	-
Total Interest Income/(Expense)					\$1.82	\$0.21

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/01/19	\$ 86.22	5	0.0400	\$ -	\$ -	\$ -
08/06/19	0.00	1	0.0400	-	-	-
08/07/19	7,331.36	1	0.0400	0.01	0.01	-
08/08/19	5,732.36	4	0.0400	0.02	0.03	-
08/12/19	8,643.36	1	0.0400	0.01	0.04	-
08/13/19	5,281.36	2	0.0400	0.01	0.05	-
08/15/19	17,376.36	1	0.0400	0.02	0.07	-
08/16/19	166,797.94	3	0.0700	0.96	1.03	-
08/19/19	158,545.94	1	0.0700	0.31	1.34	-
08/20/19	167,501.94	1	0.0700	0.32	1.66	-
08/21/19	183,072.94	1	0.0700	0.35	2.01	-
08/22/19	259,976.44	1	0.1199	0.85	2.86	-
08/23/19	180,680.54	3	0.0700	1.04	3.90	3.90
08/27/19	153.44	3	0.0400	-	-	-
08/30/19	260,926.77	2	0.1199	1.72	1.72	1.72
Total Interest Income					\$5.62	\$5.62

Statement for Account # [REDACTED]
08/01/19 - 08/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
08/06/19	-	08/06/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	\$ (86.22)	\$86.22 0.00
08/07/19	-	08/07/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,331.36	7,331.36
08/08/19	-	08/08/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,034.00	10,365.36
08/09/19	-	08/09/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(4,633.00)	5,732.36
08/12/19	-	08/12/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,911.00	8,643.36
08/13/19	-	08/13/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,501.00	11,144.36
08/14/19	-	08/14/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,863.00)	5,281.36
08/15/19	-	08/15/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	12,095.00	17,376.36
08/16/19	-	08/16/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	149,421.58	166,797.94
08/19/19	-	08/19/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(8,252.00)	158,545.94

Statement for Account # [REDACTED]
 08/01/19 - 08/31/19

Insured Deposit Account Activity									
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance	
08/20/19	-	08/20/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	8,956.00	167,501.94	
08/21/19	-	08/21/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	15,571.00	183,072.94	
08/22/19	-	08/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	64,427.06	247,500.00	
08/22/19	-	08/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	13,613.99	261,113.99	
08/23/19	-	08/23/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(13,613.99)	247,500.00	
08/23/19	-	08/23/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(66,819.46)	180,680.54	
08/26/19	-	08/26/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(180,680.54)	0.00	
08/27/19	-	08/27/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	153.44	153.44	
08/30/19	-	08/30/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,346.56	247,500.00	
08/30/19	-	08/30/19	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	13,426.77	260,926.77	
08/30/19	-	08/30/19	Received	Interest: Insured Deposit Account Bank USA	-	-	0.09	260,926.86	

Statement for Account # [REDACTED]
 08/01/19 - 08/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
08/30/19	-	08/30/19	Received	Interest: Insured Deposit Account Bank NA	-	-	1.63	260,928.49

Closing Balance

TD Bank NA

TD Bank USA NA

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

\$247,501.63

\$13,426.86

\$260,928.49

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MAY 13 22
AMOUNT
\$1.96
R2304M110253-11



FROM:

Giles



TO:

Luckin Coffee Ins Securities Litigation
c/o Equity Class Action & Claims Solutions
PO Box 5887
Portland OR 97228-5887

Loren W Giles
(20194)

In re Luckin Coffee Inc. Securities Litigation
Toll-Free Number: 1-855-535-1824
Email: info@LuckinCoffeeSecuritiesLitigation.com
Website: www.LuckinCoffeeSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a payment from the Settlement, you must complete and sign this Claim Form and mail it by first-class mail to the address below, or submit it online at www.LuckinCoffeeSecuritiesLitigation.com, with supporting documentation, *postmarked (or received) no later than March 15, 2022.*

Mail to:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Failure to submit your Claim Form by the date specified will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Submit your Claim Form only to the Claims Administrator at the address set forth above.

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03/06/23 10:00 AM

01-CA40050093

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

LOREN

MI

W

Last Name

GILES IRA

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.
2. This Claim Form is directed to **all persons and entities (and their beneficiaries) who purchased or otherwise acquired the American Depository Shares ("ADSs") of Luckin Coffee Inc. ("Luckin") during the Class Period (from May 17, 2019 through July 15, 2020, inclusive) (the "Class").** Included in the Class are all persons and entities who purchased Luckin ADSs on the open market and/or in or traceable to the May 17, 2019 Initial Public Offering ("IPO") and January 10, 2020 Secondary Public Offering ("SPO") during the Class Period. Certain persons and entities are excluded from the Class by definition as set forth in ¶ 25 of the Settlement Notice.
3. By submitting this Claim Form, you will be making a request to receive a payment from the Settlement described in the Settlement Notice. **IF YOU ARE NOT A CLASS MEMBER (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**
4. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement, if it is approved. The distribution of the payments to eligible purchasers of Luckin ADSs will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**
5. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in, and holdings of, Luckin ADSs. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Luckin ADSs (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**
6. **Please note:** Only Luckin ADSs purchased or acquired from May 17, 2019 through July 15, 2020, inclusive, are eligible for payment under the Settlement. However, sales of Luckin ADSs during the period from July 16, 2020 through and including the close of trading on October 20, 2021, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase/acquisition and sale/disposition information during this period must also be provided.
7. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Luckin ADSs as set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Luckin ADSs. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**
8. **Traceability of Luckin ADSs to Public Offerings in the Class Period.** Public offerings of Luckin ADSs occurred during the Class Period on or about (i) May 17, 2019 (the IPO); and (ii) January 10, 2020 (the SPO). Claimants who purchased Luckin ADSs directly in one or both of the offerings, or who purchased shares "traceable" to one or both of the offerings (as opposed to generally on the open market) may be entitled to additional compensation under the Plan of Allocation. All Luckin ADSs purchased from May 17, 2019 through January 9, 2020 are assumed to be traceable to the IPO. However, if you purchased Luckin ADSs from January 10, 2020 through July 15, 2020 that were not purchased directly in the SPO but that you believe are specifically traceable to Luckin ADSs that were issued in the IPO or SPO, you must submit documentation with your Claim Form showing that the specific ADSs you purchased were shares issued in the IPO or SPO.
9. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Luckin ADSs. The complete name(s) of the beneficial owner(s) must be entered. If you held the Luckin ADSs in your own name, you were the beneficial owner as well as the record owner. If, however, your Luckin ADSs were registered in the name of a third party, such as a nominee or brokerage firm, you were the beneficial owner of the ADSs, but the third party was the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

10. **One Claim should be submitted for each separate legal entity or separately managed account.** Separate Claim Forms should be submitted for each separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Luckin ADSs made on behalf of a single beneficial owner.

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name; account number; last four digits of the Social Security Number, taxpayer identification number, or comparable identification number for non-U.S. claimants; address; and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Luckin ADSs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the Luckin ADSs you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. Payments to eligible Authorized Claimants pursuant to the Plan of Allocation will be made after final approval of the Settlement and any appeals from such approval, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the funds available under the Settlement. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form, you may contact the Claims Administrator, Epiq Class Action & Claims Solutions, Inc., at the address on the first page of this Claim Form, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you can visit the website, www.LuckinCoffeeSecuritiesLitigation.com, where copies of the Claim Form and other relevant documents are available for downloading.

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.LuckinCoffeeSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** The *complete* name of the beneficial owner of the securities must be entered where called for (see ¶ 9 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-855-535-1824.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering, and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
05 02 19	485	24.81		<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

.

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

**IF NONE,
CHECK
HERE**

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
08 05 19	485	23.00	11197.71	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

.

**Confirm
Proof of
Position
Enclosed**

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Loren Bates

Signature of claimant

Date: 03 - 15 - 2022
MM DD YYYY

Print claimant name here

Signature of joint claimant, if here

Date: - - -
MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date: - - -
MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶ 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-855-535-1824.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you may visit www.LuckinCoffeeSecuritiesLitigation.com.

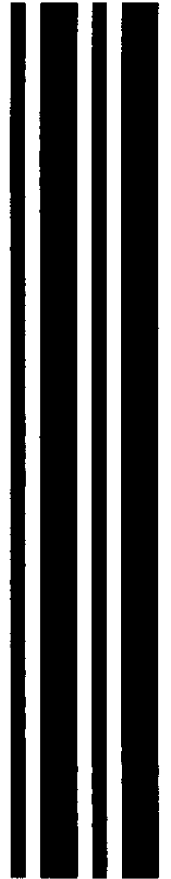
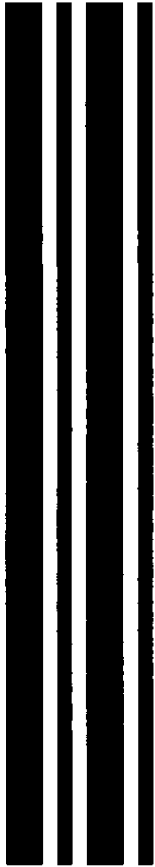
This Claim Form must be mailed to the Claims Administrator by first-class mail or submitted online at www.LuckinCoffeeSecuritiesLitigation.com, postmarked (or received) no later than March 15, 2022. If mailed, the Claim Form should be addressed as follows:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before March 15, 2022 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824



Attachments

Patch Code 3





August 1, 2019 - August 31, 2019

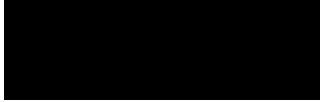
Account Number: [REDACTED]

Account Type: IRA - CONTRIBUTORY

Customer Update:

Keep your information up to date.
Check that your profile is current and correct for security purposes. Visit etrade.com/myprofile to review your account info.

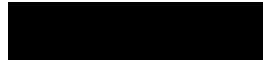
E*TRADE Securities LLC



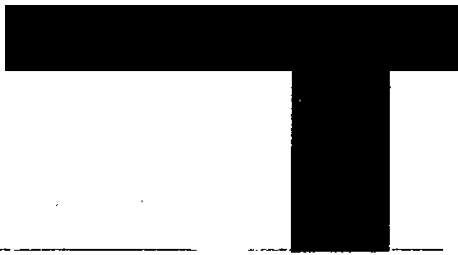
E*TRADE Securities
Individual Retirement Account

Want to get important documents faster?
Get your statements, confirms, and tax forms online with paperless delivery. Enroll at etrade.com/paperless.

LOREN W GILES
IRA ETRADE CUSTODIAN



Account At A Glance



As of 07/31/19

As of 08/31/19

Net Change:



E*TRADE

▲ DETACH HERE
LOREN W GILES
IRA ETRADE CUSTODIAN



Use This Deposit Slip

Acct: [REDACTED] ▲

To contribute to your IRA, please use our online Transfer Money service at www.etrade.com/transfermoney or use this deposit slip.

Make checks payable to E*TRADE Securities LLC

Check Amount \$

Year of Contribution:

2019

Rollover

Mail deposits to:

E*TRADE SECURITIES LLC





Please refer to the E*TRADE Securities LLC ("ETS") Customer Agreement (the "Customer Agreement") for a complete discussion of the terms and conditions governing your account. If you have questions regarding the Customer Agreement or your account, please email us through etrade.com or call 800-ETRADE1. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT, EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU. In case of errors or questions about your electronic transfers please telephone us at 800-ETRADE-1 immediately or write us at E*TRADE Securities LLC, PO Box 484, Jersey City, NJ 07303-0484. If you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt, We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or the problem appeared. When you contact us, you must:

1. Tell us your name, account number, and ATM card or Check card number.
2. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.
3. Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Securities products and services are offered by ETS. Member FINRA/SIPC. Your account is carried by ETS. Member FINRA/SIPC, which maintains your funds and securities deposited with ETS directly by you. Inquiries concerning the positions and balances in your account may be directed to ETS at 800-503-9260. All other inquiries regarding your account or the activity therein should be directed to ETS. Please promptly report any inaccuracy or discrepancy in your account to ETS at 800-503-9260. You should re-confirm any oral communication in writing to further protect your rights, including rights under the Securities Investor Protection Act.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing houses, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's Broker Check Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided to us by outside quotation services for the securities currently held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. The prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. The value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflect these amounts described to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-8300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are not considered cash; they are considered to be securities.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECNs (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders and whether it will receive cash or non-cash payments for routing order flow, and reciprocal business arrangements.

Margin Accounts. The amount of margin required will be the greater of (1) the amount required by applicable laws, regulations, the rules of applicable self-regulatory organizations and clearinghouses, or (2) the amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days' prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at www.etrade.com/cashbalance, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For detailed information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks' potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or remitted to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (Including DPP and REIT securities). Account statements for Individual Retirement Accounts may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by the independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other more traditional investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values showing on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

ETS is an indirect subsidiary of E*TRADE Financial Corporation. If you have a complaint, please call 800-ETRADE1, or write to: E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484.

Definitions:

Activity/Trade Date. Trade date or transaction date of other entries.
Total Portfolio Percent. Percentage of your holding by issue of security.
DIV/CPN % Yield. Annual dividend or bond % yield.
Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.
Symbol/CUSIP. The symbol or identification number for each security.
 *** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place following the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-ETRADE1 with any questions.

01R240 - 08/18



E*TRADE Securities
Individual Retirement Account



Account Number: [REDACTED]

Statement Period : August 1, 2019 - August 31, 2019

Account Type: IRA - CONTRIBUTORY

Customer Update:

Invest on the go. With the E*TRADE Mobile app, you have everything you need in the palm of your hand—investing, trading, research, easy-to-use tools, and more. Visit etrade.com/mobile to learn more.

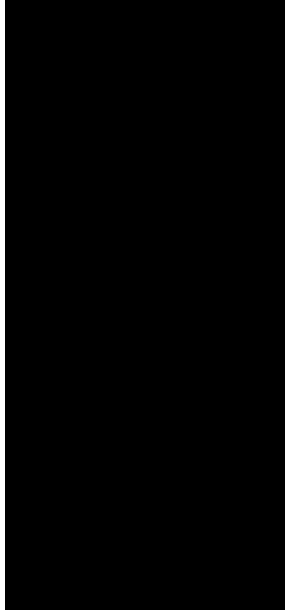
ACCOUNT OVERVIEW

Last Statement Date: July 31, 2019

Beginning Account Value (On 07/31/19): \$ [REDACTED]
Ending Account Value (On 08/31/19): \$ [REDACTED]
Net Change: \$ [REDACTED]

For current rates, please visit etrade.com/rates

ASSET ALLOCATION (AS OF 08/31/19)



RETIREMENT ACTIVITY SUMMARY

THIS PERIOD YEAR TO DATE

2018 Contribution \$ [REDACTED]
2019 Contribution \$ [REDACTED]

ACCOUNT VALUE SUMMARY

AS OF 08/31/19 AS OF 07/31/19 % CHANGE

Cash & Equivalents	[REDACTED]	[REDACTED]	[REDACTED]
Total Cash/Margin Debt	[REDACTED]	[REDACTED]	[REDACTED]
Stocks, Options & ETF (Long)	[REDACTED]	[REDACTED]	[REDACTED]
Total Value of Securities	[REDACTED]	[REDACTED]	[REDACTED]
Net Account Value	[REDACTED]	[REDACTED]	[REDACTED]

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

E*TRADE Securities LLC • [REDACTED]



Account Number: [REDACTED] Statement Period : August 1, 2019 - August 31, 2019 Account Type: IRA - CONTRIBUTORY

NET ACCOUNT VALUE BY MONTH END

[REDACTED]	[REDACTED]
------------	------------

ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
Securities Purchased	\$ [REDACTED]	\$ [REDACTED]
Securities Sold	\$ [REDACTED]	\$ [REDACTED]
Interest Received	\$ [REDACTED]	\$ [REDACTED]
Tax Exempt	\$ [REDACTED]	\$ [REDACTED]
Dividends Received	\$ [REDACTED]	\$ [REDACTED]
Tax Exempt	\$ [REDACTED]	\$ [REDACTED]

Refer to the Retirement Activity Summary section on page 3 for a summary of your retirement account transactions.

TOP 10 ACCOUNT HOLDINGS (AS OF 08/31/19)

[REDACTED]	[REDACTED]
------------	------------



Account Number: [REDACTED] Statement Period : August 1, 2019 - August 31, 2019 Account Type: IRA - CONTRIBUTORY

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.01% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Retirement Sweep Deposit Account Program	[REDACTED]	[REDACTED]
Opening Balance	[REDACTED]	[REDACTED]
Closing Balance	[REDACTED]	[REDACTED]
Average Balance	[REDACTED]	[REDACTED]
Retirement Sweep Deposit Account Program Balance by Bank as of August 31, 2019	[REDACTED]	[REDACTED]
ETRADE BANK	[REDACTED]	[REDACTED]

The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-800-387-2331.

TOTAL CASH & CASH EQUIVALENTS

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (99.99% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



E*TRADE Securities
Individual Retirement Account



Account Number: [REDACTED]

Statement Period : August 1, 2019 - August 31, 2019

Account Type: IRA - CONTRIBUTORY

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
08/02/19 09:39	08/06/19	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	LK	Bought	485	24.8150	12,042.23	
08/05/19 15:34	08/07/19	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	LK	Sold	-485	23.1030		11,197.71

[REDACTED]

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
[REDACTED]					

[REDACTED]

RETIREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (0.0300% APY/0.0500%APY Earned as of 08/31/19)

The E*TRADE Financial Retirement Sweep Deposit Account Program (x RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-800-387-2331.

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
[REDACTED]			

[REDACTED]



August 1, 2019 - August 31, 2019

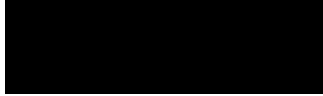
Account Number: [REDACTED]

Account Type: IRA - CONTRIBUTORY

Customer Update:

Keep your information up to date.
Check that your profile is current and correct for security purposes. Visit etrade.com/myprofile to review your account info.

E*TRADE Securities LLC



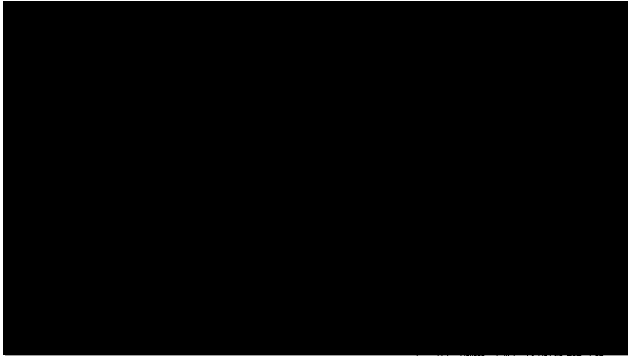
E*TRADE Securities
Individual Retirement Account

Want to get important documents faster?
Get your statements, confirms, and tax forms online with paperless delivery. Enroll at etrade.com/paperless.

LOREN W GILES
IRA ETRADE CUSTODIAN



Account At A Glance



E*TRADE

▲ DETACH HERE
LOREN W GILES
IRA ETRADE CUSTODIAN
[REDACTED]

Use This Deposit Slip

Acct: [REDACTED] ▲ DETACH HERE

To contribute to your IRA, please use our online Transfer Money service at www.etrade.com/transfermoney or use this deposit slip.

Make checks payable to E*TRADE Securities LLC

Check Amount \$

Year of Contribution:

_____ 2019

_____ Rollover

Mail deposits to:

E*TRADE SECURITIES LLC





E*TRADE Securities
Individual Retirement Account

Please refer to the E*TRADE Securities LLC (ETS) Customer Agreement (the "Customer Agreement") for a complete discussion of the terms and conditions governing your account. If you have questions regarding the Customer Agreement or your account, please email us through etrade.com or call 800-ETRADE1. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT. EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU. In case of errors or questions about your electronic transfers please telephone us at 800-ETRADE-1 immediately or write us at E*TRADE Securities LLC, PO Box 484, Jersey City, NJ 07303-0484. If you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or the problem appeared. When you contact us, you must:

1. Tell us your name, account number, and ATM card or Check card number.
2. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.
3. Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Securities products and services are offered by ETS, Member FINRA/SIPC. Your account is carried by ETS, Member FINRA/SIPC, which maintains your funds and securities deposited with ETS directly by you. Inquiries concerning the positions and balances in your account may be directed to ETS at 800-503-9260. All other inquiries regarding your account or the activity therein should be directed to ETS. Please promptly report any inaccuracy or discrepancy in your account to ETS at 800-503-9260. You should re-confirm any oral communication in writing to further protect your rights, including rights under the Securities Investor Protection Act.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's Broker Check Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided to us by outside quotation services for the securities currently held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. The prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. The value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflect these amounts classified to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-6300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are not considered cash; they are considered to be securities.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECNs (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to various market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders and whether it will receive cash or non-cash payments for routing order flow, and reciprocal business arrangements.

Margin Accounts. The amount of margin required will be the greater of (1) the amount required by applicable laws, regulations, the rules of applicable self-regulatory organizations and clearinghouses, or (2) the amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at www.etrade.com/cashbalance, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For detailed information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks' potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or reinvested to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (including DPP and REIT securities). Account statements for Individual Retirement Accounts may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by the independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other more traditional investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values showing on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

ETS is an indirect subsidiary of E*TRADE Financial Corporation. If you have a complaint, please call 800-ETRADE1, or write to: E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484.

Definitions:

Activity/Trade Date. Trade date or transaction date of other entities.
Total Portfolio Percent. Percentage of your holding by issue of security.
DIVCPN% Yield. Annual dividend or bond % yield.
Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.
Symbol/CUSIP. The symbol or identification number for each security.
 *** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place following the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-ETRADE1 with any questions.

81R5240 - 06/19

E*TRADE



E*TRADE Securities
Individual Retirement Account



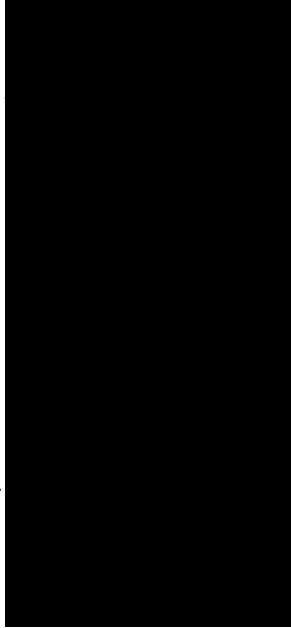
Account Number: [REDACTED] Statement Period : August 1, 2019 - August 31, 2019 Account Type: IRA - CONTRIBUTORY

Customer Update:
Invest on the go.
With the E*TRADE Mobile app, you have everything you need in the palm of your hand—investing, trading, research, easy-to-use tools, and more. Visit etrade.com/mobile to learn more.

ACCOUNT OVERVIEW

Last Statement Date: July 31, 2019
Beginning Account Value (On 07/31/19): \$ [REDACTED]
Ending Account Value (On 08/31/19): \$ [REDACTED]
Net Change: \$ [REDACTED]
For current rates, please visit etrade.com/rates

ASSET ALLOCATION (AS OF 08/31/19)



RETIREMENT ACTIVITY SUMMARY

	THIS PERIOD	YEAR TO DATE
2018 Contribution	\$ [REDACTED]	\$ [REDACTED]
2019 Contribution	\$ [REDACTED]	\$ [REDACTED]

ACCOUNT VALUE SUMMARY

	AS OF 08/31/19	AS OF 07/31/19	% CHANGE
Cash & Equivalents	\$ [REDACTED]	\$ [REDACTED]	[REDACTED]
Total Cash/Margin Debt	\$ [REDACTED]	\$ [REDACTED]	[REDACTED]
Stocks, Options & ETF (Long)	\$ [REDACTED]	\$ [REDACTED]	[REDACTED]
Total Value of Securities	\$ [REDACTED]	\$ [REDACTED]	[REDACTED]
Net Account Value	\$ [REDACTED]	\$ [REDACTED]	[REDACTED]

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.



Account Number: [REDACTED]

Statement Period : August 1, 2019 - August 31, 2019

Account Type: IRA - CONTRIBUTORY

NET ACCOUNT VALUE BY MONTH END

[REDACTED]	[REDACTED]
------------	------------

ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
Securities Purchased	\$ [REDACTED]	\$ [REDACTED]
Securities Sold	\$ [REDACTED]	\$ [REDACTED]
Interest Received		
Tax Exempt	\$ [REDACTED]	\$ [REDACTED]
Dividends Received		
Tax Exempt	\$ [REDACTED]	\$ [REDACTED]

Refer to the Retirement Activity Summary section on page 3 for a summary of your retirement account transactions.

TOP 10 ACCOUNT HOLDINGS (AS OF 08/31/19)

[REDACTED]	[REDACTED]
------------	------------



Account Number: [REDACTED]

Statement Period : August 1, 2019 - August 31, 2019

Account Type: IRA - CONTRIBUTORY

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS [REDACTED]

DESCRIPTION	PORTFOLIO %	AMOUNT
Retirement Sweep Deposit Account Program	[REDACTED]	[REDACTED]
Opening Balance		
Closing Balance		
Average Balance		
Retirement Sweep Deposit Account Program Balance by Bank as of August 31, 2019		
ETRADE BANK		
<p>The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-800-387-2331.</p>		
TOTAL CASH & CASH EQUIVALENTS		[REDACTED]

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS [REDACTED]

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO [%]	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
[REDACTED]								



E*TRADE Securities
Individual Retirement Account



Account Number: [REDACTED]

Statement Period : August 1, 2019 - August 31, 2019

Account Type: IRA - CONTRIBUTORY

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
08/02/19 09:39	08/06/19	***LUCIKIN COFFEE INC AMERICAN DEPOSITARY SHARES	LK	Bought	485	24.8150	12,042.23	
08/05/19 15:34	08/07/19	***LUCIKIN COFFEE INC AMERICAN DEPOSITARY SHARES	LK	Sold	-485	23,1030		11,197.71

[REDACTED]

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
[REDACTED]					

[REDACTED]

RETIREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (0.0300% APY/0.0500%APY Earned as of 08/31/19)

The E*TRADE Financial Retirement Sweep Deposit Account Program (* RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-800-387-2331.

TRANSACTION AMOUNT

DATE	TRANSACTION TYPE	DESCRIPTION
[REDACTED]		

[REDACTED]

From: Info_LuckinCoffeeSecuritiesL
Sent: Tuesday, March 15, 2022 2:09 PM
To: Claims_LuckinCoffeeSecuritie
Subject: Luckin Coffee Inc. Securities Litigation – Claim Upload

Contact Information

Location: \\Ca003-prfs001\CA-7\Luckin Coffee Inc. Securities Litigation - 40050093\Electronic Files Received\Claim\26512\

Name: Loren Giles

Address:

[REDACTED]

Phone Number: [REDACTED]

Email: [REDACTED]

Date and Time: 3/15/2022 2:08:39 PM

Confirmation Number: [REDACTED]

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004724949
000 0001985 00000000 0001 0001 01985 INS: 0 0

LOREN W GILES IRA
CONTRIBUTORY
ETRADE CUSTODIAN



Claim Number: 20194

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824

MAY 13 2022



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LOREN W GILES IRA
CONTRIBUTORY
ETRADE CUSTODIAN



Claim Number: 20194

Response Deadline: May 16, 2022

April 26, 2022

This document was received
in the same envelope as the
previously labeled document
Document Control

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

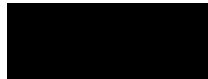
To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

May 13, 2022

Loren Giles



Luckin Coffee Inc. Securities Litigation
C/O Equip Class Action and Claims Solutions Inc.
PO Box 5887
Portland OR 97228-5887

Claim Number 20194

Dear Claims Administrator,

I request the court to review to full rejection of my claim for the losses from my purchase of Luckin coffee. I purchased 485 shares of stock on 8-2-19 for 24.815 and sold on 8-05-19 for 23.103. It is my understanding that these dates fall within the dates of the Class Period.

Respectfully submitted

A handwritten signature in black ink that reads "Loren Giles".

Loren Giles



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:

LOREN W GILES
IRA ETRADE CUSTODIAN

E*TRADE Securities LLC
[REDACTED]

Customer Update

Why not get your statements, confirmations, and tax documents online? Enroll for paperless delivery today at etrade.com/paperless.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
08/05/19	08/07/19	6 1	LK	SELL	485	\$23.103	Cash	PRINCIPAL	\$11,204.96
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								COMMISSION	\$6.95
								FINRA TAF	\$0.06
								FEE	0.24
								NET AMOUNT	\$11,197.71

▲ DETACH HERE
LOREN W GILES
IRA ETRADE CUSTODIAN
[REDACTED]

DETACH HERE ▲

Use This Deposit Slip Acct: [REDACTED]

To contribute to your IRA, please use our online Transfer Money service at www.etrade.com/transferymoney or this deposit slip.

Check Amount \$ _____
Year of Contribution:
_____ 2019
_____ Rollover

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

E*TRADE Securities LLC
[REDACTED]

[REDACTED]



- Type of Transaction (Market)
- | | |
|----------------------------------|------------------------------|
| 1. NYSE | 5. CBOE |
| 2. NYSE American | 6. Over-the-Counter |
| 3. Other US Registered Exchanges | 7. Underwriting |
| 4. Mutual Funds | 8. Foreign Exchange or Other |

(Capacity in which your broker is acting)
 1, 2, 3, 4, 5, 8 As agent
 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.
 7 As principal

If the symbol (C) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you, the receipt of which is acknowledged by payment hereof.
 If the symbol (F) appears in the security description, it indicates that the security is "Foreign" and may be subject to interest equalization tax.
 If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

Terms and Conditions

Please refer to the E*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E*TRADE Customer Agreement or your account, please email us through etrade.com or call 800-ETRADE-1. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU. IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E*TRADE Securities LLC ("ETS"), Member FINRA/SIPC. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. ETS or its affiliates may be a principal in your transaction.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel, prior to execution, will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee* for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees, among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield; complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issues that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GNMN), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark-up or mark-down; both as a dollar and percentage value. The mark-up or mark-down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark-up or mark-down percentage value is calculated as the mark-up or mark-down dollar value divided by the PMP. Trades with a mark-up or mark-down value of "N/A" indicate a negative mark-up or mark-down, i.e., the sale of the security was at a price lower than the PMP.

*Additional Fees may include a broker-assist fee, an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**LOREN W GILES
 IRA ETRADE CUSTODIAN**



Payment for Order Flow Disclosure

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit-sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements.

Trades noted as "AVG PRICE SHOWN-DETAILS ON REQ" are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request.

Rev 04/18 81237B04



Trading • Investing • Banking

E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:

LOREN W GILES
IRA ETRADE CUSTODIAN

E*TRADE Securities LLC



Customer Update

Why not get your statements, confirmations, and tax documents online? Enroll for paperless delivery today at etrade.com/paperless.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
08/02/19	08/06/19	6 1	LK	BUY	485	\$24.815	Cash	PRINCIPAL	\$12,035.28
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								COMMISSION	\$6.95
								NET AMOUNT	\$12,042.23

▲ DETACH HERE
LOREN W GILES
IRA ETRADE CUSTODIAN
[REDACTED]

DETACH HERE ▲

Use This Deposit Slip Acct: [REDACTED]

To contribute to your IRA, please use our online Transfer Money service at www.etrade.com/transfermoney or this deposit slip.

Check Amount \$ _____
Year of Contribution:
_____ 2019
_____ Rollover

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

E*TRADE Securities LLC
[REDACTED]





Type of Transaction
(Market)

1. NYSE	5. CBOE
2. NYSE American	6. Over-the-Counter
3. Other US Registered Exchanges	7. Underwriting
4. Mutual Funds	8. Foreign Exchange or Other

(Capacity in which your broker is acting)
1, 2, 3, 4, 5, 8 As agent
6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.
7 As principal

If the symbol (*) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you, the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is "Foreign" and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

Terms and Conditions

Please refer to the E*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E*TRADE Customer Agreement or your account, please email us through etrade.com or call 800-ETRADE-1. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E*TRADE Securities LLC ("ETS"), Member FINRA/SIPC. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. ETS or its affiliates may be a principal in your transaction.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel, prior to execution, will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee* for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees, among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield; complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GMO, FNMA, FHLMC, or GMNA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable, however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WP" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark-up or mark-down; both as a dollar and percentage value. The mark-up or mark-down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark-up or mark-down percentage value is calculated as the mark-up or mark-down dollar value divided by the PMP. Trades with a mark-up or mark-down value of "NA" indicate a negative mark-up or mark-down, i.e., the sale of the security was at a price lower than the PMP.

*Additional Fees may include a broker-assist fee, an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

LOREN W GILES IRA ETRADE CUSTODIAN

Payment for Order Flow Disclosure

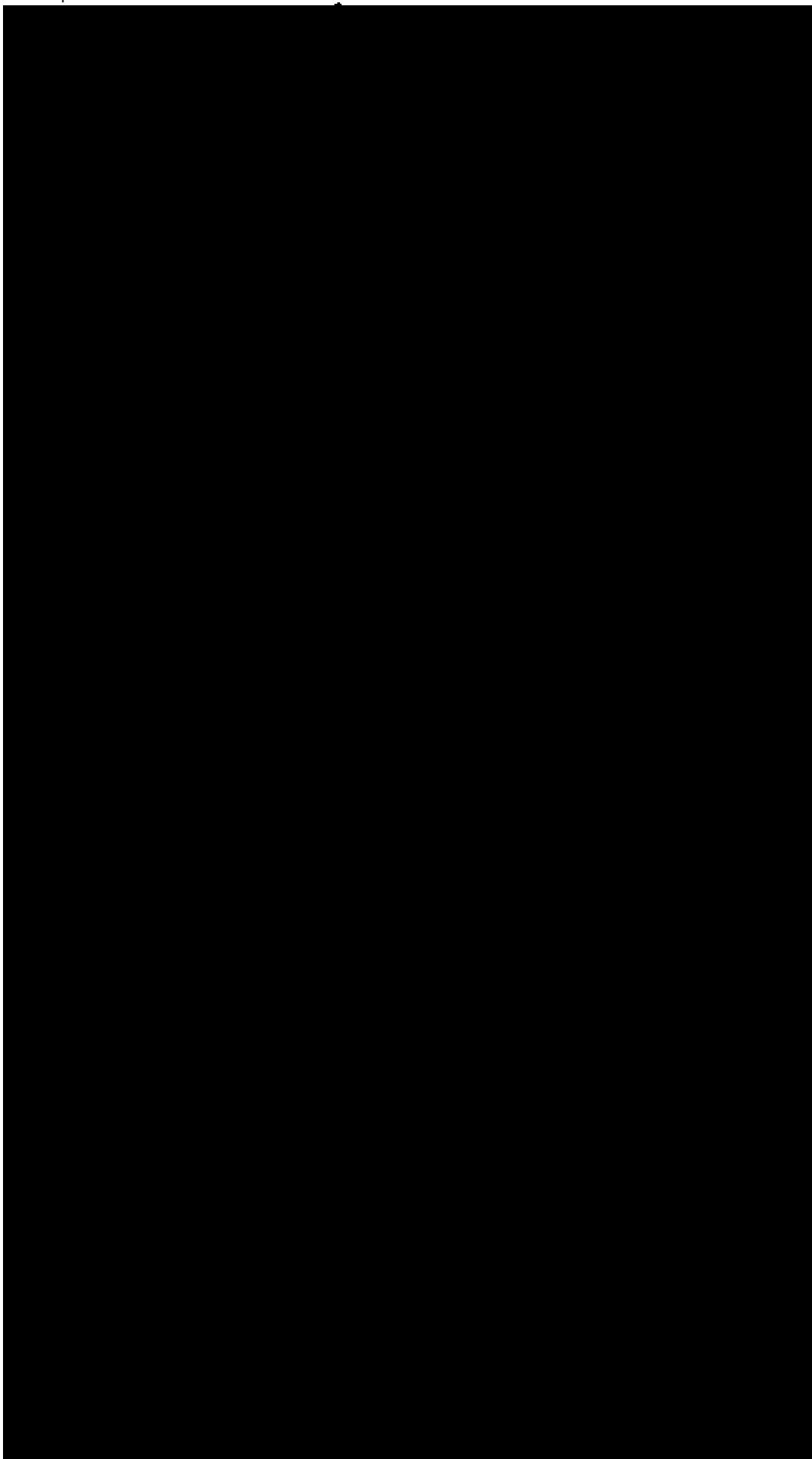
The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit-sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements.

Trades noted as "AVG PRICE SHOWN-DETAILS ON REQ" are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request.

Rev 04/18 81237B04

Richard Wallace
(319)

AS - IS
Document Control



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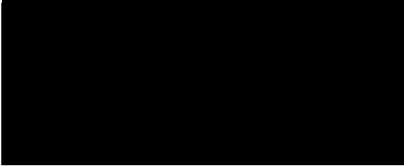
From: Info_LuckinCoffeeSecuritiesL
Sent: Friday, November 19, 2021 1:04 PM
To: Claims_LuckinCoffeeSecuritie
Subject: Luckin Coffee Inc. Securities Litigation – Claim Upload

Contact Information

Location: \\Ca003-prfs001\CA-7\Luckin Coffee Inc. Securities Litigation - 40050093\Electronic Files Received\Claim\628\

Name: Richard Wallace

Address:



Phone Number: [Redacted]

Email: [Redacted]

Date and Time: 11/19/2021 1:04:25 PM

Confirmation Number: CEIWYE1T

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004501545
000 0004783 00000000 0001 0001 04783 INS: 0 0
RICHARD WALLACE

Claim Number: 319

Response Deadline: April 18, 2022



March 29, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement, which has been approved by the Court and which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: Missing Signature and/or Proof of Authority to Sign.

The Claim referenced above is missing (i) a signature for an owner, co-owner, joint tenant, custodian, agent, executor, administrator, guardian, and/or trustee; and/or (ii) the authority and/or the capacity of the person who signed the Claim Form to sign on behalf of the beneficial owner.

How to Resolve: You can resolve this condition of ineligibility by signing below and returning this notice together with proof of authority to sign, if applicable, to the Claims Administrator by the response deadline. If you purchased/acquired the Luckin ADSs jointly, all owners must sign. If one of the beneficial owners is deceased, you must provide a copy of the death certificate. If you submitted the Claim on behalf of another party, for example, as trustee, executor, power of attorney, etc., you must provide proof of authority to legally act on the beneficiary’s behalf. Your signature(s) below will be treated as an execution of your previously submitted Claim and your affirmation of the Release contained on page 7 of the Claim Form.

Under the penalties of perjury, I (we) certify that all of the information provided by me (us) on the Claim Form previously submitted is true, correct, and complete and that the documents submitted with the Claim Form and/or herewith are true and correct copies of what they purport to be.



Signature of Claimant or Representative	Date	Signature of Joint Claimant (if any)	Date
---	------	--------------------------------------	------

Printed Name & Capacity of Person(s) Signing
(e.g., executor, president, trustee, custodian, etc.)

Joint Claimant Printed Name

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.

Ineligibility Condition: No Recognized Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that no Luckin ADSs were held through the alleged corrective disclosure on January 31, 2020 (*see* Settlement Notice Appendix A paragraph 7(a)). Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.

Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR’S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

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Richard F. Wallace 11-6-22
 Signature of Claimant or Representative Date Signature of Joint Claimant (if any) Date
Richard F. Wallace
 Printed Name & Capacity of Person(s) Signing (e.g., executor, president, trustee, custodian, etc.) Joint Claimant Printed Name

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured.

Ineligibility Condition: No Recognized Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that no Luckin ADSs were held through the alleged corrective disclosure on January 31, 2020 (see Settlement Notice Appendix A paragraph 7(a)). Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers' confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured.

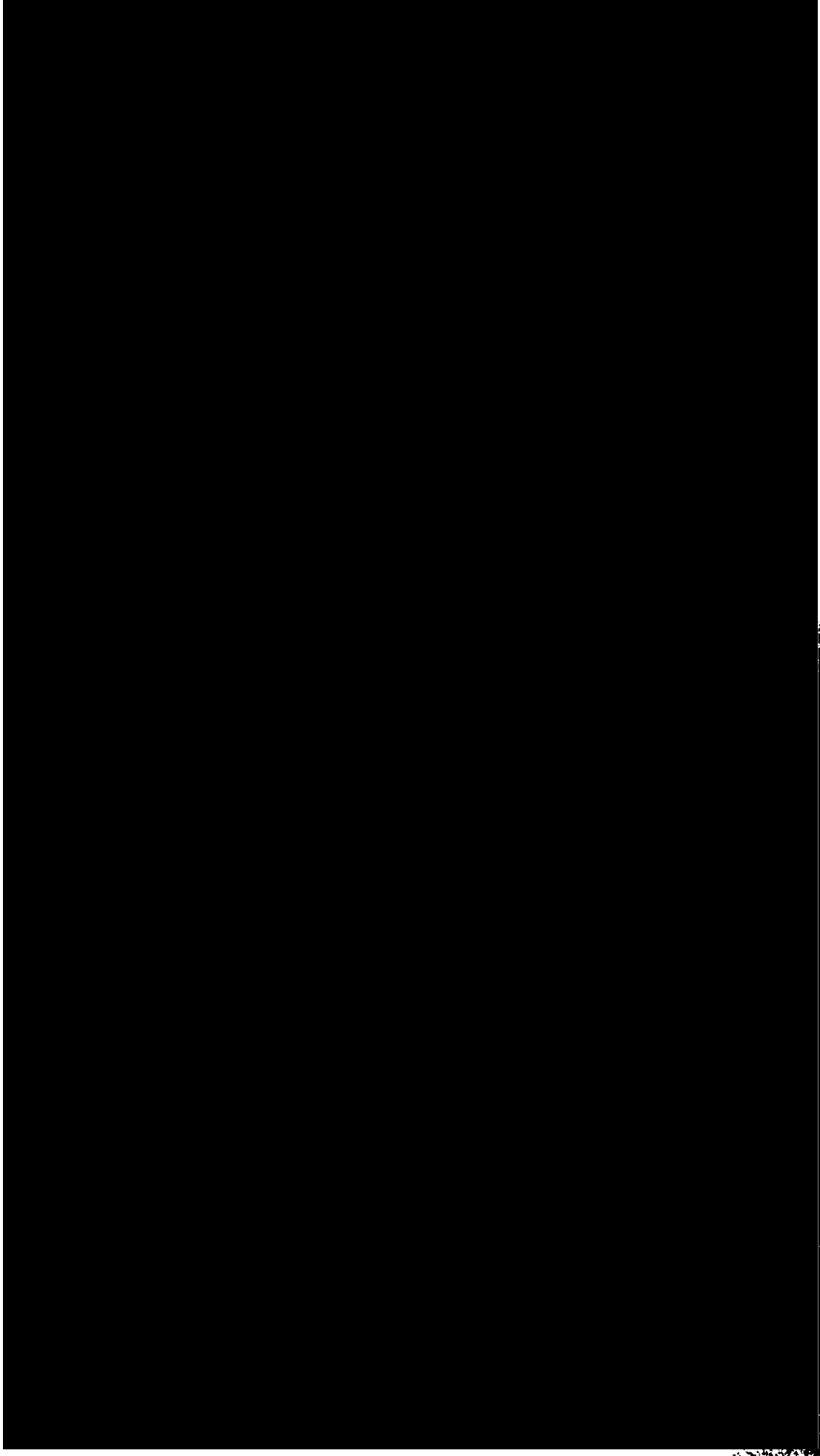
Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
 Claims Administrator

AS - IS
Document Control



Guerrero, Casandra

From: Info_LuckinCoffeeSecuritiesL
Sent: Saturday, April 23, 2022 4:18 AM
To: Hudson, Renee
Subject: FW: Request for Court Review due to missing signature and/or proof of Authority to Sign
Attachments: Luckin-1 signed.jpg; Luckin-2 signed.jpg; LuckinSt-purch.jpg

----- Original Message -----

From: [REDACTED]
Received: 4/6/2022 8:49 AM
To: info@LuckinCoffeeSecuritiesLitigation.com; Info@LuckinCoffeeSecuritiesLitigation.com
Subject: Request for Court Review due to missing signature and/or proof of Authority to Sign

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Per the notice of Deficient Claim Submission (attached) I: 1- have attached a copy of notice; specifically requesting Court Review for missing signature; attached page 2 with signature provided; and 3; copy of the stock purchases through my Fidelity Account...
Richard F. Wallace
April 6, 2022

Ronald Burton
(18435)

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

ROMALD

MI

S

Last Name

BURTON

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
 Corporation
 UGMA Custodian
 IRA
 Partnership
 Estate
 Trust
 Other (describe: _____)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin's May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
052020	3000	3.09	9150.00	N	Y
052020	3000	3.05	9150.00	M	Y
052020	1000	3.05	3050.00	N	Y
052020	3000	3.12	9360.00	N	Y
052020	3000	3.12	9360.00	N	Y

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write "zero" or "0."

0000 NONE . 00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

SEE NEXT PAGE

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write "zero" or "0."

0000 NONE . 00

Confirm Proof of Position Enclosed

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. RONALD S BURTON. SOCIAL S

¹ Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
052020	1000	3.12	3120.	N	<input checked="" type="checkbox"/>
052120	2000	2.60	5200.	N	<input checked="" type="checkbox"/>
052120	2000	2.60	5200.	N	<input checked="" type="checkbox"/>
052120	4000	2.32	9280.	N	<input checked="" type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

ZERO.

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
052020	7000	3.28	22960.	<input checked="" type="checkbox"/>
052020	7000	2.93	20510.	<input checked="" type="checkbox"/>
052220	8000	1.35	10800.	<input checked="" type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

ZERO NONE. *zero*

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. RONALD S BURTON. SOCIAL

Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Ronald Burton

Signature of claimant

Date: 03 - 12 - 2022
MM DD YYYY

RONALD S. BURTON

Print claimant name here

Signature of joint claimant, if here

Date: - - -
MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date: - - -
MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶ 11 on page 4 of this Claim Form.)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824



Attachments

Patch Code 3





DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26474021124	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	7,000	54951L109	3.05	21,350.00	0.00	0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	21,350.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
		26474027430	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU SOLD	7,000	54951L109	3.28	22,960.00		1.34
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	22,958.66	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.
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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26474051868	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	7,000	54951L109	3.12	21,840.00		0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	21,840.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON



Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26474919240	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU SOLD	7,000	54951L109	2.93	20,510.00		1.28
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	20,508.72	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.
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PLEASE REFER TO THE TERMS AND CONDITIONS.



DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26496400946	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	4,000	54951L109	2.60	10,400.00	0.00	0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/21/2020	05/26/2020		0.00	10,400.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
		26497691330	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	4,000	54951L109	2.32	9,280.00		0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/21/2020	05/26/2020		0.00	9,280.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
		26522201148	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU SOLD	8,000	54951L109	1.35	10,800.00	0.00	1.19
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/22/2020	05/27/2020		0.00	10,798.81	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

**CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.
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**FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION
PLEASE REFER TO THE TERMS AND CONDITIONS.**

Neal, Malika

From: Info_LuckinCoffeeSecuritiesL
Sent: Saturday, March 12, 2022 3:14 PM
To: Claims_LuckinCoffeeSecuritie
Subject: Luckin Coffee Inc. Securities Litigation – Claim Upload

Contact Information

Location: \\Ca003-prfs001\CA-7\Luckin Coffee Inc. Securities Litigation - 40050093\Electronic Files Received\Claim\24308\

Name: Ronald Burton

Address:

[REDACTED]

Phone Number: [REDACTED]

Email: [REDACTED]

Date and Time: 3/12/2022 3:14:23 PM

Confirmation Number: [REDACTED]

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004718453
000 0000387 00000000 0001 0001 00387 INS: 0 0
RONALD S BURTON

Claim Number: 18435

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004718453
000 0000387 00000000 0001 0001 00387 INS: 0 0

RONALD S BURTON
[REDACTED]

Claim Number: 18435

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form ("Claim") that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice"). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers' confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

MI

Last Name

ROMALD

S

BURTON

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin's May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
05/20/20	7,000 3,000	3.05	9,150.00	N	Y
05/20/20	3,000	3.05	9,150.00	M	Y
05/20/20	1,000	3.05	3,050.00	N	Y
05/20/20	3,000	3.12	9,360.00	N	Y
05/20/20	3,000	3.12	9,360.00	N	Y

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write "zero" or "0."

NONE.00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

SEE NEXT PAGE

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write "zero" or "0."

NONE.00

Confirm Proof of Position Enclosed

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. RONALD S BURTON, SOCIAL #

¹ Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
052020	1600	3.12	3120.	N	<input checked="" type="checkbox"/>
052120	2000	2.60	5200.	N	<input checked="" type="checkbox"/>
052120	2000	2.60	5200.	N	<input checked="" type="checkbox"/>
052120	4000	2.32	9280.	N	<input checked="" type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

ZERO.

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
052020	7000	3.28	22960.	<input checked="" type="checkbox"/>
052020	7000	2.93	20510.	<input checked="" type="checkbox"/>
052220	8000	1.35	10800.	<input checked="" type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

ZERONONE.

Confirm Proof of Position Enclosed

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. RONALD S BURTON. SOCIAL -

Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)) heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Ronald Burton

Signature of claimant

Date: 03 - 12 - 2022
MM DD YYYY

RONALD S. BURTON

Print claimant name here

Signature of joint claimant, if here

Date: - - -
MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date: - - -
MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶ 11 on page 4 of this Claim Form.)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

Claims Administrator



In re Luckin Coffee Inc Securities Litigation
Care of: Epiq Class Action & Claims Solutions, Inc.
P.O.Box 5887
Portland, OR 97228

May 13, 2022

Re Claim Number 18435
Ronald S Burton

Claim Administrator

Please see the attached Notice of Deficient Claim as required

I kindly request the Court review the rejection of my claim. I have proven a loss of \$8,600 in the documentation and claim form previously sent and again attached. The calculation is further supported by the enclosed spreadsheet calculation. **It shows 22,000 shares purchased and sold across 3 days for a loss of \$8,600.**

The brokerage statements enclosed support all these transactions.

All requested supporting documentation is enclosed.

Thank you for your review.

A handwritten signature in black ink that reads "Ron Burton". The signature is written in a cursive, slightly slanted style.

Ron Burton



DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
		26474021124	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	7,000	54951L109	3.05	21,350.00	0.00	0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	21,350.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
		26474027430	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU SOLD	7,000	54951L109	3.28	22,960.00		1.34
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	22,958.66	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.
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Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26474051868	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	7,000	54951L109	3.12	21,840.00		0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	21,840.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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Confirmation Notice

RON BURTON



Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26474919240	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU SOLD	7,000	54951L109	2.93	20,510.00		1.28
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/20/2020	05/22/2020		0.00	20,508.72	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26496400946	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	4,000	54951L109	2.60	10,400.00	0.00	0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/21/2020	05/26/2020		0.00	10,400.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
[REDACTED]		26497691330	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU BOUGHT	4,000	54951L109	2.32	9,280.00		0.00
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/21/2020	05/26/2020		0.00	9,280.00	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION
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DIVISION OF TD AMERITRADE INC



Confirmation Notice

RON BURTON

Account Number	Other Information	Transaction Number	Capacity Codes	Your Representative		
		26522201148	A	AMERITRADE PLUS 2002		
Activity	Quantity	CUSIP Number	Price	Principal Amount	Misc Fees	Reg Fee
YOU SOLD	8,000	54951L109	1.35	10,800.00	0.00	1.19
As of Trade Date	Trade Date	Settlement Date	Interest	Commission/Fee	Net Amount	
	05/22/2020	05/27/2020		0.00	10,798.81	
Symbol	Trade Description					
LK	LUCKIN COFFEE INC ADR SPONSORED					

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FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION
PLEASE REFER TO THE TERMS AND CONDITIONS.

Luckin Transactions during Class Period

Calculation of Loss:

These are all supported by the attached transaction documents

Claim Number 18435 Ronald S Burton

Purchases

Date	Number	Price	
20-May-20	7,000	\$21,350	
20-May-20	7,000	\$21,840	
21-May-20	4,000	\$10,400	
21-May-20	4,000	\$9,280	
Total Purchased	22,000	\$62,870	Total Cost

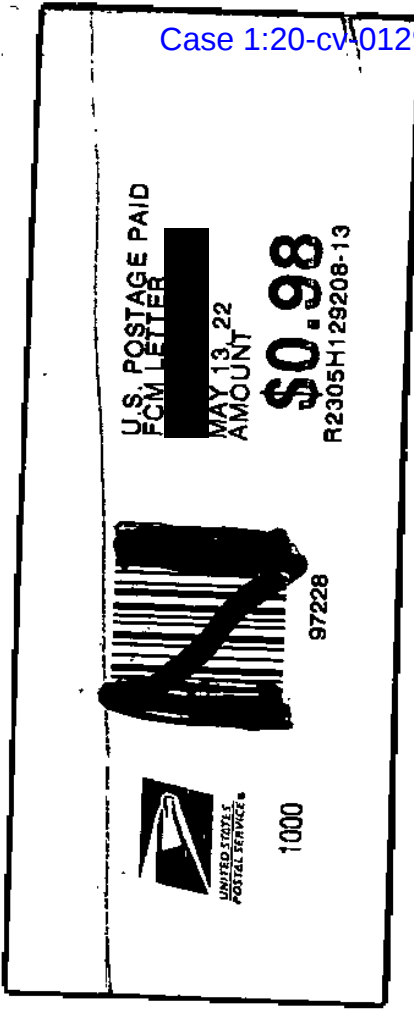
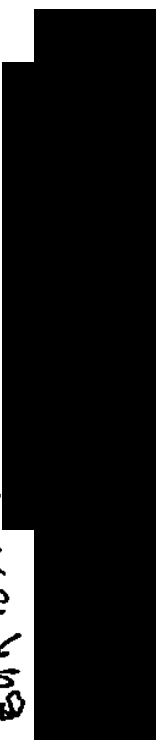
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Sales

Date	Number	Price	
20-May-20	7,000	\$22,960	
20-May-20	7,000	\$20,510	
22-May-20	8,000	\$10,800	
Total Sold	22,000	\$54,270	Sales Price

Loss \$8,600

PORTLAND



CLAIM ADMINISTRATOR

IN RE LUCKIN COFFEE INC SECURITIES LITIGATION

CARE OF

EPIC CLASS ACTION & CLAIMS SOLUTIONS, INC.

PO BOX 5887

PORTLAND, OR 97228-5887

Sonal Patel
(21803)

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

S O N A Z

MI

Last Name

P A T E L

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Partnership
- Corporation
- Estate
- UGMA Custodian
- Trust
- IRA
- Other (describe: _____)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
022820	1000	37.44	37445.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
031920	200	26.47	5294.40	<input type="checkbox"/>	<input checked="" type="checkbox"/>
031920	1331	24.28	32323.34	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

0.00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
033120	2000	26.69	53378.58	<input checked="" type="checkbox"/>
033120	531	27.21	14448.14	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

0.00

Confirm Proof of Position Enclosed

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

S. Patel

Signature of claimant

Date: 03 - 12 - 2022
MM DD YYYY

SONAL PATEL

Print claimant name here

Signature of joint claimant, if here

Date: - - -
MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

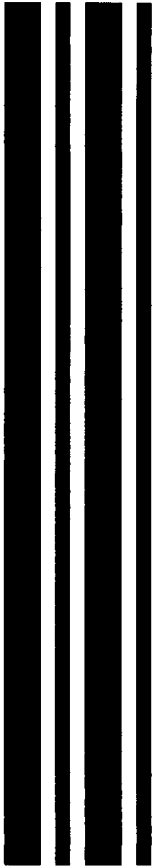
Date: - - -
MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶ 11 on page 4 of this Claim Form.)



Attachments



Patch Code 3





Account: [Redacted] My Profile Support English Log Out Dock

My Account Trade Research & Ideas Planning & Retirement Education Client Services

Printer-friendly page | Page help

History & Statements

Transactions Statements Confirmations Shareholder Library

Type: All transaction types

Symbol(s): [Symbol lookup](#)
(separate symbols with commas)

View range: Month-to-date | 1 day 7 days 14 days 30 days 60 days

View year: 2022 2021 2020 2019 2018 2017 2016 2015 [Show More...](#)

View dates: Jan. 1 2020 to Dec. 31 2020

You can search a date range of up to one year.

Sweeps: Hide sweeps

Sweeps are uninvested cash transactions that move in and out of cash alternatives.

or

Search results for 1/1/2020 to 12/31/2020

Date/Time	Description	Amount	Commission	Reg Fee	Details
02/28/2020 11:15:41	Bought 1000 LK @ 37.445	-37,445.00	0.00	0.00	Details
03/19/2020 11:28:26	Bought 200 LK @ 26.472	-5,294.40	0.00	0.00	Details
03/19/2020 14:32:13	Bought 1331 LK @ 24.285	-32,323.34	0.00	0.00	Details
03/31/2020 09:35:13	Sold 2000 LK @ 26.69	53,378.58	0.00	1.42	Details
03/31/2020 09:51:43	Sold 531 LK @ 27.21	14,448.14	0.00	0.37	Details

Account Balances

1/1/2020 Cash balance	[Redacted]
12/31/2020 Cash balance	[Redacted]
Net change	
1/1/2020 Cash alternatives balance	[Redacted]
12/31/2020 Cash alternatives balance	[Redacted]
Net change	

Looking for tax documents?

To find 1099s and other tax documents, go to the [Tax Center](#).

Options: Enter underlying symbol and click Chain | Index: use "\$" (e.g. \$DJII)

Streaming: off

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004730995
000 0000194 00000000 0001 0001 00194 INS: 0 0

SONAL PATEL



Claim Number: 21803

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

To

In re Luckin Coffee Inc., Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

AS-IS
Document
Control

Date: May 13th 2022

Subject: Court Review of Claim# 21803

Dear Claims Administrator,

With respect to your 'Notice of Deficient Claim' dated April 26th 2022, we have sent you email yesterday with relevant documentation and explanations to address the issue.

However, if that is not sufficient to address the deficiency claim as per your letter then please take this letter as our request for a Court Review to contest the rejection of my Claim# 21803

I am attaching herewith a copy of

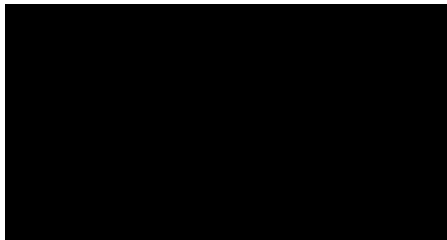
- 1) Deficient Claim notice that I received for my claim.
- 2) A copy of the email we send you today
- 3) Full documentation of my eligible Luckin coffee transactions from my broker attached herewith clearly shows I incurred a loss (and not a gain) due to my transactions.

Feel free to call or email if you'd like discuss further.

Thank you



Sonal Patel



Reply all Delete Junk Block sender

AS-IS Document Control

Claim# 21803 (Full documentation to address deficient claim)

SP Sonal Patel

To: info@LuckinCoffeeSecuritiesLitigation.com

Fri 5/13/2022 1:17 PM

Sonal Roth IRA Luckin trades... 207 KB

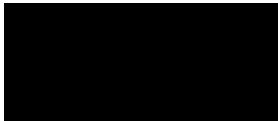
Hello LuckinCoffeeSecuritiesLigation team,

Claim number: 21803

Attached please find the full documentation as obtained from my broker for transactions in Luckin coffee securities during the class period for your reference and records -

Feel free to email or call me with any more information you need.

Thank you, Sonal Patel



Reply Forward



May 11, 2022

Sonal Patel Roth Ira Td Ameritrade Inc Custodian
 [REDACTED]

Re: Confirmation of Your Account Transaction History

Dear Sonal Patel,

Thank you for your request regarding your TD Ameritrade account ending in [REDACTED]. Here is the transaction history information you requested.

LKNCY - LUCKIN COFFEE INC

Date	Activity	Quantity	Price	Amount
2/28/2020	Buy	1,000	\$ 37.45	\$(37,445.00)
3/19/2020	Buy	200	\$ 26.47	\$(5,294.40)
3/19/2020	Buy	1,331	\$ 24.29	\$(32,323.34)
3/31/2020	Sell	2,000	\$ 26.69	\$ 53,378.58
3/31/2020	Sell	531	\$ 27.21	\$ 14,448.14

If you have questions regarding your tax liability or need assistance with determining your cost basis, please consult with a qualified tax advisor. TD Ameritrade does not provide tax advice.

If we can be of any further assistance, please let us know. Just log in to your account and go to Client Services > Message Center to write us. You can also call Client Services at 800-669-3900. We're available 24 hours a day, 7 days a week.

Sincerely,

[REDACTED]
 Resource Specialist
 TD Ameritrade

This information is furnished as part of a general information service and TD Ameritrade shall not be liable for any damages arising out of any inaccuracy in the information. Because this information may differ from your TD Ameritrade monthly statement, you should rely only on the TD Ameritrade monthly statement as the official record of your TD Ameritrade account.

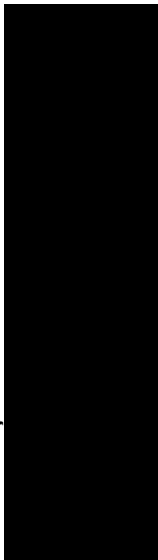
Market volatility, volume, and system availability may delay account access and trade executions.

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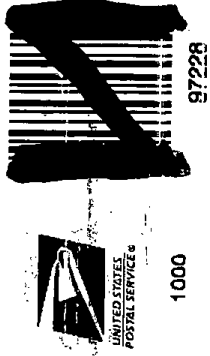
TDA 101515 02/21



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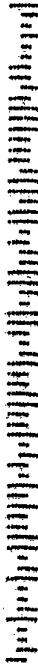


claim # 21803



U.S. POSTAGE PAID
FCM LETTER
MAY 14, 22
AMOUNT
\$0.58
R2305M147742-07

Epic Class Action & Claims Solutions Inc.
(re Luckin coffee cybersecurity litigation)
P.O. Box 5887
Portland, OR 97228-5887



97228-588787

Divider Page



May 11, 2022

Sonal Patel Roth Ira Td Ameritrade Inc Custodian
[REDACTED]

Re: Confirmation of Your Account Transaction History

Dear Sonal Patel,

Thank you for your request regarding your TD Ameritrade account ending in [REDACTED]. Here is the transaction history information you requested.

LKNCY - LUCKIN COFFEE INC

Date	Activity	Quantity	Price	Amount
2/28/2020	Buy	1,000	\$ 37.45	\$(37,445.00)
3/19/2020	Buy	200	\$ 26.47	\$(5,294.40)
3/19/2020	Buy	1,331	\$ 24.29	\$(32,323.34)
3/31/2020	Sell	2,000	\$ 26.69	\$ 53,378.58
3/31/2020	Sell	531	\$ 27.21	\$ 14,448.14

If you have questions regarding your tax liability or need assistance with determining your cost basis, please consult with a qualified tax advisor. TD Ameritrade does not provide tax advice.

If we can be of any further assistance, please let us know. Just log in to your account and go to Client Services > Message Center to write us. You can also call Client Services at 800-669-3900. We're available 24 hours a day, 7 days a week.

Sincerely,

[REDACTED]

Resource Specialist
TD Ameritrade

[REDACTED]

This information is furnished as part of a general information service and TD Ameritrade shall not be liable for any damages arising out of any inaccuracy in the information. Because this information may differ from your TD Ameritrade monthly statement, you should rely only on the TD Ameritrade monthly statement as the official record of your TD Ameritrade account.

Market volatility, volume, and system availability may delay account access and trade executions.

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TDA 101515 02/21



[REDACTED]

From: Info_LuckinCoffeeSecuritiesL
Sent: Monday, May 16, 2022 9:05 AM
To: [REDACTED]
Subject: FW: Claim# 21803 (Full documentation to address deficient claim)
Attachments: Sonal Roth IRA Luckin trades.pdf

----- Original Message -----

From: [REDACTED]
Received: 5/13/2022 10:18 AM
To: info@LuckinCoffeeSecuritiesLitigation.com; Info@LuckinCoffeeSecuritiesLitigation.com
Subject: Claim# 21803 (Full documentation to address deficient claim)

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Hello LuckinCoffeeSecuritiesLigation team,

Claim number: 21803

Attached please find the full documentation as obtained from my broker for transactions in Luckin coffee securities during the class period for your reference and records -

Feel free to email or call me with any more information you need.

Thank you,
Sonal Patel

[REDACTED]

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004730995
000 0000194 00000000 0001 0001 00194 INS: 0 0

SONAL PATEL
[REDACTED]

Claim Number: 21803

Response Deadline: May 16, 2022

April 26, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that all of the Luckin ADSs purchased during the Class Period were sold for a gain. Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

03/06/23
10:00 AM
10:00 AM
10:00 AM
10:00 AM

Samantha Chui Shan Ku
(11979)

In re Luckin Coffee Inc. Securities Litigation
Toll-Free Number: 1-855-535-1824
Email: info@LuckinCoffeeSecuritiesLitigation.com
Website: www.LuckinCoffeeSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a payment from the Settlement, you must complete and sign this Claim Form and mail it by first-class mail to the address below, or submit it online at www.LuckinCoffeeSecuritiesLitigation.com, with supporting documentation, *postmarked* (or received) no later than March 15, 2022.

Mail to:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Failure to submit your Claim Form by the date specified will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Submit your Claim Form only to the Claims Administrator at the address set forth above.

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PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name MI Last Name
 S A M A N T H A C K U

Joint Beneficial Owner's Name (if applicable)

First Name MI Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s) Corporation UGMA Custodian IRA
- Partnership Estate Trust Other (describe: _____)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.
2. This Claim Form is directed to **all persons and entities (and their beneficiaries) who purchased or otherwise acquired the American Depository Shares ("ADSs") of Luckin Coffee Inc. ("Luckin") during the Class Period (from May 17, 2019 through July 15, 2020, inclusive) (the "Class").** Included in the Class are all persons and entities who purchased Luckin ADSs on the open market and/or in or traceable to the May 17, 2019 Initial Public Offering ("IPO") and January 10, 2020 Secondary Public Offering ("SPO") during the Class Period. Certain persons and entities are excluded from the Class by definition as set forth in ¶ 25 of the Settlement Notice.
3. By submitting this Claim Form, you will be making a request to receive a payment from the Settlement described in the Settlement Notice. **IF YOU ARE NOT A CLASS MEMBER (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**
4. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement, if it is approved. The distribution of the payments to eligible purchasers of Luckin ADSs will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**
5. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in, and holdings of, Luckin ADSs. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Luckin ADSs (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**
6. **Please note:** Only Luckin ADSs purchased or acquired from May 17, 2019 through July 15, 2020, inclusive, are eligible for payment under the Settlement. However, sales of Luckin ADSs during the period from July 16, 2020 through and including the close of trading on October 20, 2021, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase/acquisition and sale/disposition information during this period must also be provided.
7. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Luckin ADSs as set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Luckin ADSs. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**
8. **Traceability of Luckin ADSs to Public Offerings in the Class Period.** Public offerings of Luckin ADSs occurred during the Class Period on or about (i) May 17, 2019 (the IPO); and (ii) January 10, 2020 (the SPO). Claimants who purchased Luckin ADSs directly in one or both of the offerings, or who purchased shares "traceable" to one or both of the offerings (as opposed to generally on the open market) may be entitled to additional compensation under the Plan of Allocation. All Luckin ADSs purchased from May 17, 2019 through January 9, 2020 are assumed to be traceable to the IPO. However, if you purchased Luckin ADSs from January 10, 2020 through July 15, 2020 that were not purchased directly in the SPO but that you believe are specifically traceable to Luckin ADSs that were issued in the IPO or SPO, you must submit documentation with your Claim Form showing that the specific ADSs you purchased were shares issued in the IPO or SPO.
9. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Luckin ADSs. The complete name(s) of the beneficial owner(s) must be entered. If you held the Luckin ADSs in your own name, you were the beneficial owner as well as the record owner. If, however, your Luckin ADSs were registered in the name of a third party, such as a nominee or brokerage firm, you were the beneficial owner of the ADSs, but the third party was the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

10. **One Claim should be submitted for each separate legal entity or separately managed account.** Separate Claim Forms should be submitted for each separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Luckin ADSs made on behalf of a single beneficial owner.

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name; account number; last four digits of the Social Security Number, taxpayer identification number, or comparable identification number for non-U.S. claimants; address; and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Luckin ADSs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the Luckin ADSs you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. Payments to eligible Authorized Claimants pursuant to the Plan of Allocation will be made after final approval of the Settlement and any appeals from such approval, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the funds available under the Settlement. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form, you may contact the Claims Administrator, Epiq Class Action & Claims Solutions, Inc., at the address on the first page of this Claim Form, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you can visit the website, www.LuckinCoffeeSecuritiesLitigation.com, where copies of the Claim Form and other relevant documents are available for downloading.

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.LuckinCoffeeSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com. Any file not in accordance with the required electronic filing format will be subject to rejection. The *complete* name of the beneficial owner of the securities must be entered where called for (see ¶ 9 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com to inquire about your file and confirm it was received.

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-855-535-1824.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III - SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II - General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE - Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin's May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
052220	2000	2.89	5780.00	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE - State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write "zero" or "0."

0000000000.00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 - Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

IF NONE,
CHECK
HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
052620	2000	2.13	4234.00	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 - State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write "zero" or "0."

0000000000.00

Confirm
Proof of
Position
Enclosed

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

Please note: Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.


CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did *not* submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.



Signature of claimant

Date: 02 - 06 - 2022
MM DD YYYY

SAMANTHA C KY

Print claimant name here

Signature of joint claimant, if here

Date: - - -
MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date: - - -
MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶ 11 on page 4 of this Claim Form.)

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

REMINDER CHECKLIST

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-855-535-1824.
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you may visit www.LuckinCoffeeSecuritiesLitigation.com.

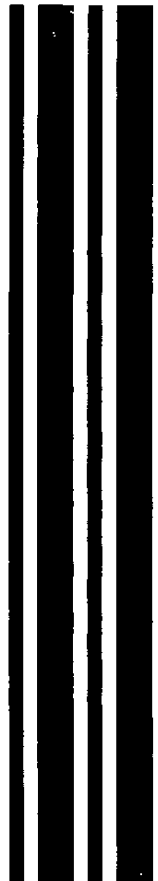
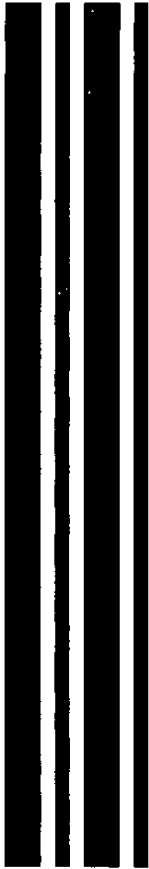
This Claim Form must be mailed to the Claims Administrator by first-class mail or submitted online at www.LuckinCoffeeSecuritiesLitigation.com, postmarked (or received) no later than March 15, 2022. If mailed, the Claim Form should be addressed as follows:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before March 15, 2022 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824



Attachments

Patch Code 3





Online at: www.merrilledge.com

Account Number: [REDACTED]

24-Hour Assistance: [REDACTED]

Access Code: [REDACTED]

MRS SAMANTHA CHUI SHAN KU
[REDACTED]

Net Portfolio Value: [REDACTED]

Your Merrill Office:
Merrill EDGE
[REDACTED]

CMA® ACCOUNT

This account is enrolled in the Preferred Rewards Platinum Honors

May 01, 2020 - May 29, 2020

	This Statement	Year to Date
Opening Value (05/01)	[REDACTED]	[REDACTED]
Total Credits	[REDACTED]	[REDACTED]
Total Debits	[REDACTED]	[REDACTED]
Securities You Transferred In/Out	[REDACTED]	[REDACTED]
Market Gains/(Losses)	[REDACTED]	[REDACTED]
Closing Value (05/29)	[REDACTED]	[REDACTED]

	May 29	April 30
ASSETS		
Cash/Money Accounts	[REDACTED]	[REDACTED]
Fixed Income	[REDACTED]	[REDACTED]
Equities	[REDACTED]	[REDACTED]
Mutual Funds	[REDACTED]	[REDACTED]
Options	[REDACTED]	[REDACTED]
Other	[REDACTED]	[REDACTED]
Subtotal (Long Portfolio)	[REDACTED]	[REDACTED]
TOTAL ASSETS	[REDACTED]	[REDACTED]
LIABILITIES		
Debt Balance	[REDACTED]	[REDACTED]
Short Market Value	[REDACTED]	[REDACTED]
TOTAL LIABILITIES	[REDACTED]	[REDACTED]
NET PORTFOLIO VALUE	[REDACTED]	[REDACTED]

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoA Corp.
Investment products: Are Not FDIC Insured Are Not Bank Guaranteed May Lose Value

MRS SAMANTHA CHUI SHAN KU

Account Number: [REDACTED]

24-Hour Assistance: [REDACTED]
Access Code: [REDACTED]

CMA® ACCOUNT

May 01, 2020 - May 29, 2020

CASH FLOW	This Statement	Year to Date
Opening Cash/Money Accounts	[REDACTED]	[REDACTED]
CREDITS	[REDACTED]	[REDACTED]
Funds Received	[REDACTED]	[REDACTED]
Electronic Transfers	[REDACTED]	[REDACTED]
Other Credits	[REDACTED]	[REDACTED]
<i>Subtotal</i>	[REDACTED]	[REDACTED]
DEBITS	[REDACTED]	[REDACTED]
Electronic Transfers	[REDACTED]	[REDACTED]
Margin Interest Charged	[REDACTED]	[REDACTED]
Other Debits	[REDACTED]	[REDACTED]
Visa Purchases	[REDACTED]	[REDACTED]
ATM/Cash Advances	[REDACTED]	[REDACTED]
Checks Written/Bill Payment	[REDACTED]	[REDACTED]
Advisory and other fees	[REDACTED]	[REDACTED]
<i>Subtotal</i>	[REDACTED]	[REDACTED]
Net Cash Flow	[REDACTED]	[REDACTED]
OTHER TRANSACTIONS	[REDACTED]	[REDACTED]
Dividends/Interest Income	[REDACTED]	[REDACTED]
Security Purchases/Debits	[REDACTED]	[REDACTED]
Security Sales/Credits	[REDACTED]	[REDACTED]
Closing Cash/Money Accounts	[REDACTED]	[REDACTED]
Fees Included in Transactions Above	[REDACTED]	[REDACTED]
Commissions/Trading Fees	[REDACTED]	[REDACTED]

ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.

	Allocation
[REDACTED]	[REDACTED]
<input type="checkbox"/> Cash/Money Accounts	[REDACTED]
<input checked="" type="checkbox"/> Equities	[REDACTED]
TOTAL	[REDACTED]

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements		X
Performance Reports		X
Trade Confirms		X
Shareholders Communication		X
Prospectus		X
Service Notices		X
Tax Statements		X

+



MRS SAMANTHA CHUI SHAN KU

Account Number: [REDACTED]

YOUR CMA ASSETS

May 01, 2020 - May 29, 2020

CASH / MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
<i>Description</i>							
[REDACTED]							

EQUITIES		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
<i>Description</i>										
[REDACTED]										
TOTAL										

RESEARCH RATINGS		Symbol	BoiAML Research	Morningstar	CFRA
<i>Security</i>					
[REDACTED]					

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BoiAML AND THIRD PARTY RESEARCH RATINGS.

LONG PORTFOLIO		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
[REDACTED]						

YOUR CMA TRANSACTIONS

DIVIDENDS / INTEREST INCOME TRANSACTIONS		Transaction Type	Quantity	Income	Income Year To Date
<i>Date</i>	<i>Description</i>				
Taxable Interest					
[REDACTED]					

+

MRS SAMANTHA CHUI SHAN KU

Account Number: [REDACTED]

24-Hour Assistance: [REDACTED]

Access Code: [REDACTED]

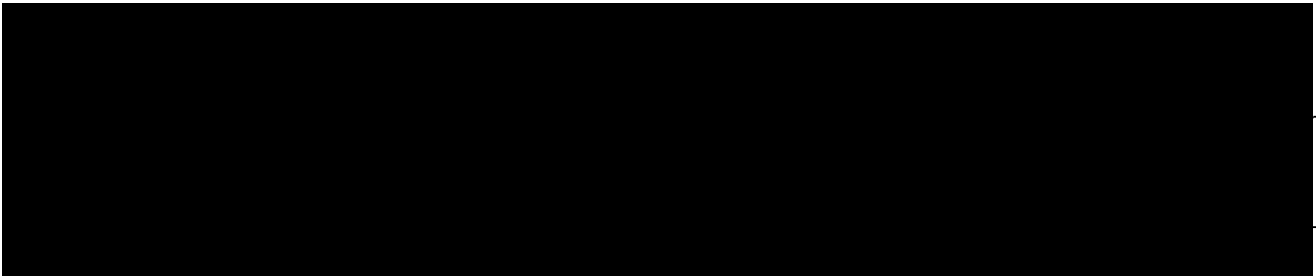
YOUR CMA TRANSACTIONS

May 01, 2020 - May 29, 2020

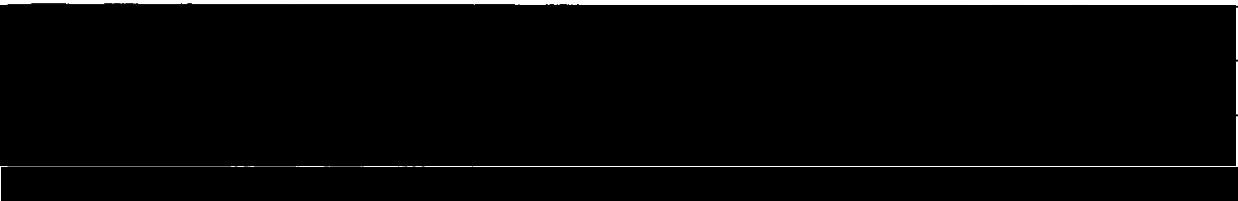
SECURITY TRANSACTIONS

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
-----------------	-------------	------------------	----------	--------------------	---------------------------	-----------------	--------------------------------

Purchases



05/22	LUCKIN COFFEE INC SHS CL A ADR CUS NO 54951L109 UNIT PRICE 2.8900	Purchase	2,000.0000	(5,780.00)		(5,780.00)	
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Sales



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MRS SAMANTHA CHUI SHAN KU

Account Number: [REDACTED]

24-Hour Assistance: [REDACTED]

Access Code: [REDACTED]

YOUR CMA TRANSACTIONS

May 01, 2020 - May 29, 2020

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses)	
							Year to Date	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
LUCKIN COFFEE INC SHS	2000.0000	05/20/20	05/21/20	4,253.91	5,780.00	(1,526.09)		

[REDACTED]

Includes transactions for which we have insufficient data

YOUR CMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

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FUNDAMENTAL EQUITY OPINION KEY AND GUIDE TO YOUR BofA MERRILL LYNCH RESEARCH RATINGS

BofA MERRILL LYNCH RESEARCH

BofA Merrill Lynch Research or BofAML Research is research produced by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and/or one or more of its affiliates. MLPF&S is a wholly owned subsidiary of Bank of America Corporation.

Equity Opinions include a Volatility Risk Rating, an Investment Rating and an Income Rating.

BofAML Research Volatility Risk Ratings

Indicators of potential price fluctuation are:

- A – Low
- B – Medium
- C – High

BofAML Research Income Ratings

Indicators of potential cash dividends are:

- 7 – Same/higher (dividend considered to be secure)
- 8 – Same/lower (dividend not considered to be secure)
- 9 – Pays no cash dividend

BofAML Research Investment Ratings

Reflect the analyst's assessment of a stock's absolute total return potential and the stock's attractiveness for investment relative to other stocks within a Coverage Cluster (defined below). There are three investment ratings:

- 1 – Buy stocks are expected to have a total return of at least 10% and are the most attractive stocks in the Coverage Cluster
- 2 – Neutral stocks are expected to remain flat or increase in value and are less attractive than Buy rated stocks
- 3 – Underperform stocks are the least attractive stocks in a Coverage Cluster

Analysts assign investment ratings considering, among other things, the 0-12 month total return expectation for a stock and the firm's guidelines for ratings dispersions (shown in the table below). The current price objective for a stock should be referenced to better understand the total return expectation at any given time. The price objective reflects the analyst's view of the potential price appreciation (depreciation).

BofAML Research Investment Rating	Total return expectation (within 12-month period of date of initial rating)	Ratings dispersion guidelines for Coverage Cluster+
Buy	> or = 10%	< or = 70%
Neutral	> or = 0%	< or = 30%
Underperform	N/A	> or = 20%

+Ratings dispersions may vary from time to time where BofAML Research believes that it better reflects the investment prospects of stocks in a Coverage Cluster.

A Coverage Cluster is comprised of stocks covered by a single analyst or two or more analysts sharing a common industry, sector, region or other classification(s). A stock's Coverage Cluster is included in the most recent BofAML Comment referencing the stock.

THIRD PARTY RESEARCH

Third party research on the equity securities of certain companies is available to clients for informational purposes. Clients can access this research at www.merrilledge.com or can call 877-653-4732 to request that a copy be sent to them. Please note that the third party research rating is not necessarily equivalent to, or derived using the same methodology as, the BofAML Research ratings or the ratings of other third party research providers.

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Customer Service

Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement. You should re-confirm any oral communications in writing to protect your rights.

About Us

You may review our financial statement at our offices: Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S or ML), One Bryant Park, New York, New York 10036. If you request a copy of our financial statement, we will mail it to you.

We act as a market maker, dealer, block positioner or arbitrageur in certain securities. These activities may put us or one of our affiliates on the opposite side of transactions we execute for you and potentially result in trading profits for us or our affiliates.

Both Merrill Lynch Research is research produced by MLPF&S and/or one or more of its affiliates. Third party research ratings from selected vendors are provided, if available, for your information. Our providing these research ratings is not a solicitation or recommendation of any particular security. MLPF&S and its affiliates are not responsible for any third party research and have no liability for such research. You are responsible for any trading decision you make based upon third party research ratings and reports.

MLPF&S may make available to you certain securities and other investment products that are sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BAC) or in which BAC has a substantial economic interest.

Merrill and Merrill Edge are the marketing name for two businesses: Merrill Advisory Center™, which offers team-based advice and guidance brokerage services; and a self-directed online investing platform. Both are made available through MLPF&S.

Bank of America Merrill Lynch is the marketing name for the global banking and global markets businesses of BAC. Lending, derivatives, and other commercial banking activities are performed globally by banking affiliates of BAC, including Bank of America, N.A., member Federal Deposit Insurance Corporation (FDIC), Securities, strategic advisory, and other investment banking activities are performed globally by investment banking affiliates of BAC ("Investment Banking Affiliates"), including, in the United States, MLPF&S and Merrill Lynch Professional Clearing Corp., all of which are registered broker dealers and members of Financial Industry Regulatory Authority (FINRA) and

Securities Investor Protection Corporation (SIPC), and, in other jurisdictions, locally registered entities.

Investment products offered by Investment Banking Affiliates, including MLPF&S, ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

Additional Information

We will route your equity and option orders to market centers consistent with our duty of best execution.

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation. If there is a partial call for those securities, securities will be randomly selected from those held in bulk. The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold.

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically. Additional information, including the time of execution for any trade, is available upon written request.

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. Your free credit balance is the amount of funds payable upon your demand. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account. Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies.

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA.

You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website

at www.finra.org

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances. We receive a fee from our affiliated banks of up to \$100 per annum for each account that sweeps balances to the banks under the RASPSM and ML bank deposit programs. We also receive a fee from Bank of America, N.A. based on the average daily Preferred Deposit @ and Preferred Deposit for Business @ balances.

Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

Margin Customers

If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on your next statement.

Coverage for your Account

The Securities Investor Protection Corporation (SIPC) and our excess-SIPC insurance policy do not cover commodities futures contracts, fixed annuity contracts, hedge funds, private equity funds, commodity pools and other investment contracts (such as limited partnerships) that are not registered with the US Securities Exchange Commission, precious metals, other assets that are not securities, as defined by SIPC, and assets that are not held at MLPF&S, such as cash on deposit at Bank of America, N.A. or Bank of America California, N.A. (Merrill Lynch affiliated banks) or other depository institutions. Those bank deposits are protected by the FDIC up to applicable limits. MLPF&S is not a bank. Unless otherwise disclosed, INVESTMENTS THROUGH MLPF&S ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202)371-8300.

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Fixed Income Securities

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates. These values assume standard market conditions, are not firm bids or offers and may vary from prices achieved in actual transactions, especially for thinly traded securities. These values are generally for transactions of \$1 million or more, which often reflect more favorable pricing than transactions in smaller amounts. You may pay more than these values if you purchase smaller amounts of securities, or receive less if you sell smaller amounts of securities.

Prices and Valuations

While we believe our pricing information to be reliable, we cannot guarantee its accuracy. Pricing information provided for certain thinly traded securities may be stale.

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates.

Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate trusts which are not listed on any exchange), and alternative investments (e.g., commodity pools, private equity funds, private debit funds, and hedge funds) are generally illiquid investments. No formal trading market exists for these securities and their current values will likely be different from the purchase price. Unless otherwise indicated, and except for certain alternative investment funds sponsored by affiliates of MLPF&S, the value shown on this statement for an investment in these securities has been provided by the management, administrator or sponsor of each program or a third-party vendor, in each case without independent verification by MLPF&S. The values shown may not reflect actual market value or be realized upon a sale. If an estimated value is not provided, accurate valuation information is not available.

Cost Data/Realized Capital Gains & Losses

Cost Data and Realized Capital Gains/Losses are provided in this statement for informational purposes only. Please review for accuracy. Merrill Lynch is not responsible for omitted or restated data. Please consult your tax advisor to determine the tax consequences of your securities transactions. Your statement is not an official accounting of gains/losses. Please refer to your records, trade confirmations, and your Consolidated Tax Reporting Statement (Form 1099).

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Insurance Policies and Annuity Contracts

Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC and excess-SIPC coverage apply.

Estimated Annual Income and Current Yield

Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

Market-Linked Investments (MLI)

MLIs are debt securities or Certificates of Deposit linked to an underlying reference asset. They are reflected on your statement by their underlying reference asset – equities (e.g., stocks, ETFs, equity indices), alternative investments (e.g., commodities, currencies), or fixed income (e.g., interest rates). This classification method illustrates your asset allocation.

Symbols and Abbreviations

☐	Interest reported to the IRS
■	Gross Proceeds reported to the IRS
.	Dividends reported to the IRS
:	Transactions reported to the IRS
OCC	Options Clearing Corporation
#	Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf
N/A	Price, value and/or cost data not available
N/C	Not-Calculated
N/N	Non-negotiable securities
N/O	Securities registered in your name
N/O CUST	Non-negotiable securities registered in the name of the custodian
↑ ↓	Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security.

[REDACTED]

From: Info_LuckinCoffeeSecuritiesL
Sent: Sunday, February 6, 2022 2:42 PM
To: Claims_LuckinCoffeeSecuritie
Subject: Luckin Coffee Inc. Securities Litigation – Claim Upload

Contact Information

Location: \\Ca003-prfs001\CA-7\Luckin Coffee Inc. Securities Litigation - 40050093\Electronic Files Received\Claim\14160\

Name: Samantha Ku

Address:

[REDACTED]

Phone Number: [REDACTED]

Email: [REDACTED]

Date and Time: 2/6/2022 2:41:33 PM

Confirmation Number: [REDACTED]

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004544255
000 0004542 00000000 0001 0001 04542 INS: 0 0
SAMANTHA C KU

Claim Number: 11979

Response Deadline: April 18, 2022

March 29, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement, which has been approved by the Court and which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Recognized Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice, the Claim referenced above does not calculate to a Recognized Claim. An example of a condition that would result in no Recognized Claim is that no Luckin ADSs were held through the alleged corrective disclosure on January 31, 2020 (*see* Settlement Notice Appendix A paragraph 7(a)). Because your Claim does not calculate to a Recognized Claim, either for the reason noted in the preceding sentence or for another reason, it is not eligible to receive a distribution from the Net Settlement Fund. Unless you had additional transactions in Luckin ADSs during the Class Period (i.e., May 17, 2019, through July 15, 2020, inclusive) that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



400500930004544255
000 0004542 00000000 0001 0001 04542 INS: 0 0

SAMANTHA C KU
[REDACTED]

Claim Number: 11979

Response Deadline: April 18, 2022

March 29, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

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How to Resolve: You can resolve this condition of ineligibility only by submitting additional transactions in Luckin ADSs during the Class Period that were not previously reflected in your Claim and which make your Claim calculate to a Recognized Claim. For additional information, please reference Appendix A of the Settlement Notice. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is cured.



Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

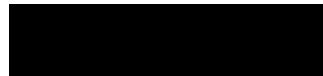
To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

April 17, 2022

Samantha C. Ku



In re Luckin Coffee Inc. Securities Litigation
c/o Equip Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Via Certified Mail, Return Receipt Requested (USPS No. )

To Whom It May Concern:

I am in received your Notice of Deficient Claim Submission dated March 29, 2022, with disagreement.

You alleged my substantial claim has no recognized claim was unmerited and unjustified.

Based on your alleged reason of per Settlement Notice Appendix A, paragraph 7(a), Luckin ADS sold before 11:00 am Eastern time on January 31, 2020, the Exchange Act Loss Amount is zero.

However, under paragraph 7(b), my claim has merit and justified and should be recognized. Therefore, is eligible to receive claim under the settlement.

For your reference, I am attaching my account record for 2020. The record reflects that I sold the Luckin ADS on May 21, 2020.

Kindly process and complete my claim.

Thank you for your attention in this matter.

A handwritten signature in black ink, appearing to read "Samantha C. Ku".

Samantha C Ku
Claimant



Account No. [REDACTED]

Taxpayer No. [REDACTED]

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2020 TAX REPORTING STATEMENT

ORIGINAL 1099 02/16/2021

MRS SAMANTHA CHUI SHAN KU [REDACTED]

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Sale Proceeds and Gain/Loss Details.....	7
Dividend Income Activity.....	11



We would like you to note the following item(s) which may affect your tax return. Please discuss these matters with your Tax Advisor prior to completing your tax return.

IMPORTANT ITEMS FOR YOUR ATTENTION

Your [REDACTED] s produced separately from your Tax Reporting Statement and is only available on MyMerrill.com or MerrillEdge.com. Online enrollment is necessary to access the statement. For more information, including how to download your [REDACTED] details into Microsoft Excel or other software, visit the Tax Education and Planning Center on MyMerrill.com or MerrillEdge.com.

To view additional tax resources available online, please visit our Tax Center on mymerrill.com or merrilledge.com.



MRS SAMANTHA CHUI SHAN KU

Account No. [REDACTED]

Taxpayer No. [REDACTED]

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2020 TAX REPORTING STATEMENT

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ORIGINAL 1099 02/16/2021

MRS SAMANTHA CHUI SHAN KU

Your Financial Advisor 1000
ML DIRECT

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc

2020 TAX REPORTING STATEMENT

Form 1099-DIV	2020 Dividends and Distributions	(OMB NO. 1545-0110)	Form 1099-INT	2020 Interest Income	(OMB NO. 1545-0112)
1a Total Ordinary Dividends	Sched. B, Line 5 &/or Form 1040, Line 3b	Amount [REDACTED]	1 Interest Income	Sched. B, Line 1 &/or Form 1040, Line 2b	Amount [REDACTED]
1b Qualified Dividends	Form 1040, Line 3a		2 Early Withdrawal Penalty	Sched. 1, Line 17	
2a Total Capital Gain Distributions	Sched. D, Line 13 or Form 1040, Line 13		3 Int. on U.S. Savings Bonds & Treas.	See Publication 550	
2b Unrecap. Sec. 1250 Gain	Sched. D, Line 19		4 Federal Income Tax Withheld	Form 1040, Line 17	
2c Section 1202 Gain	See Sched. D Instructions		5 Investment Expenses	See Instructions	
2d Collectibles (28%) Gain	Sched. D, Line 18		6 Foreign Tax Paid	Form 1116 &/or Sched. 3, Line 1	
3 Nondividend Distributions	See Publication 550		7 Foreign Country or U.S. Possession	See Instructions	
4 Federal Income Tax Withheld	Form 1040, Line 17		8 Tax-Exempt Interest	Form 1040, Line 2a	
5 Section 199A Dividends	See Instructions		9 Specified Private Activity Bond Interest	See Instructions for Form 6251	
6 Investment Expenses	See Instructions		10 Market Discount	See Instructions	
7 Foreign Tax Paid	Form 1116 &/or Sched. 3, Line 1		11 Bond Premium	See Instructions	
8 Foreign Country or U.S. Possession	See Instructions		12 Bond Premium on Treas. Obligations	See Instructions	
9 Liquidation Distributions Cash	See Instructions		13 Bond Premium on Tax-Exempt Bond	See Instructions	
10 Liquidation Distributions Non-Cash	See Instructions		14 Tax-Exempt/Tax Credit Bond CUSIP No.	See Instructions	
11 Exempt-Interest Dividends	Form 1040, Line 2a		15 State	See Instructions	
12 Spec. Private Activity Bond Int. Div.	See Instructions for Form 6251		16 State Identification No.	See Instructions	
13 State	See Instructions		17 State Tax Withheld	See Instructions	
14 State Identification No.	See Instructions				
15 State Tax Withheld	See Instructions				

Form 1099-DIV	2020 Dividends and Distributions	(OMB NO. 1545-0110)	Form 1099-INT	2020 Interest Income	(OMB NO. 1545-0112)
Summary of 2020 Original Issue Discount Items					
Original Issue Discount	See Publication 1212	Amount [REDACTED]	Sales Proceeds		
Market Discount	See Publication 1212		Federal Income Tax Withheld		
Acquisition Premium	See Publication 1212		See Form 8949		
OID on U.S. Treasury Obligations	See Publication 1212		Form 1040, Line 17		
Tax-Exempt Original Issue Discount	See Publication 1212		Gross Proceeds from each of your 2020 securities trades are individually reported to the IRS.		
Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2020 Original Issue Discount detail section of this statement.			Refer to the 2020 Proceeds from Broker and Barter Exchange Transactions detail section of this statement.		

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 800.637.6326.
Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S or Merrill) is a registered broker-dealer and wholly owned subsidiary of Bank of America Corporation Member, Securities Investor Protection Corporation (SIPC)



MERRILL LYNCH
A BANK OF AMERICA COMPANY

MRS SAMANTHA CHUI SHAN KU

2020 TAX REPORTING STATEMENT

Account No. [REDACTED]

Taxpayer No. [REDACTED]

Form 1099-MISC 2020 Miscellaneous Income (OMB NO. 1545-0115)

	Amount
2 Royalties	Sched. E, Line 4
3 Other Income	Sched. 1, Line 8
4 Federal Income Tax Withheld	Form 1040, Line 17
8 Sub. Payments in Lieu of Div. or Int.	Sched. 1, Line 8
15 State Tax Withheld	See Instructions
16 State/Payer's State No.	See Instructions

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENT OF FORMS 1099, COPY B - READ CAREFULLY.

The amounts listed on Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B represent dividends, interest, miscellaneous income, original issue discount and/or gross proceeds payments made or credited to your account during the calendar year. If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you directly from the limited partnership, for inclusion on your income tax return.

Nominees - If your Federal Taxpayer Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file and furnish Form 1039-DIV, 1099-INT, 1099-MISC, 1099-OID or 1099-B, as applicable, with the IRS, for each of the other owners to show their share of the income. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2020 General Instructions for Certain Information Returns.

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See the Instructions for Form 1040. Foreign country or U.S. possession will always be displayed as various.

Backup Withholding - Persons not furnishing their taxpayer identification number (TIN) to the payer become subject to backup withholding on certain payments at a rate of 24%, including dividends, interest, and gross proceeds from dispositions of securities. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

1099-MISC - MISCELLANEOUS INCOME (OMB No. 1545-0115)
Each royalty trust is required to provide their shareholders with detailed information regarding items of trust income and deductions. Because the information reported to shareholders by the royalty trust includes the information we report to you on Form 1099-MISC, you should be careful not to report this income on your tax return twice.

Line 1 - Shows the income received from rental property. Report on Schedule E (Form 1040). See Publication 527.

Line 2 - Shows the royalty trust income paid to your account during the tax year. Report on Schedule E (Form 1040).

Line 3 - Generally, report this amount on the "Other Income" line of Form 1040 and identify the payment. The amount shown may be payments you received as the beneficiary of a deceased employee, prizes, awards, taxable damages, or other taxable income. See Publication 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 8 - Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other Income" line of Form 1040.

Line 15-17 Show state or local income tax withheld from the payments.

Distributions and Charges*

	Amount
Limited Partnership Income	[REDACTED]
Non-Reportable Dividends and Interest	[REDACTED]
Non-Reportable Tax-Exempt Interest	[REDACTED]
Taxable Muni Accrued Int. Paid	[REDACTED]
Non-Tax Muni Accrued Int. Paid	[REDACTED]
Other Accrued Interest Paid	[REDACTED]
Margin Interest	[REDACTED]
Non-Reportable Distribution Expenses	[REDACTED]
Excess Bond Premium	[REDACTED]
Additional Bond Premium	[REDACTED]

*Dollar amounts above may reflect as net, please review the detail section to determine impact. This information is not reported to the IRS, consult with your Tax Advisor for more information.

INSTRUCTIONS FOR RECIPIENT OF FORMS 1099, COPY B - READ CAREFULLY.

1099-DIV - DIVIDENDS AND DISTRIBUTIONS (OMB No. 1545-0150)
Line 1a - Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also report it on Schedule B (1040), if required.

Line 1b - Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a - Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2a-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Instructions for Form 1040.

Line 2b - Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Instructions for Schedule D (Form 1040).

Line 2c - Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Instructions for Schedule D (Form 1040).

Line 2d - Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the Instructions for Schedule D (Form 1040).

Line 3 - Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Publication 550.

Line 5 - Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

Line 6 - Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

Line 9 and 10 - Shows cash and noncash liquidation distributions.

Line 11 - Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding.

Line 12 - Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting boxes.

MRS SAMANTHA CHUI SHAN KU

1099-INT - INTEREST INCOME

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s), if you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1 - Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown on line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912.

Line 3 - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550. This interest is exempt from state and local income taxes. This interest is not included on line 1.

Line 5 - Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included on line 1.

Line 8 - Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the instructions for Form 1040. This amount may be subject to backup withholding. See line 4.

Line 9 - Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251.

Line 10 - For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. Report the accrued market discount on your income tax return as directed in the instructions for Form 1040. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11 - For a taxable covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If the amount on this line is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12 - For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If the amount on this line is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13 - For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If the amount on this line is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(i).

Line 14 - Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year.

Lines 15-17 - State tax withheld reporting lines

Account No. [REDACTED]

Taxpayer No. [REDACTED]

2020 TAX REPORTING STATEMENT

(OMB No. 1545-0112)

Line 6 - For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If there is an amount on both lines 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount on line 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year.

Line 8 - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Publication 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included on line 1.

Line 11 - For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. In general, report the amount of tax-exempt OID on Form 1040.

(OMB-1545-0715)

1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Applicable check box on Form 8949, indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for Schedule D and/or Form 8949.

Code A - Indicates a short-term transaction for which the cost or other basis is being reported to the IRS.

Code B - Indicates a short-term transaction for which the cost or other basis is not being reported to the IRS.

Code D - Indicates a long-term transaction for which the cost or other basis is being reported to the IRS.

Code E - Indicates a long-term transaction for which the cost or other basis is not being reported to the IRS.

Code X - Indicates a transaction for which the holding period is unknown.

Line 1a - Shows a brief description of the item or service for which amounts are being reported.

Line 1b - This line may be blank if line 5 is noted or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c - Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale.

Line 1d - Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This line does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Line 1e - Shows the cost or other basis of securities sold, if the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If line 5 is noted, line 1e may be blank. See the instructions for Form 8949, Instructions for Schedule D, or Publication 550 for details.

Line 1f - Shows the amount of accrued market discount. For details on market discount, see the instructions for Schedule D (Form 1040), Instructions for Form 8949, and Publication 550. If line 5 is noted, line 1f may be blank.

Line 1g - Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the instructions for Schedule D (Form 1040), Instructions for Form 8949, and Publication 550. If line 5 is noted, line 1g may be blank.

Line 3 - If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 5 - If noted, the securities sold were noncovered securities and lines 1b, 1e, 1f, 1g and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6 - If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount on line 1d was adjusted for option premium.

Line 7 - If noted, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported on line 1d. See the Form 8949 and the instructions for Schedule D. The broker should advise you of any losses on a separate statement.

Line 12 - If applicable, this is noted on Form(s) 1099-B.



MRS SAMANTHA CHUI SHAN KU

Account No. [REDACTED]

Taxpayer No. [REDACTED]

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2020 TAX REPORTING STATEMENT

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MRS SAMANTHA CHUI SHAN KU

Account No. [REDACTED]

Taxpayer No. [REDACTED]

2020 TAX REPORTING STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return.

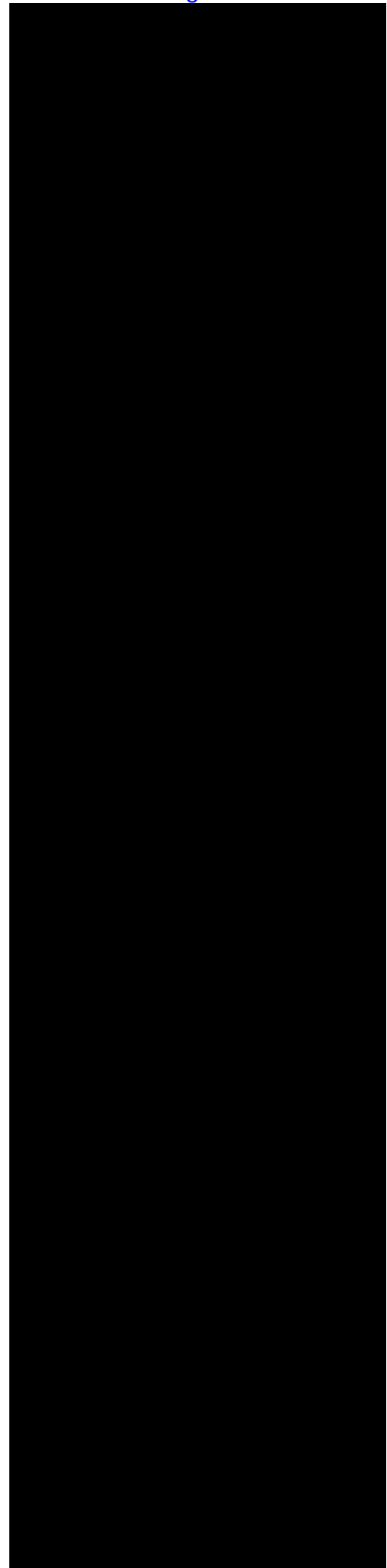
The 2020 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B 2020 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							





MRS SAMANTHA CHUI SHAN KU

2020 TAX REPORTING STATEMENT

Form 1099-B

2020 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Account No. [REDACTED]

Taxpayer No. [REDACTED]

Page 8 of 11

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
LUCKIN COFFEE INC SHS CL A ADR 2000.0000 Sale	05/20/20	05/21/20	4,253.91	5,780.00	0.00	0.00	(1,526.09)

CUSIP Number 54957L109



MRS SAMANTHA CHUI SHAN KU

Account No. [REDACTED]

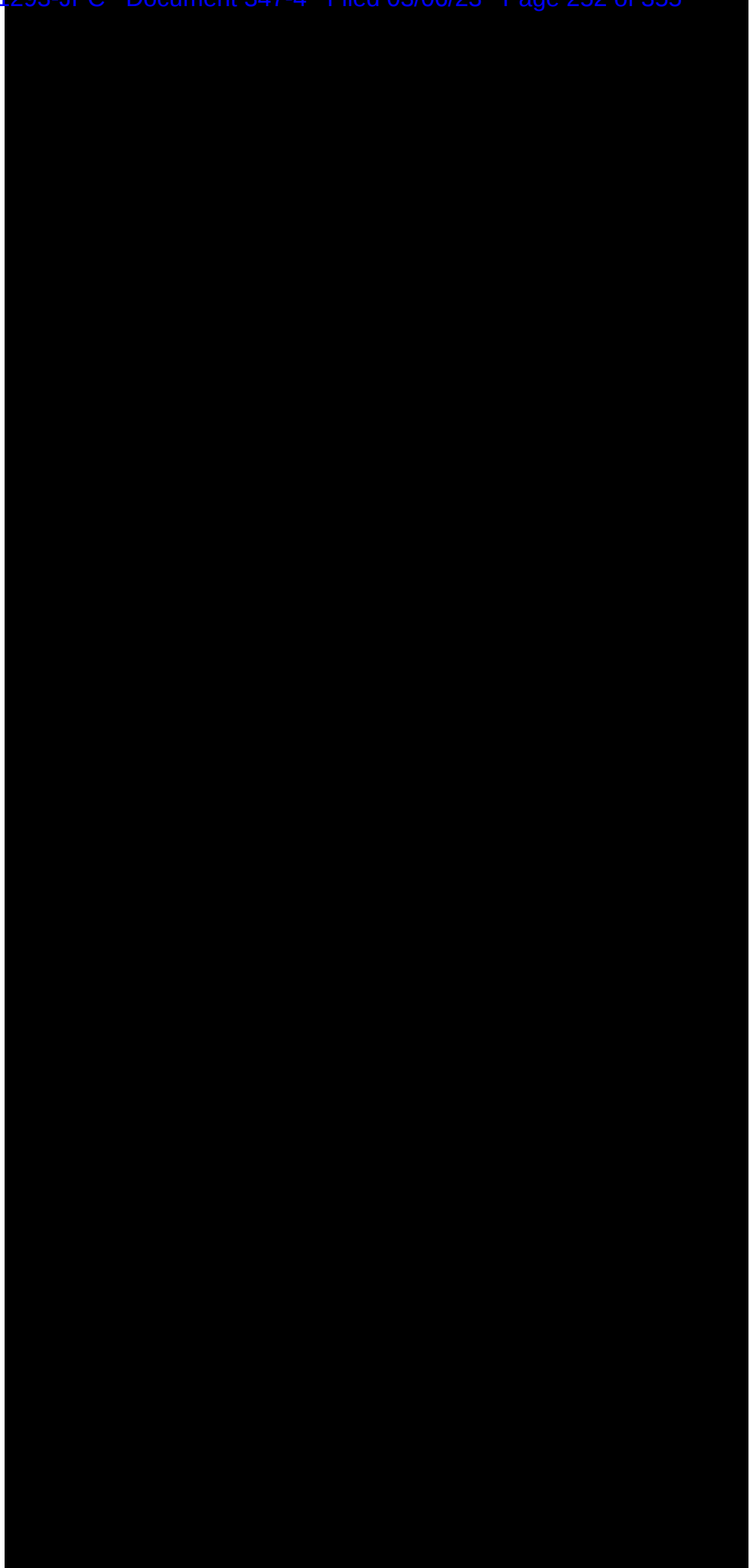
Taxpayer No. [REDACTED]

Page 9 of 11

2020 TAX REPORTING STATEMENT

Form 1099-B 2020 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
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MRS SAMANTHA CHUI SHAN KU

2020 TAX REPORTING STATEMENT

Form 1099-B

2020 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
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[REDACTED]							
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Covered Short-Term Capital Gains and Losses Subtotal

NET SHORT-TERM CAPITAL GAINS AND LOSSES

SALES PROCEEDS AND NET GAINS AND LOSSES

COVERED SHORT TERM GAINS/LOSSES

[REDACTED]							
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MRS SAMANTHA CHUI SHAN KU

Account No. [REDACTED]

Taxpayer No. [REDACTED]

Page 11 of 11

2020 TAX REPORTING STATEMENT

2020 DIVIDENDS, QUALIFIED AND SECTION 199A DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified	Section 199A	Remarks
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UNITED STATES

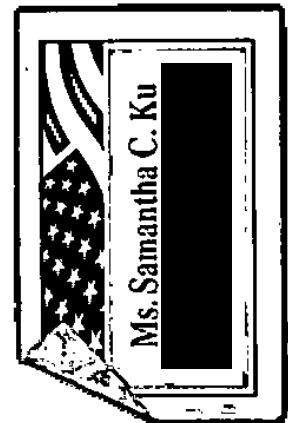
[REDACTED]

DIVIDENDS FROM UNITED STATES

TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)
 TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)
 TOTAL SECTION 199A DIVIDENDS (LINE 5 1099-DIV)
 TOTAL FEDERAL INCOME TAX WITHHELD (INCLUDED IN LINE 4 1099-DIV)
 TOTAL FOREIGN TAX PAID (INCLUDED IN LINE 7 1099-DIV)

[REDACTED]

END OF STATEMENT FOR THIS ACCOUNT



In re Luckin Coffee Inc. Securities Litigation
c/o Equip Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

**RETURN RECEIPT
REQUESTED**

**RETURN RECEIPT
REQUESTED**

Lou L Lai IRA
Contributory
(7878)

PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

L O U

MI

L

Last Name

L A I

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

TOTAL PURCHASE: \$61,430.00 ; TOTAL SALE: \$10,668.00

TOTAL LOSS DUE LK FRAUD: \$50,762.00

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
① 012220	700	11.50	8050.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
② 012220	300	11.60	3480.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
③ 022120	1000	7.62	7620.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
④ 021420	1000	6.95	6950.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
④ 030220	1000	7.50	7500.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

0.00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

**IF NONE,
CHECK
HERE**

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
① 021420	1000	3.15	3150.00	<input checked="" type="checkbox"/>
① 032720	1000	1.12	1120.00	<input checked="" type="checkbox"/>
① 040220	3000	0.25	738.00	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”

0.00

**Confirm
Proof of
Position
Enclosed**

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ **Please note:** Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART III – SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE – Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin’s May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/ Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/ Acquisition Enclosed
④ 030620	1000	7.60	7600.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
⑤ 040320	3000	1.42	4260.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
⑥ 032720	1000	5.37	5370.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
⑦ 012220	1000	10.60	10600.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE – State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write “zero” or “0.”

0.00

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 – Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	Confirm Proof of Sale Enclosed
⑤ 051820	3000	0.00	0.00	<input checked="" type="checkbox"/>
⑥ 040320	1000	0.61	610.00	<input checked="" type="checkbox"/>
⑦ 022120	1000	5.05	5050.00	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 – State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write “zero” or “0.”


0.00

Confirm Proof of Position Enclosed

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ **Please note:** Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.



Signature of claimant

Date:

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1	9
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2	0	2	1
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MM DD YYYY

Lou L. LAI

Print claimant name here

Signature of joint claimant, if here

Date:

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MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date:

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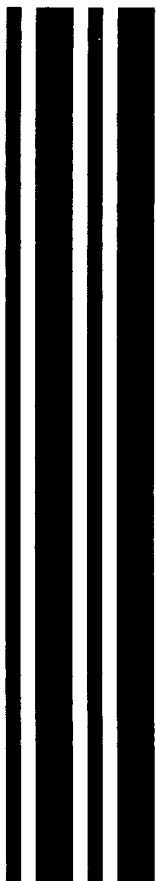
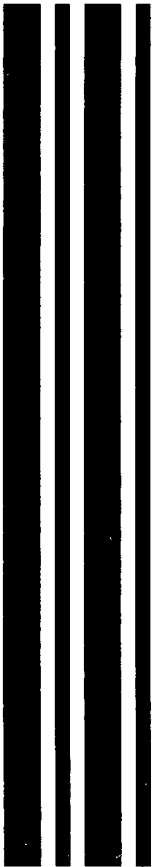
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MM DD YYYY

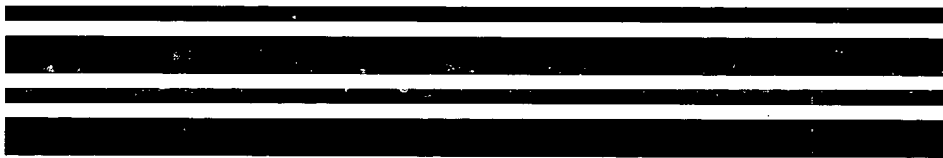
Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶ 11 on page 4 of this Claim Form.)



Attachments

Patch Code 3





Contributory IRA of
LOU L LAI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
June 1-30, 2020

Account Number
[REDACTED]

Protect your privacy and the environment. Switch to eStatements at
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explore the features and benefits of this statement.

**Customer Service and
Account Information**

Customer Service and Trading:
Call your Schwab Representative
1 (800) 435-9050

Bank Inquiries:
1 (800) 435-9050

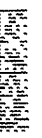
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1 (800) 272-4922

Visit Our Web Site:
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Cost Basis Updates:
To provide updates for incomplete
cost basis information, please visit
schwab.com/gainloss

LOU L LAI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY
[REDACTED]



Accounts > History

Transactions From 01/22/2020 To 05/18/2020

Account #: [REDACTED]

Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
5 05/18/2020 as of 05/15/2020	Expired		LK 05/15/2020 5.00 P	PUT LUCKIN COFFEE INC \$5 EXP 05/15/20	-30			
6 04/03/2020	Sell to Close	Trade Details	LK 07/17/2020 26.00 C	CALL LUCKIN COFFEE INC \$26 EXP 07/17/20	10	\$0.61	\$3.68	\$606.32
04/03/2020	Buy to Open	Trade Details	LK 05/22/2020 6.00 P	PUT LUCKIN COFFEE INC \$6 EXP 05/22/20	10	\$2.27	\$3.67	-\$2,273.67
5 04/03/2020	Buy to Open	Trade Details	LK 05/15/2020 5.00 P	PUT LUCKIN COFFEE INC \$5 EXP 05/15/20	30	\$1.42	\$10.99	-\$4,270.99
04/03/2020	Sell to Close	Trade Details	LK 07/17/2020 15.00 C	CALL LUCKIN COFFEE INC \$15 EXP 07/17/20	30	\$1.46	\$11.09	\$4,368.91
04/02/2020	Buy to Open	Trade Details	LK 07/17/2020 15.00 C	CALL LUCKIN COFFEE INC \$15 EXP 07/17/20	30	\$1.33	\$10.99	-\$4,000.99
4 04/02/2020	Sell to Close	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP 07/17/20	30	\$0.25	\$11.01	\$738.99
3 03/27/2020	Sell to Close	Trade Details	LK 07/17/2020 40.00 C	CALL LUCKIN COFFEE INC \$40 EXP 07/17/20	10	\$1.12	\$3.69	\$1,116.31
6 03/27/2020	Buy to Open	Trade Details	LK 07/17/2020 26.00 C	CALL LUCKIN COFFEE INC \$26 EXP 07/17/20	10	\$5.37	\$3.67	-\$5,373.67
4 03/06/2020	Buy to Open	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP 07/17/20	10	\$7.60	\$3.67	-\$7,603.67
4 03/02/2020	Buy to Open	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP	10	\$7.50	\$3.67	-\$7,503.67

07/17/20

3

02/21/2020	Buy to Open	Trade Details	LK 07/17/2020 40.00 C	CALL LUCKIN COFFEE INC \$40 EXP 07/17/20	10	\$7.62	\$3.67	-\$7,623.67
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7

02/21/2020	Sell to Close	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	10	\$5.05	\$3.78	\$5,046.22
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02/19/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	10	\$11.40	\$3.92	\$11,396.08
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1

02/14/2020	Sell to Close	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	10	\$3.15	\$3.74	\$3,146.26
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4

02/14/2020	Buy to Open	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP 07/17/20	10	\$6.95	\$3.67	-\$6,953.67
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02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	3	\$7.60	\$1.14	\$2,278.86
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02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	3	\$7.60	\$1.14	\$2,278.86
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02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	3	\$7.60	\$1.14	\$2,278.86
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02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	1	\$7.60	\$0.37	\$759.63
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01/31/2020	Buy to Open	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	10	\$3.94	\$3.67	-\$3,943.67
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01/31/2020	Sell to Close	Trade Details	LK 03/20/2020 36.00 P	PUT LUCKIN COFFEE INC \$36 EXP 03/20/20	10	\$10.50	\$3.89	\$10,496.11
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01/27/2020	Buy to Open	Trade Details	LK 03/20/2020 36.00 P	PUT LUCKIN COFFEE INC \$36 EXP	10	\$7.00	\$3.67	-\$7,003.67
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03/20/20

7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	2	\$10.60	\$0.74	-\$2,120.74
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	2	\$10.60	\$0.74	-\$2,120.74
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
7	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
1	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	5	\$11.50	\$1.82	-\$5,751.82
1	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	2	\$11.50	\$0.74	-\$2,300.74
2	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	2	\$11.60	\$0.74	-\$2,320.74
2	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP	1	\$11.60	\$0.35	-\$1,160.35



MON 10 JAN 2022 AM

From: Lou Lai

To: In re Luckin Coffee Inc. Securities Litigation
c/o Epiz Class Action & Claims Solutions, Inc.
P. O. Box 5887
Portland, OR 97228-5887.

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



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LOU L LAI IRA CONTRIBUTORY
CHARLES SCHWAB & CO INC CUST

Claim Number: 7878

Response Deadline: April 18, 2022

March 29, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement, which has been approved by the Court and which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: No Class Period purchases/acquisitions of Luckin ADSs. (No Eligible Transactions).

The Claim referenced above did not contain any purchases or eligible acquisitions of Luckin ADSs during the Class Period, i.e., May 17, 2019, through July 15, 2020, inclusive. Unless you had eligible transactions during the Class Period that are not reflected in your Claim, this is NOT a curable deficiency.

How to Resolve: You can resolve this condition of ineligibility only by submitting documentation supporting purchases and/or eligible acquisitions of Luckin ADSs during the Class Period that were not previously reflected in your Claim. Acceptable supporting documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible unless this deficiency is also cured.

Ineligibility Condition: Ineligible Stock.

In order to be considered eligible to participate in the Settlement, you must have purchased or otherwise acquired Luckin ADSs. The supporting documentation that you submitted does not show that the transactions were Luckin ADSs.



How to Resolve: You can resolve this condition of ineligibility by showing that the claimed transactions were Luckin ADSs. Self-generated documents are not acceptable. Please note: Do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility in its entirety or in part is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable documentation was submitted. If you have other deficiencies and cure them, your Claim still will not be eligible unless this deficiency is cured at least in part and, to the extent that it is cured, it calculates to a Recognized Claim under the Plan of Allocation.

Date	Quantity	Price per Share	Type of Transaction	Type of Security
01/22/2020	700.00	\$11.50	Purchase	Ineligible Security
01/22/2020	300.00	\$11.60	Purchase	Ineligible Security
01/22/2020	1000.00	\$10.60	Purchase	Ineligible Security
02/14/2020	1000.00	\$3.15	Sale	Ineligible Security
02/14/2020	1000.00	\$6.95	Purchase	Ineligible Security
02/21/2020	1000.00	\$7.62	Purchase	Ineligible Security
02/21/2020	1000.00	\$5.05	Sale	Ineligible Security
03/02/2020	1000.00	\$7.50	Purchase	Ineligible Security
03/06/2020	1000.00	\$7.60	Purchase	Ineligible Security
03/27/2020	1000.00	\$1.12	Sale	Ineligible Security
03/27/2020	1000.00	\$5.37	Purchase	Ineligible Security
04/02/2020	3000.00	\$0.25	Sale	Ineligible Security
04/03/2020	1000.00	\$0.61	Sale	Ineligible Security
04/03/2020	3000.00	\$1.42	Purchase	Ineligible Security
05/18/2020	3000.00	\$0.00	Sale	Ineligible Security

Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



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LOU L LAI IRA CONTRIBUTORY
CHARLES SCHWAB & CO INC CUST



Claim Number: 7878

Response Deadline: April 18, 2022

March 29, 2022

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Dear Claimant:

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PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement, which has been approved by the Court and which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

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How to Resolve: You can resolve this condition of ineligibility only by submitting documentation supporting purchases and/or eligible acquisitions of Luckin ADSs during the Class Period that were not previously reflected in your Claim. Acceptable supporting documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible unless this deficiency is also cured.

Ineligibility Condition: Ineligible Stock.

In order to be considered eligible to participate in the Settlement, you must have purchased or otherwise acquired Luckin ADSs. The supporting documentation that you submitted does not show that the transactions were Luckin ADSs.



How to Resolve: You can resolve this condition of ineligibility by showing that the claimed transactions were Luckin ADSs. Self-generated documents are not acceptable. Please note: Do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility in its entirety or in part is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable documentation was submitted. If you have other deficiencies and cure them, your Claim still will not be eligible unless this deficiency is cured at least in part and, to the extent that it is cured, it calculates to a Recognized Claim under the Plan of Allocation.

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03/06/2020	1000.00	\$7.60	Purchase	Ineligible Security
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03/27/2020	1000.00	\$5.37	Purchase	Ineligible Security
04/02/2020	3000.00	\$0.25	Sale	Ineligible Security
04/03/2020	1000.00	\$0.61	Sale	Ineligible Security
04/03/2020	3000.00	\$1.42	Purchase	Ineligible Security
05/18/2020	3000.00	\$0.00	Sale	Ineligible Security

Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

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If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator

Lou Lai

[REDACTED]

In re Luckin Coffee Inc. Securities Litigation
c/o Epic Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Claim #: **7878 re: Lou L. Lai IRA Contributory, Schwab Acc. #** [REDACTED]

Date: April 10th, 2022

Dear Sir/Madam:

I received the Notice of Deficient Claim Submission dated 3/29/2022, I respectfully disagree with it and hereby request Court Review of Full of the rejection of this claim, due to the reasons as below:

During the period of 5/17/2019 through 7/15/2020, I traded Luckin Coffee securities in the form of call and put options, and due to Luckin Coffee management fraud, I lost \$50762 of related tradings in this account.

By definition, invest in stock option is to buy the **Right** to buy (call option) or sell (put option) Luckin Coffee stock at certain price during a specific period of time. Due to Luckin Coffee's management fraud, SEC suspended Luckin Coffee related all securities trading, which included the stock option of Luckin Coffee. And therefore, I lost part or all the value that I invested in these stock options. Hence, invest in Luckin Coffee stock and its stock options are the same in nature, and the root-cause of these losses of the investors is, Luckin Coffee's management deliberately committed fraud.

Enclosed please find my trading records of Luckin Coffee securities from Charles Schwab, during the specified period of time as stated.

Base on the reason as above, I respectfully request a Court Review in full of my case, please keep me informed of your decision.

Thank you!

Regards,



Lou Lai



Contributory IRA of
LOU L LAI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
June 1-30, 2020

Account Number
[REDACTED]

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www.schwab.com/lesspaper. Visit www.schwab.com/premiumstatement to
explore the features and benefits of this statement:

**Customer Service and
Account Information**

Customer Service and Trading:
Call your Schwab Representative
1 (800) 435-9050

Bank Inquiries:
1 (800) 435-9050

Schwab by Phone™

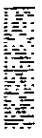
Automated Services:
1 (800) 435-8804

TeleBroker®:
1 (800) 272-4922

Visit Our Web Site:
schwab.com

Cost Basis Updates:
To provide updates for incomplete
cost basis information, please visit
schwab.com/gainloss

LOU L LAI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY
[REDACTED]



AS-IS
Document
Control

Accounts > History

Transactions From 01/22/2020 To 05/18/2020

Account #: XXXXXXXXXX

Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
5 05/18/2020 as of 05/15/2020	Expired		LK 05/15/2020 5.00 P	PUT LUCKIN COFFEE INC \$5 EXP 05/15/20	-30			
6 04/03/2020	Sell to Close	Trade Details	LK 07/17/2020 26.00 C	CALL LUCKIN COFFEE INC \$26 EXP 07/17/20	10	\$0.61	\$3.68	\$606.32
04/03/2020	Buy to Open	Trade Details	LK 05/22/2020 6.00 P	PUT LUCKIN COFFEE INC \$6 EXP 05/22/20	10	\$2.27	\$3.67	-\$2,273.67
5 04/03/2020	Buy to Open	Trade Details	LK 05/15/2020 5.00 P	PUT LUCKIN COFFEE INC \$5 EXP 05/15/20	30	\$1.42	\$10.99	-\$4,270.99
04/03/2020	Sell to Close	Trade Details	LK 07/17/2020 15.00 C	CALL LUCKIN COFFEE INC \$15 EXP 07/17/20	30	\$1.46	\$11.09	\$4,368.91
04/02/2020	Buy to Open	Trade Details	LK 07/17/2020 15.00 C	CALL LUCKIN COFFEE INC \$15 EXP 07/17/20	30	\$1.33	\$10.99	-\$4,000.99
4 04/02/2020	Sell to Close	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP 07/17/20	30	\$0.25	\$11.01	\$738.99
3 03/27/2020	Sell to Close	Trade Details	LK 07/17/2020 40.00 C	CALL LUCKIN COFFEE INC \$40 EXP 07/17/20	10	\$1.12	\$3.69	\$1,116.31
6 03/27/2020	Buy to Open	Trade Details	LK 07/17/2020 26.00 C	CALL LUCKIN COFFEE INC \$26 EXP 07/17/20	10	\$5.37	\$3.67	-\$5,373.67
4 03/06/2020	Buy to Open	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP 07/17/20	10	\$7.60	\$3.67	-\$7,603.67
4 03/02/2020	Buy to Open	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP	10	\$7.50	\$3.67	-\$7,503.67

									07/17/20
3	02/21/2020	Buy to Open	Trade Details	LK 07/17/2020 40.00 C	CALL LUCKIN COFFEE INC \$40 EXP 07/17/20	10	\$7.62	\$3.67	-\$7,623.67
7	02/21/2020	Sell to Close	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	10	\$5.05	\$3.78	\$5,046.22
	02/19/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	10	\$11.40	\$3.92	\$11,396.08
i	02/14/2020	Sell to Close	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	10	\$3.15	\$3.74	\$3,146.26
4	02/14/2020	Buy to Open	Trade Details	LK 07/17/2020 38.00 C	CALL LUCKIN COFFEE INC \$38 EXP 07/17/20	10	\$6.95	\$3.67	-\$6,953.67
	02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	3	\$7.60	\$1.14	\$2,278.86
	02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	3	\$7.60	\$1.14	\$2,278.86
	02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	3	\$7.60	\$1.14	\$2,278.86
	02/03/2020	Sell to Close	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	1	\$7.60	\$0.37	\$759.63
	01/31/2020	Buy to Open	Trade Details	LK 06/19/2020 35.00 C	CALL LUCKIN COFFEE INC \$35 EXP 06/19/20	10	\$3.94	\$3.67	-\$3,943.67
	01/31/2020	Sell to Close	Trade Details	LK 03/20/2020 36.00 P	PUT LUCKIN COFFEE INC \$36 EXP 03/20/20	10	\$10.50	\$3.89	\$10,496.11
	01/27/2020	Buy to Open	Trade Details	LK 03/20/2020 36.00 P	PUT LUCKIN COFFEE INC \$36 EXP	10	\$7.00	\$3.67	-\$7,003.67

03/20/20

⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	2	\$10.60	\$0.74	-\$2,120.74
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	2	\$10.60	\$0.74	-\$2,120.74
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
⑦	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 45.00 C	CALL LUCKIN COFFEE INC \$45 EXP 06/19/20	1	\$10.60	\$0.35	-\$1,060.35
①	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	5	\$11.50	\$1.82	-\$5,751.82
①	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	2	\$11.50	\$0.74	-\$2,300.74
②	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP 06/19/20	2	\$11.60	\$0.74	-\$2,320.74
②	01/22/2020	Buy to Open	Trade Details	LK 06/19/2020 47.00 C	CALL LUCKIN COFFEE INC \$47 EXP	1	\$11.60	\$0.35	-\$1,160.35

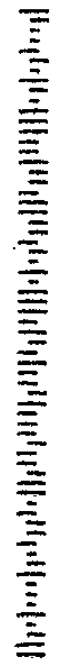
From: Lou Lai



11 APR 2022 PM 1 L

To: In Re: Luckin Coffee Inc. Securities Litigation
c/o: Epig Class Action & Claims Solution, Inc
P.O. Box 5887
Portland, OR 97228-5887

97228-5887



William Abbate
(17888)

In re Luckin Coffee Inc. Securities Litigation
Toll-Free Number: 1-855-535-1824
Email: info@LuckinCoffeeSecuritiesLitigation.com
Website: www.LuckinCoffeeSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a payment from the Settlement, you must complete and sign this Claim Form and mail it by first-class mail to the address below, or submit it online at www.LuckinCoffeeSecuritiesLitigation.com, with supporting documentation, *postmarked (or received) no later than March 15, 2022.*


Mail to:

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Failure to submit your Claim Form by the date specified will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Submit your Claim Form only to the Claims Administrator at the address set forth above.

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PART IV – RELEASE OF CLAIMS AND SIGNATURE	6-7

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PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name

W I L L I A M

MI

Last Name

A B B A T E

Joint Beneficial Owner's Name (if applicable)

First Name

MI

Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

Last 4 digits of Social Security Number or Taxpayer Identification Number

Street Address

City

State/Province ZIP Code

Foreign Postal Code (if applicable)

Foreign Country (if applicable)

Telephone Number (Day)

Telephone Number (Evening)

Email Address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Account Number

Type of Beneficial Owner:

Specify one of the following:

- Individual(s)
- Corporation
- UGMA Custodian
- IRA
- Partnership
- Estate
- Trust
- Other (describe: _____)

PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.

2. This Claim Form is directed to **all persons and entities (and their beneficiaries) who purchased or otherwise acquired the American Depository Shares ("ADSs") of Luckin Coffee Inc. ("Luckin") during the Class Period (from May 17, 2019 through July 15, 2020, inclusive) (the "Class")**. Included in the Class are all persons and entities who purchased Luckin ADSs on the open market and/or in or traceable to the May 17, 2019 Initial Public Offering ("IPO") and January 10, 2020 Secondary Public Offering ("SPO") during the Class Period. Certain persons and entities are excluded from the Class by definition as set forth in ¶ 25 of the Settlement Notice.

3. By submitting this Claim Form, you will be making a request to receive a payment from the Settlement described in the Settlement Notice. **IF YOU ARE NOT A CLASS MEMBER** (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), **OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER.** **THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**

4. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement, if it is approved. The distribution of the payments to eligible purchasers of Luckin ADSs will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

5. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in, and holdings of, Luckin ADSs. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Luckin ADSs (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

6. **Please note:** Only Luckin ADSs purchased or acquired from May 17, 2019 through July 15, 2020, inclusive, are eligible for payment under the Settlement. However, sales of Luckin ADSs during the period from July 16, 2020 through and including the close of trading on October 20, 2021, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase/acquisition and sale/disposition information during this period must also be provided.

7. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Luckin ADSs as set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Luckin ADSs. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

8. **Traceability of Luckin ADSs to Public Offerings in the Class Period.** Public offerings of Luckin ADSs occurred during the Class Period on or about (i) May 17, 2019 (the IPO); and (ii) January 10, 2020 (the SPO). Claimants who purchased Luckin ADSs directly in one or both of the offerings, or who purchased shares "traceable" to one or both of the offerings (as opposed to generally on the open market) may be entitled to additional compensation under the Plan of Allocation. All Luckin ADSs purchased from May 17, 2019 through January 9, 2020 are assumed to be traceable to the IPO. However, if you purchased Luckin ADSs from January 10, 2020 through July 15, 2020 that were not purchased directly in the SPO but that you believe are specifically traceable to Luckin ADSs that were issued in the IPO or SPO, you must submit documentation with your Claim Form showing that the specific ADSs you purchased were shares issued in the IPO or SPO.

9. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Luckin ADSs. The complete name(s) of the beneficial owner(s) must be entered. If you held the Luckin ADSs in your own name, you were the beneficial owner as well as the record owner. If, however, your Luckin ADSs were registered in the name of a third party, such as a nominee or brokerage firm, you were the beneficial owner of the ADSs, but the third party was the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

10. **One Claim should be submitted for each separate legal entity or separately managed account.** Separate Claim Forms should be submitted for each separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Luckin ADSs made on behalf of a single beneficial owner.

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name; account number; last four digits of the Social Security Number, taxpayer identification number, or comparable identification number for non-U.S. claimants; address; and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Luckin ADSs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the Luckin ADSs you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. Payments to eligible Authorized Claimants pursuant to the Plan of Allocation will be made after final approval of the Settlement and any appeals from such approval, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the funds available under the Settlement. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form, you may contact the Claims Administrator, Epiq Class Action & Claims Solutions, Inc., at the address on the first page of this Claim Form, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you can visit the website, www.LuckinCoffeeSecuritiesLitigation.com, where copies of the Claim Form and other relevant documents are available for downloading.

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.LuckinCoffeeSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** The *complete* name of the beneficial owner of the securities must be entered where called for (see ¶ 9 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@LuckinCoffeeSecuritiesLitigation.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-855-535-1824.

Questions? Visit www.LuckinCoffeeSecuritiesLitigation.com or call 1-855-535-1824

PART III - SCHEDULE OF TRANSACTIONS IN LUCKIN ADSs

Use this section to provide information on your holdings and trading of Luckin ADSs during the requested time periods. Please include proper documentation with your Claim Form as described in detail in Part II - General Instructions, ¶ 7 above.

1. PURCHASES/ACQUISITIONS FROM MAY 17, 2019 THROUGH JULY 15, 2020, INCLUSIVE - Separately list each and every purchase or acquisition (including free receipts) of Luckin ADSs from May 17, 2019 through and including the close of trading on July 15, 2020. Include Luckin ADSs purchased in Luckin's May 17, 2019 Initial Public Offering and January 10, 2020 Secondary Public Offering and Luckin ADSs purchased on the open market from May 17, 2019 through July 15, 2020. (Must be documented.)

Please see attached spreadsheet from E-Trade with supporting documentation attached.

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Number of ADSs Purchased/Acquired	Purchase Price Per ADS	Total Purchase Price (excluding any fees, commissions, and taxes)	Were the shares purchased in or traceable to the May 2019 IPO or the Jan. 2020 SPO?	Confirm Proof of Purchase/Acquisition Enclosed
				<input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/> NO	<input checked="" type="checkbox"/>

2. PURCHASES/ACQUISITIONS FROM JULY 16, 2020 THROUGH OCTOBER 20, 2021, INCLUSIVE - State the total number of Luckin ADSs purchased or acquired (including free receipts) from July 16, 2020 through the close of trading on October 20, 2021. If none, write "zero" or "0."

<input type="text" value="110250.00"/>	<i>Total purchase price \$ 2,849,107.43</i>
--	---

3. SALES FROM MAY 17, 2019 THROUGH OCTOBER 20, 2021 - Separately list each and every sale or disposition (including free deliveries) of Luckin ADSs from May 17, 2019 through and including the close of trading on October 20, 2021. (Must be documented.)

Please see attached document from Alpine Analytics Management Group, LLC.

Date of Sale (List Chronologically) (Month/Day/Year)	Number of ADSs Sold	Sale Price Per ADS	Total Sale Price (not deducting any fees, commissions, and taxes)	IF NONE, CHECK HERE	Confirm Proof of Sale Enclosed
<input type="text" value="06/29/20"/>	<input type="text" value="110250"/>	<input type="text" value="1.07"/>	<input type="text" value="117967.50"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

4. HOLDINGS AS OF OCTOBER 20, 2021 - State the total number of Luckin ADSs held as of the close of trading on October 20, 2021. (Must be documented.) If none, write "zero" or "0."

<input type="text" value="0.00"/>	<i>"Zero"</i>	<i>Total loss \$ 2,731,139.93</i>	<input checked="" type="checkbox"/>
-----------------------------------	---------------	-----------------------------------	-------------------------------------

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

¹ **Please note:** Information requested with respect to your purchases and acquisitions of Luckin ADSs from July 16, 2020 through the close of trading on October 20, 2021 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim under the Plan of Allocation.

PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

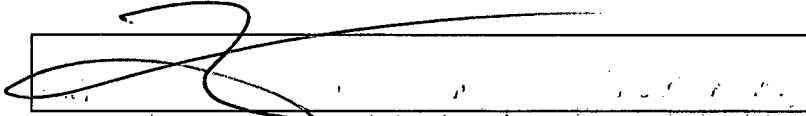
I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) (the claimant(s)') heirs, executors, administrators, predecessors, successors, and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Luckin and the other Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties in any jurisdiction.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, is (are) not excluded by definition from the Class as set forth in the Settlement Notice and did **not** submit a request for exclusion from the Class;
3. that I (we) own(ed) the Luckin ADSs identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
4. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Luckin ADSs and knows (know) of no other person having done so on the claimant's (claimants') behalf;
5. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
6. that I (we) agree to furnish such additional information with respect to this Claim Form as Class Counsel, the Claims Administrator, or the Court may require;
7. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
8. that should Luckin determine in its absolute discretion that the Settlement should also be implemented in the Cayman Islands by way of a scheme of arrangement promulgated under section 86 of the Cayman Islands Companies Act 1981 (the "Scheme"), the claimant(s) appoint(s) Sjunde AP-Fonden and Louisiana Sheriff's Pension & Relief Fund as its/their proxy to vote in favor of the Scheme at all meetings convened for the purpose of approving the Scheme;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

UNDER THE PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.



Signature of claimant

Date:

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2	0	2	2
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MM DD YYYY

William Abbate

Print claimant name here

Signature of joint claimant, if here

Date:

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MM DD YYYY

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date:

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MM DD YYYY

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶ 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-855-535-1824.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@LuckinCoffeeSecuritiesLitigation.com, or by toll-free phone at 1-855-535-1824, or you may visit www.LuckinCoffeeSecuritiesLitigation.com.

This Claim Form must be mailed to the Claims Administrator by first-class mail or submitted online at www.LuckinCoffeeSecuritiesLitigation.com, postmarked (or received) no later than March 15, 2022. If mailed, the Claim Form should be addressed as follows:

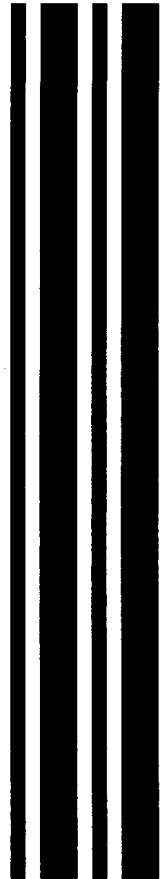
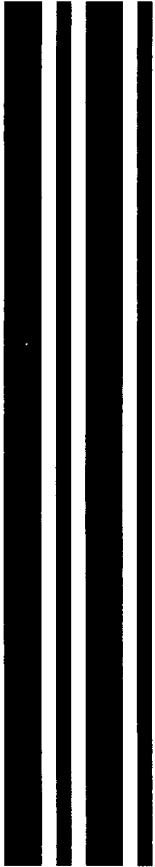
In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before March 15, 2022 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

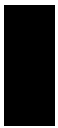


Attachments



Patch Code 3





William Abbate

Acct #

William Abbate - E-TRADE

Date	Action	Qty	Symbol	Description	Price	Trans Type	Amount	Comsn
1/27/2020 3:00 BOT		1,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$37.37	Bought	(\$56,055.00)	\$ 0.00
1/27/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$37.37	Bought	(\$37,370.00)	\$ 0.00
1/29/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$37.37	Bought	(\$93,425.00)	\$ 0.00
1/30/2020 3:00 BOT		1,857 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.37	Bought	(\$65,682.09)	\$ 0.00
1/30/2020 3:00 BOT		643 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.37	Bought	(\$22,742.85)	\$ 0.00
1/30/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.37	Bought	(\$88,425.00)	\$ -
1/30/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.37	Bought	(\$88,425.00)	\$ -
1/30/2020 3:00 BOT		3,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$34.92	Bought	(\$104,760.00)	\$ 0.00
1/30/2020 3:00 BOT		5,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.01	Bought	(\$175,050.00)	\$ 0.00
1/30/2020 3:00 BOT		2,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.07	Bought	(\$70,140.00)	\$ 0.00
1/30/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$35.37	Bought	(\$88,425.00)	\$ 0.00
1/30/2020 3:00 BOT		1,755 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$36.37	Bought	(\$63,829.35)	\$ 0.00
1/30/2020 3:00 BOT		745 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$36.37	Bought	(\$27,095.65)	\$ 0.00
1/31/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$30.51	Bought	(\$30,510.00)	\$ -
1/31/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$31.11	Bought	(\$15,552.50)	\$ -
1/31/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$31.07	Bought	(\$31,070.00)	\$ 0.00
1/31/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$31.26	Bought	(\$31,260.00)	\$ -
1/31/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$31.77	Bought	(\$79,425.00)	\$ 0.00
1/31/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$32.25	Bought	(\$16,125.00)	\$ 0.00
1/31/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$32.80	Bought	(\$32,800.00)	\$ 0.00
1/31/2020 3:00 BOT		2,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$33.47	Bought	(\$66,940.00)	\$ -
1/31/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$33.67	Bought	(\$16,835.00)	\$ 0.00
1/31/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$33.80	Bought	(\$33,800.00)	\$ 0.00
1/31/2020 3:00 BOT		1,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$33.77	Bought	(\$50,655.00)	\$ -
1/31/2020 3:00 BOT		1,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$34.07	Bought	(\$51,105.00)	\$ 0.00
1/31/2020 3:00 BOT		2,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$34.01	Bought	(\$68,020.00)	\$ 0.00
1/31/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$34.97	Bought	(\$87,425.00)	\$ -
1/31/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$34.97	Bought	(\$87,425.00)	\$ 0.00
1/31/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$27.07	Bought	(\$67,675.00)	\$ 0.00
1/31/2020 3:00 BOT		2,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$30.17	Bought	(\$60,140.00)	\$ 0.00
1/31/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$30.37	Bought	(\$30,170.00)	\$ -
1/31/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$30.77	Bought	(\$15,185.00)	\$ 0.00
1/31/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$30.77	Bought	(\$15,385.00)	\$ -
2/3/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$32.17	Bought	(\$80,425.00)	\$ -
2/3/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$32.51	Bought	(\$81,275.00)	\$ 0.00
2/3/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$32.77	Bought	(\$81,925.00)	\$ -
2/3/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$33.01	Bought	(\$82,525.00)	\$ -
2/3/2020 3:00 BOT		1,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$31.37	Bought	(\$47,055.00)	\$ 0.00
2/3/2020 3:00 BOT		2,500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$33.37	Bought	(\$83,425.00)	\$ -
3/20/2020 3:00 BOT		3,902 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$24.99	Bought	(\$97,510.98)	\$ 0.00
3/20/2020 3:00 BOT		1,098 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$24.99	Bought	(\$27,439.02)	\$ 0.00
3/23/2020 3:00 BOT		500 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$22.43	Bought	(\$11,216.35)	\$ 0.00
3/23/2020 3:00 BOT		1,000 LK	***LUCKIN	COFFEE INC AMERICAN DEPOSITARY SHARES	\$22.53	Bought	(\$22,530.00)	\$ -

William Abbate

3/23/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$22.77 Bought	(\$22,770.00)	\$ 0.00
3/23/2020 3:00 BOT	946 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$24.10 Bought	(\$22,798.60)	\$ 0.00
3/23/2020 3:00 BOT	54 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$24.02 Bought	(\$1,297.08)	\$ 0.00
3/23/2020 3:00 BOT	250 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$24.18 Bought	(\$6,045.63)	\$ 0.00
3/23/2020 3:00 BOT	2,500 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$24.01 Bought	(\$60,025.00)	\$ 0.00
4/2/2020 3:00 BOT	100 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$4.55 Bought	(\$455.00)	\$ 0.00
4/2/2020 3:00 BOT	2,210 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$4.54 Bought	(\$10,033.40)	\$ 0.00
4/2/2020 3:00 BOT	2,690 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$4.53 Bought	(\$12,185.70)	\$ 0.00
4/2/2020 3:00 BOT	2,500 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$3.96 Bought	(\$9,900.00)	\$ 0.00
4/2/2020 3:00 BOT	2,500 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.56 Bought	(\$13,900.00)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$11.65 Bought	(\$11,650.00)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$12.99 Bought	(\$12,989.90)	\$ -
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$13.70 Bought	(\$13,700.00)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$15.08 Bought	(\$15,080.00)	\$ -
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$15.64 Bought	(\$15,639.90)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$18.99 Bought	(\$18,989.90)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$18.87 Bought	(\$18,870.00)	\$ -
4/2/2020 3:00 BOT	800 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$19.00 Bought	(\$15,200.00)	\$ 0.00
4/2/2020 3:00 BOT	200 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$18.95 Bought	(\$3,790.00)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$21.82 Bought	(\$21,819.90)	\$ 0.00
4/2/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$22.70 Bought	(\$22,699.80)	\$ -
4/3/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.42 Bought	(\$5,417.20)	\$ 0.00
4/3/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.45 Bought	(\$5,453.70)	\$ -
4/3/2020 3:00 BOT	600 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.51 Bought	(\$3,304.68)	\$ 0.00
4/3/2020 3:00 BOT	300 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.50 Bought	(\$1,650.75)	\$ 0.00
4/3/2020 3:00 BOT	1,100 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.51 Bought	(\$6,055.50)	\$ 0.00
4/3/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$5.51 Bought	(\$5,507.20)	\$ -
5/22/2020 3:00 BOT	500 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$1.55 Bought	(\$775.00)	\$ -
5/22/2020 3:00 BOT	500 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$1.67 Bought	(\$835.00)	\$ 0.00
5/22/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$1.77 Bought	(\$1,765.00)	\$ -
5/22/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$1.37 Bought	(\$1,369.90)	\$ -
5/22/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$1.37 Bought	(\$1,369.90)	\$ 0.00
5/22/2020 3:00 BOT	1,000 LK	***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES	\$1.51 Bought	(\$1,510.00)	\$ -

110,250

(Total) (\$2,849,107.43)

Totals

E*TRADE
FINANCIAL®
Trading • Investing • Banking

E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
[REDACTED]

Customer Update
Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit etrade.com/tax today.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							



▲ DETACH HERE
WILLIAM ABBATE
[REDACTED]

DETACH HERE ▲
Acct: [REDACTED]

Use This Deposit Slip

Please do not send cash

Dollars	Cents

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

TOTAL DEPOSIT

E*TRADE Securities LLC
[REDACTED]

[REDACTED]

Confirmations
to support
the work sheets.

William Abbate

E*TRADE
FINANCIAL
Trading • Investing • Banking

E*TRADE Securities
Investment Account

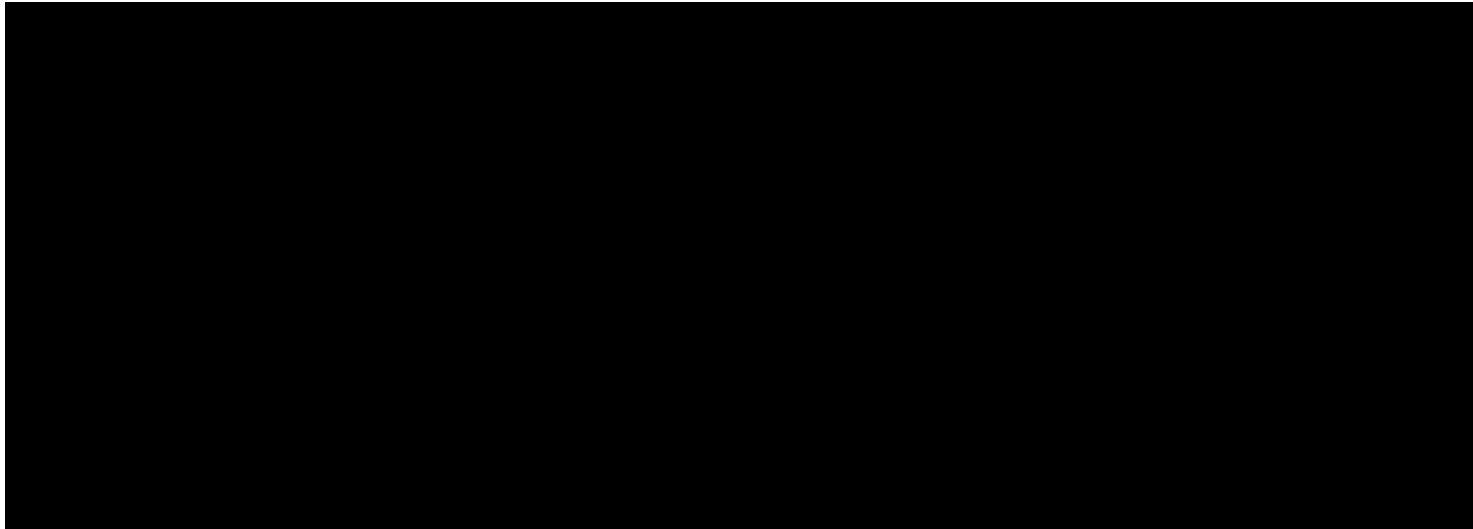
TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/27/20	01/29/20	61	LK	BUY	1,000	\$37.37	Margin	PRINCIPAL	\$37,370.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$37,370.00



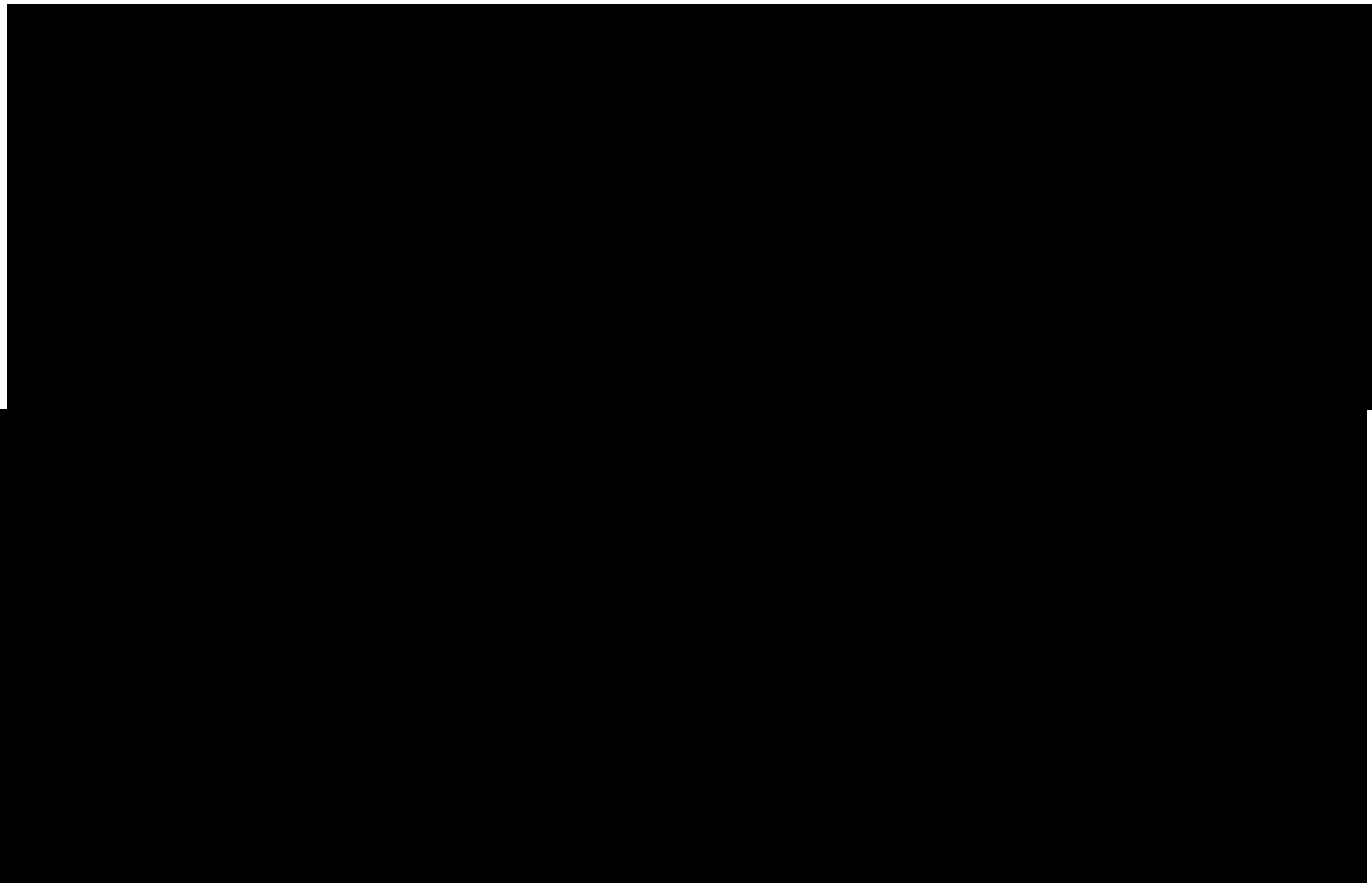


E*TRADE Securities
Investment Account

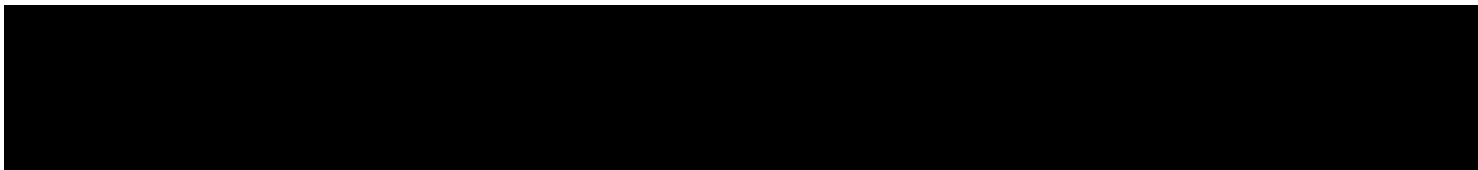
TRADE CONFIRMATION

Account Number: [REDACTED]

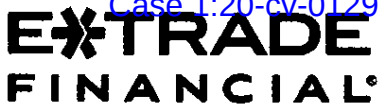
TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/27/20	01/29/20	31	LK	BUY	1,500	\$37.37	Margin	<u>PRINCIPAL</u>	<u>\$56,055.00</u>
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	<u>\$56,055.00</u>



William Abbate



Trading • Investing • Banking

E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

01/29/20	01/31/20	6 1	LK	BUY	2,500	\$37.37	Margin	PRINCIPAL	\$93,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$93,425.00

[REDACTED]									
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[REDACTED]									
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E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

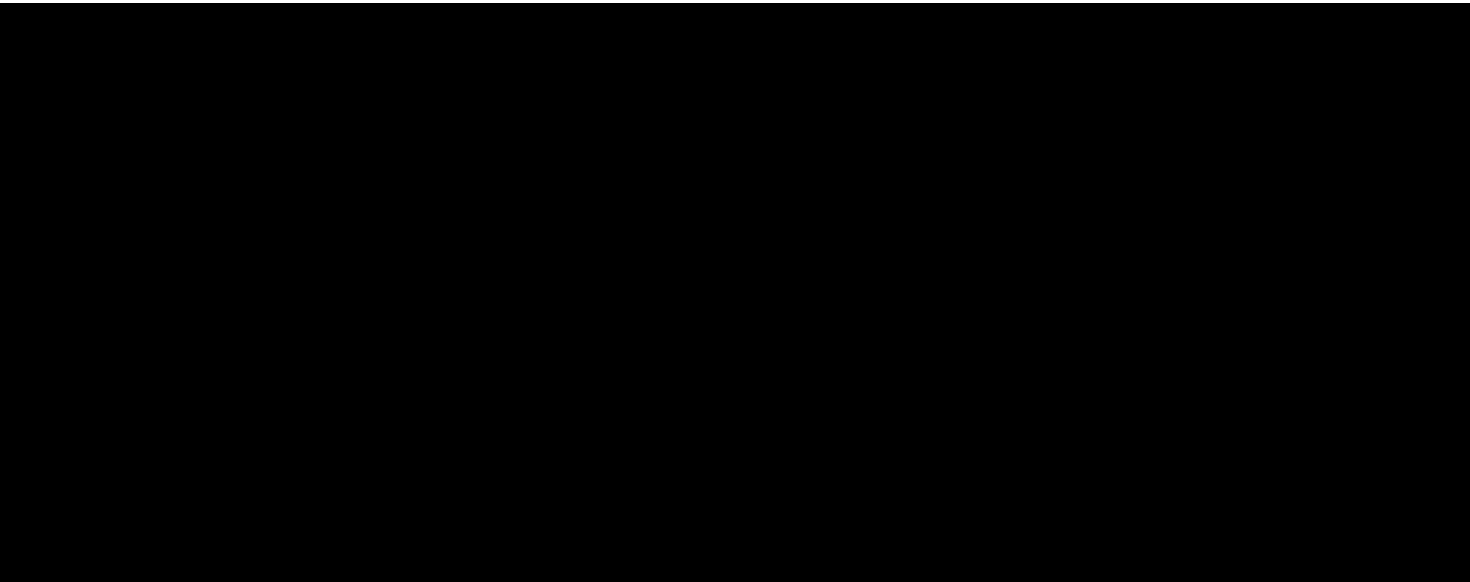
Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
[REDACTED]

Customer Update

Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit etrade.com/tax today.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/30/20	02/03/20	3 1	LK	BUY	2,000	\$35.07	Margin	PRINCIPAL	\$70,140.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$70,140.00
01/30/20	02/03/20	3 1	LK	BUY	3,000	\$34.92	Margin	PRINCIPAL	\$104,760.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$104,760.00
01/30/20	02/03/20	3 1	LK	BUY	2,500	\$35.37	Margin	PRINCIPAL	\$88,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$88,425.00



▲ DETACH HERE
WILLIAM ABBATE
[REDACTED]

DETACH HERE ▲

Use This Deposit Slip

Acct: [REDACTED]

Please do not send cash

Dollars	Cents

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

TOTAL DEPOSIT

E*TRADE Securities LLC
[REDACTED]



William Abate

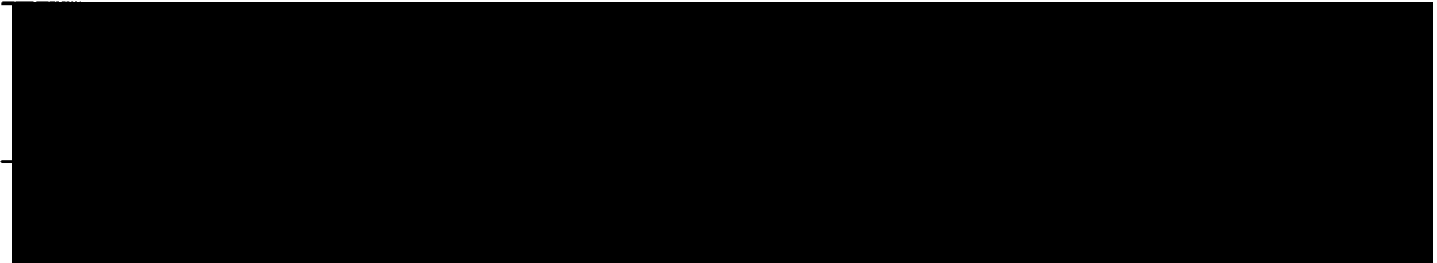


E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/30/20	02/03/20	6 1	LK	BUY	745	\$36.37	Margin	PRINCIPAL	\$27,095.65
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$27,095.65
01/30/20	02/03/20	6 1	LK	BUY	1,755	\$36.37	Margin	PRINCIPAL	\$63,829.35
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$63,829.35
01/30/20	02/03/20	6 1	LK	BUY	5,000	\$35.01	Margin	PRINCIPAL	\$175,050.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$175,050.00
01/30/20	02/03/20	6 1	LK	BUY	643	\$35.3699	Margin	PRINCIPAL	\$22,742.85
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$22,742.85
01/30/20	02/03/20	6 1	LK	BUY	1,857	\$35.37	Margin	PRINCIPAL	\$65,682.09
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$65,682.09

William Abbat



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/30/20	02/03/20	3 1	LK	BUY	2,500	\$35.37	Margin	PRINCIPAL	\$88,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$88,425.00

01/30/20	02/03/20	3 1	LK	BUY	2,500	\$35.37	Margin	PRINCIPAL	\$88,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$88,425.00

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E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

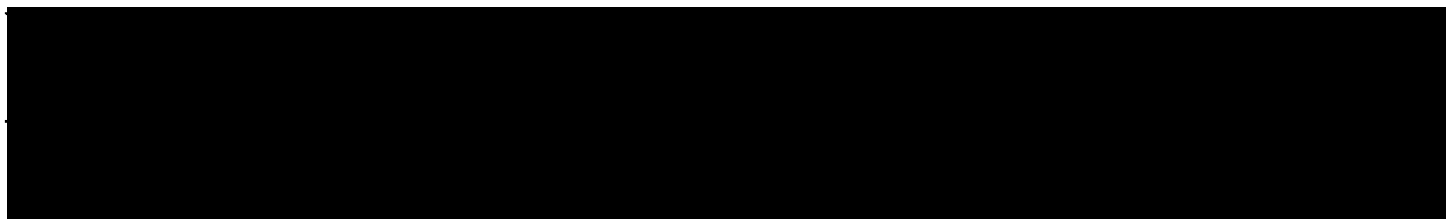
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

01/31/20	02/04/20	3 1	LK	BUY	2,500	\$27.07	Margin	PRINCIPAL	\$67,675.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$67,675.00
01/31/20	02/04/20	3 1	LK	BUY	500	\$33.67	Margin	PRINCIPAL	\$16,835.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$16,835.00
01/31/20	02/04/20	3 1	LK	BUY	1,000	\$31.26	Margin	PRINCIPAL	\$31,260.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$31,260.00



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E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

01/31/20	02/04/20	6 1	LK	BUY	1,000	\$30.17	Margin	PRINCIPAL	\$30,170.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$30,170.00
01/31/20	02/04/20	6 1	LK	BUY	2,000	\$30.07	Margin	PRINCIPAL	\$60,140.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$60,140.00
01/31/20	02/04/20	6 1	LK	BUY	2,500	\$34.97	Margin	PRINCIPAL	\$87,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$87,425.00

William Abbate



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E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/31/20	02/04/20	6 1	LK	BUY	2,500	\$34.97	Margin	PRINCIPAL	\$87,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$87,425.00
01/31/20	02/04/20	6 1	LK	BUY	2,000	\$34.01	Margin	PRINCIPAL	\$68,020.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$68,020.00
01/31/20	02/04/20	6 1	LK	BUY	1,500	\$34.07	Margin	PRINCIPAL	\$51,105.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$51,105.00
01/31/20	02/04/20	6 1	LK	BUY	1,500	\$33.77	Margin	PRINCIPAL	\$50,655.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$50,655.00
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$33.80	Margin	PRINCIPAL	\$33,800.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$33,800.00
01/31/20	02/04/20	6 1	LK	BUY	2,000	\$33.47	Margin	PRINCIPAL	\$66,940.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$66,940.00
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$32.80	Margin	PRINCIPAL	\$32,800.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$32,800.00
01/31/20	02/04/20	6 1	LK	BUY	2,500	\$31.77	Margin	PRINCIPAL	\$79,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$79,425.00
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$31.07	Margin	PRINCIPAL	\$31,070.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$31,070.00
01/31/20	02/04/20	6 1	LK	BUY	500	\$31.105	Margin	PRINCIPAL	\$15,552.50
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$15,552.50
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$30.51	Margin	PRINCIPAL	\$30,510.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$30,510.00



William Aslate



E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/31/20	02/04/20	3 1	LK	BUY	500	\$30.77	Margin	PRINCIPAL	\$15,385.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$15,385.00
01/31/20	02/04/20	3 1	LK	BUY	500	\$30.37	Margin	PRINCIPAL	\$15,185.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$15,185.00
01/31/20	02/04/20	3 1	LK	BUY	500	\$32.25	Margin	PRINCIPAL	\$16,125.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$16,125.00





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name: WILLIAM ABBATE

E*TRADE Securities LLC
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02/03/20	02/05/20	6 1	LK	BUY	1,500	\$31.37	Margin	PRINCIPAL	\$47,055.00
								NET AMOUNT	\$47,055.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$33.01	Margin	PRINCIPAL	\$82,525.00
								NET AMOUNT	\$82,525.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$32.77	Margin	PRINCIPAL	\$81,925.00
								NET AMOUNT	\$81,925.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$32.51	Margin	PRINCIPAL	\$81,275.00
								NET AMOUNT	\$81,275.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$32.17	Margin	PRINCIPAL	\$80,425.00
								NET AMOUNT	\$80,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									

William Abbate



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

02/03/20	02/05/20	31	LK	BUY	2,500	\$33.37	Margin	PRINCIPAL	\$83,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$83,425.00

[REDACTED]									
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E*TRADE Securities LLC

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E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

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03/20/20	03/24/20	3 1	LK	BUY	1,098	\$24.99	Margin	PRINCIPAL	\$27,439.02	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$27,439.02



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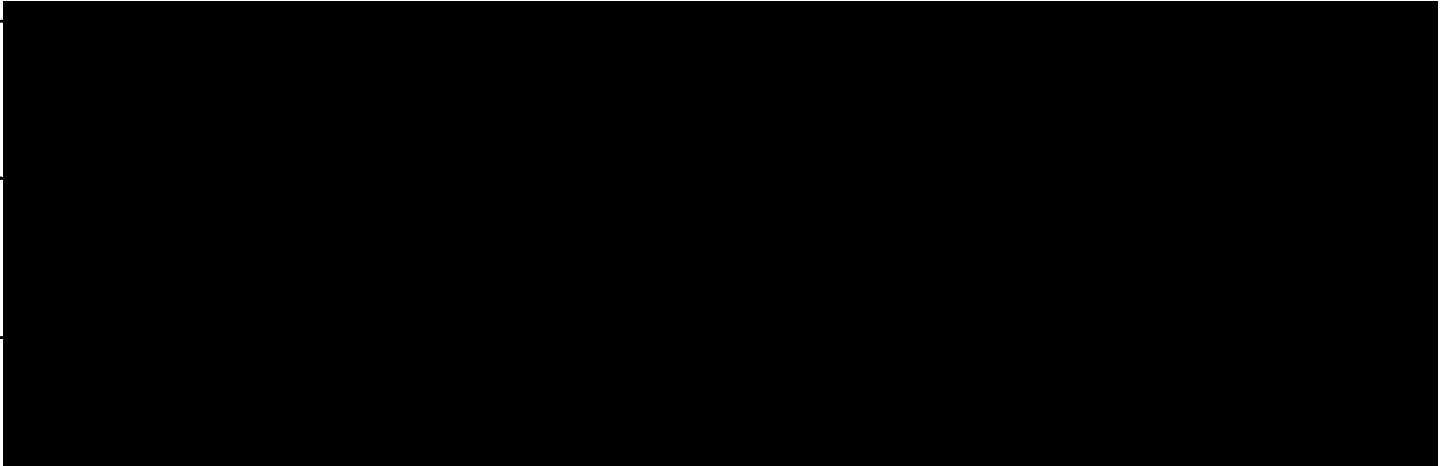
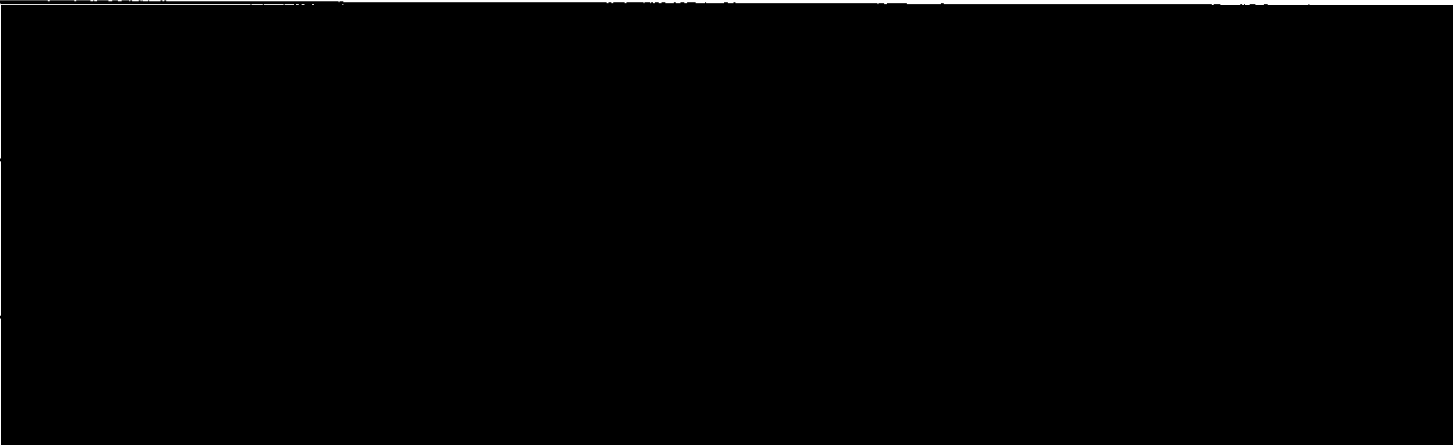


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Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
03/20/20	03/24/20	6 1	LK	BUY	3,902	\$24.99	Margin	PRINCIPAL	\$97,510.98
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$97,510.98



William Abbate



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

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[REDACTED]									

03/23/20	03/25/20	31	LK	BUY	1,000	\$22.77	Margin	PRINCIPAL	\$22,770.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$22,770.00

03/23/20	03/25/20	31	LK	BUY	1,000	\$22.53	Margin	PRINCIPAL	\$22,530.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$22,530.00



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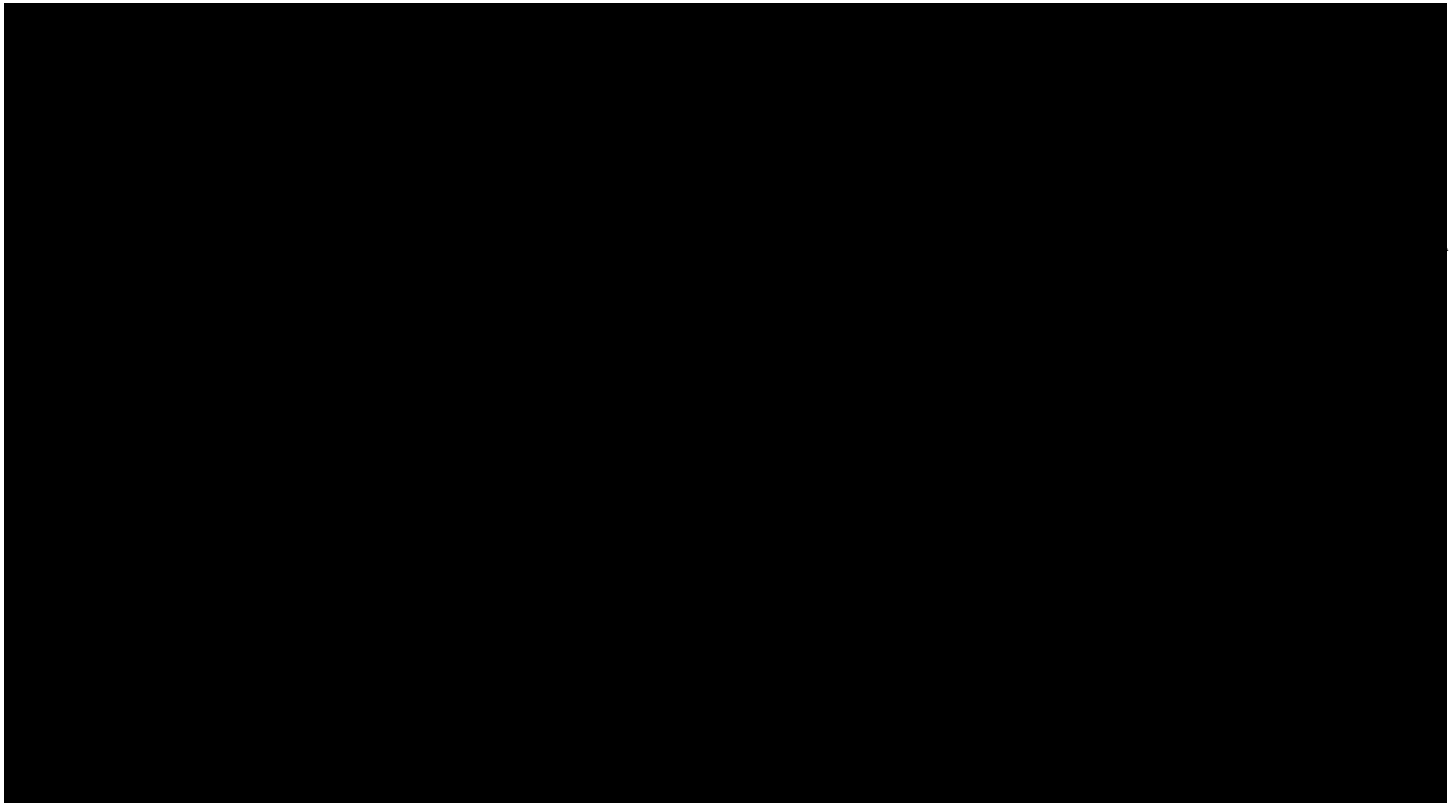
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Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE
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03/23/20	03/25/20	6 1	LK	BUY	2,500	\$24.01	Margin	PRINCIPAL	\$60,025.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
								NET AMOUNT	\$60,025.00
03/23/20	03/25/20	6 1	LK	BUY	250	\$24.1825	Margin	PRINCIPAL	\$6,045.63
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
								NET AMOUNT	\$6,045.63
03/23/20	03/25/20	6 1	LK	BUY	54	\$24.02	Margin	PRINCIPAL	\$1,297.08
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
								NET AMOUNT	\$1,297.08
03/23/20	03/25/20	6 1	LK	BUY	946	\$24.10	Margin	PRINCIPAL	\$22,798.60
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
								NET AMOUNT	\$22,798.60
03/23/20	03/25/20	6 1	LK	BUY	500	\$22.4327	Margin	PRINCIPAL	\$11,216.35
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
								NET AMOUNT	\$11,216.35



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Investment Account

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Account Number: [REDACTED]

Account Name:
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04/02/20	04/06/20	6 1	LK	BUY	1,000	\$22.6998	Margin	\$22,699.80	\$22,699.80
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$21.8199	Margin	\$21,819.90	\$21,819.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	200	\$18.95	Margin	\$3,790.00	\$3,790.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	800	\$19.00	Margin	\$15,200.00	\$15,200.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$18.87	Margin	\$18,870.00	\$18,870.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$18.9899	Margin	\$18,989.90	\$18,989.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$15.6399	Margin	\$15,639.90	\$15,639.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$15.08	Margin	\$15,080.00	\$15,080.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$13.70	Margin	PRINCIPAL	\$13,700.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$13,700.00
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$12.9899	Margin	PRINCIPAL	\$12,989.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$12,989.90
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$11.65	Margin	PRINCIPAL	\$11,650.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$11,650.00
04/02/20	04/06/20	6 1	LK	BUY	2,500	\$5.56	Margin	PRINCIPAL	\$13,900.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$13,900.00
04/02/20	04/06/20	6 1	LK	BUY	2,500	\$3.96	Margin	PRINCIPAL	\$9,900.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$9,900.00
04/02/20	04/06/20	6 1	LK	BUY	2,690	\$4.53	Margin	PRINCIPAL	\$12,185.70
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$12,185.70
04/02/20	04/06/20	6 1	LK	BUY	2,210	\$4.54	Margin	PRINCIPAL	\$10,033.40
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$10,033.40
04/02/20	04/06/20	6 1	LK	BUY	100	\$4.55	Margin	PRINCIPAL	\$455.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$455.00



William Abbate



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Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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04/03/20	04/07/20	6 1	LK	BUY	1,000	\$5.5072	Margin	PRINCIPAL	\$5,507.20
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$5,507.20
04/03/20	04/07/20	6 1	LK	BUY	1,100	\$5.505	Margin	PRINCIPAL	\$6,055.50
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$6,055.50
04/03/20	04/07/20	6 1	LK	BUY	300	\$5.5025	Margin	PRINCIPAL	\$1,650.75
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,650.75
04/03/20	04/07/20	6 1	LK	BUY	600	\$5.5078	Margin	PRINCIPAL	\$3,304.68
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$3,304.68

William Alabate



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
04/03/20	04/07/20	6 1	LK	BUY	1,000	\$5.4537	Margin	PRINCIPAL	\$5,453.70
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$5,453.70
04/03/20	04/07/20	6 1	LK	BUY	1,000	\$5.4172	Margin	PRINCIPAL	\$5,417.20
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$5,417.20





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

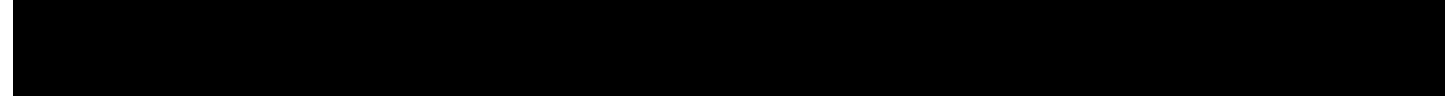
Account Number: [REDACTED]

Account Name: WILLIAM ABBATE

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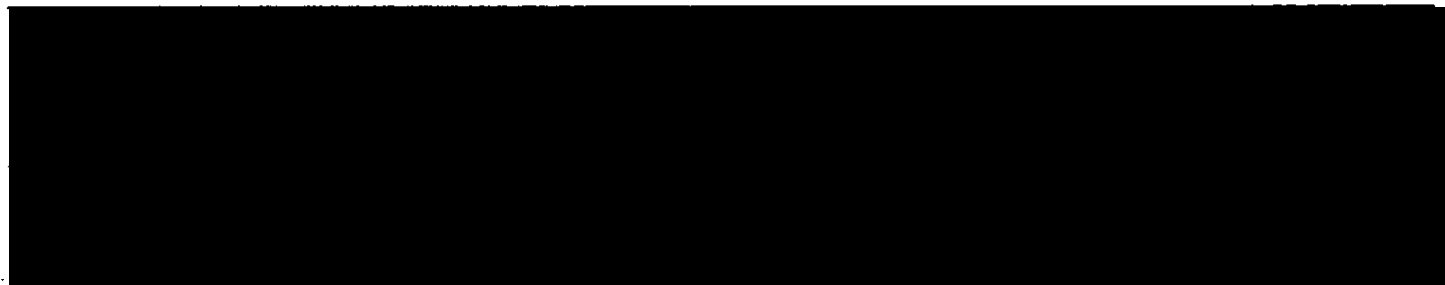


05/22/20	05/27/20	6 1	LK	BUY	1,000	\$1.3699	Margin	PRINCIPAL	\$1,369.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,369.90

05/22/20	05/27/20	6 1	LK	BUY	1,000	\$1.3699	Margin	PRINCIPAL	\$1,369.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,369.90

05/22/20	05/27/20	6 1	LK	BUY	1,000	\$1.765	Margin	PRINCIPAL	\$1,765.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,765.00

05/22/20	05/27/20	6 1	LK	BUY	500	\$1.67	Margin	PRINCIPAL	\$835.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$835.00



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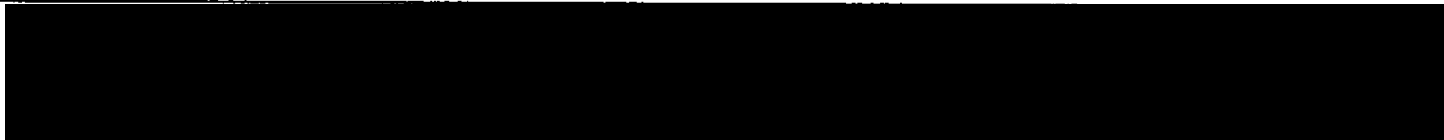


E*TRADE Securities
Investment Account

TRADE CONFIRMATION


Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
05/22/20	05/27/20	31	LK	BUY	1,000	\$1.51	Margin	PRINCIPAL	\$1,510.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,510.00
05/22/20	05/27/20	31	LK	BUY	500	\$1.55	Margin	PRINCIPAL	\$775.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$775.00




Alpine Analytics Management Group, LLC

February 7, 2022

Mr. William Abbate, Esq.


Dear Mr. Abbate,

This document should suffice with respect to the 110,250 shares of Luckin Coffee Inc. American Depository Shares (Formerly LK-symbol) that you delivered to our Management Company: Alpine Analytics Management Group, LLC. As per your direct instructions, all of shares were liquidated on your behalf and all of the sales proceeds were applied to your Individual account with our hedge fund. Kindly share this document with the Claims administrator handling this class action matter (LUCKIN COFFEE INC. AMERICAN DEPOSITORY RECEIPTS).

Please allow this document to serve as a sworn affidavit that we liquidated 110,250 shares of LK (Luckin Coffee, Inc.) at an average selling price per share of \$1.07 on June 29th, 2020 resulting in total proceeds of \$117,967.50 that were credited to your Individual account upon the trade settling.

Please let us know if we can be of any further assistance.

Sincerely yours,


CFO

William Abbate



PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT OF THE RETURN ADDRESS. FOLD AT DOTTED LINE.
CERTIFIED MAIL



97228



1000

In re Luckin Coffee Inc. Securities Litigation
% Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Divider Page

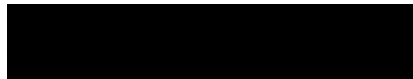
In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824



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WILLIAM ABBATE



Claim Number: 17888

Response Deadline: June 13, 2022

May 24, 2022

Notice of Deficient Claim Submission

Dear Claimant:

We received your Proof of Claim and Release Form (“Claim”) that you submitted in connection with the Settlement obtained in the securities class action *In re Luckin Coffee Inc. Securities Litigation*, Case No. 1:20-cv-01293-JPC-JLC (S.D.N.Y.). We have determined, based on our review of your Claim, that the Claim is deficient or ineligible for the reason(s) identified below. In order to resolve the deficiencies within your Claim, you must submit a written response with any required documentation as specified below, postmarked no later than the response deadline printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the response deadline set forth above, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those conditions remain uncured. This is the only notice you will receive with respect to this Claim.**

PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys’ Fees and Litigation Expenses (the “Settlement Notice”). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

Ineligibility Condition: Inadequate Documentation.

The Claim referenced above sets forth Class Period purchases/acquisitions of Luckin ADSs, but none of the documentation previously provided was deemed adequate.

How to Resolve: You can resolve this condition of ineligibility only by submitting acceptable documentation to support your Claim, including all of the transactions and holding amounts set forth in the Claim. Acceptable documentation includes securities brokers’ confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable. Please note, do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility in its entirety or in part is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable supporting documentation was submitted. If you have other deficiencies and cure them, your Claim still will not be eligible, unless this deficiency is cured at least in part and, to the extent that it is cured, it calculates to a Recognized Claim under the Plan of Allocation.

Deficiency: Trade Discrepancy/Claim Does Not Balance.



There is a discrepancy between (a) the number of shares of Luckin ADSs you state on your Claim Form to have purchased or acquired from May 17, 2019 through October 20, 2021 and (b) the number of shares of Luckin ADSs you state on your Claim Form to have sold from May 17, 2019 through October 20, 2021 or have held at the end of that period (October 20, 2021). The numbers in (a) and (b) should be equal.

How to Resolve: You must provide acceptable documentation to support the missing transactions, the missing beginning or unsold holding amounts, or the adjustments to your Claim so that the Claim balances.

PLEASE NOTE: If you fail to respond or to the extent your response fails to cure the deficiency identified, the transaction(s) that make up the discrepancy will be rejected, along with any corresponding transaction(s) according to First-In, First-Out (“FIFO”) matching, and these transactions will not be considered in the calculation of your Claim’s Recognized Claim amount pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial claim. For additional information, please reference Appendix A of the Settlement Notice.

The formula used to calculate the discrepancy is:

All Shares Purchased or Acquired from May 17, 2019 through October 20, 2021 – All Shares Sold or Delivered from May 17, 2019 through October 20, 2021 – Unsold Shares as of the close of trading on October 20, 2021.

This value should equal 0.00 if all transactions have been provided. Your Claim calculates to a discrepancy of the following amount of shares:

Quantity	Type of Transaction	Type of Securities
110250.00	Holding Difference 10/20/2021	ADS

Deficiency: Partial Documentation.

You either did not supply documentation to support some of the transactions listed on your Claim Form, or the documentation that you submitted with your Claim does not include some or all of the required information for the transactions listed in the chart below.

How to Resolve: You must provide acceptable documentation that includes all the required information for the transaction(s) listed below.

PLEASE NOTE: If you fail to respond or to the extent your response fails to cure the deficiency identified for a given transaction below, the remaining deficient transaction(s) will be rejected, along with any corresponding transaction(s) according to First-In, First-Out (“FIFO”) matching, and these transactions will not be considered in the calculation of your Claim’s Recognized Claim amount pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial Claim. For additional information, please reference Appendix A of the Settlement Notice.

The specific transaction(s) for which you must submit complete documentation are listed below.

Date	Quantity	Price per Share	Type of Transaction	Type of Security
06/29/2020	110250.00	\$1.07	Sale	ADS

Deficiency: No Recognized Claim Unless Defects Are Cured.

In accordance with the Plan of Allocation which is set forth in the Settlement Notice available on the settlement website, the Claim referenced above does not calculate to a Recognized Claim due to certain defects listed in this notice and therefore is not eligible to receive a distribution from the Net Settlement Fund.

How to Resolve: You can resolve this condition of ineligibility by resolving the other defects listed in this notice and/or by submitting additional transactions that occurred during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Claim. You must also support any additional transactions with acceptable documentation. Acceptable documentation includes securities brokers' confirmation slips, month- and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Your Claim must calculate to a Recognized Claim under the Plan of Allocation in order for you to be eligible to receive a distribution.

Please note, even if you cure the noted deficiency(ies), your Claim must then calculate to a Recognized Claim under the Plan of Allocation in order to be included in the list of eligible claims presented to the Court for approval. The method of calculating a Claim to determine if it has a Recognized Claim is described in the Plan of Allocation set forth in Appendix A of the Settlement Notice. The Court will consider approval of the Settlement and the proposed Plan of Allocation at a hearing to be held on July 22, 2022. If you disagree with the condition(s) identified in this notice, you may contact us for assistance and/or request Court review of our administrative determination regarding your Claim.

To request Court review of your Claim, you must send a letter to the Claims Administrator at the address printed at the top of this notice, postmarked no later than the response deadline set forth above. Your letter must: (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court with financial account numbers and certain other information redacted. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above.

Sincerely,
Claims Administrator



Divider Page

In re Luckin Coffee Inc. Securities Litigation
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

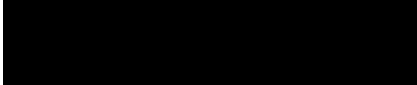
Website: www.LuckinCoffeeSecuritiesLitigation.com
Email: info@LuckinCoffeeSecuritiesLitigation.com
Phone: 855-535-1824

#4



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WILLIAM ABBATE



~~Claim Number: 17888~~

Response Deadline: June 13, 2022

May 24, 2022

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Dear Claimant:

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PLEASE NOTE: The Plan of Allocation for the net proceeds of the Settlement which is referred to in many of the conditions of deficiency, is set forth in the Notice of (I) Proposed Settlement; (II) Settlement Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Settlement Notice"). The Settlement Notice can be viewed on or downloaded from www.LuckinCoffeeSecuritiesLitigation.com.

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PLEASE NOTE: Curing this condition of ineligibility in its entirety or in part is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable supporting documentation was submitted. If you have other deficiencies and cure them, your Claim still will not be eligible, unless this deficiency is cured at least in part and, to the extent that it is cured, it calculates to a Recognized Claim under the Plan of Allocation.

Deficiency: Trade Discrepancy/Claim Does Not Balance.



LA 05/31 @ 1:20 PM
 5/1 spoke w/ [redacted] 11:06 AM
 5/2 spoke w/ [redacted]

There is a discrepancy between (a) the number of shares of Luckin ADSs you state on your Claim Form to have purchased or acquired from May 17, 2019 through October 20, 2021 and (b) the number of shares of Luckin ADSs you state on your Claim Form to have sold from May 17, 2019 through October 20, 2021 or have held at the end of that period (October 20, 2021). The numbers in (a) and (b) should be equal.

How to Resolve: You must provide acceptable documentation to support the missing transactions, the missing beginning or unsold holding amounts, or the adjustments to your Claim so that the Claim balances.

PLEASE NOTE: If you fail to respond or to the extent your response fails to cure the deficiency identified, the transaction(s) that make up the discrepancy will be rejected, along with any corresponding transaction(s) according to First-In, First-Out (“FIFO”) matching, and these transactions will not be considered in the calculation of your Claim’s Recognized Claim amount pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial claim. For additional information, please reference Appendix A of the Settlement Notice.

The formula used to calculate the discrepancy is:

$$\text{All Shares Purchased or Acquired from May 17, 2019 through October 20, 2021} - \text{All Shares Sold or Delivered from May 17, 2019 through October 20, 2021} - \text{Unsold Shares as of the close of trading on October 20, 2021.}$$

This value should equal 0.00 if all transactions have been provided. Your Claim calculates to a discrepancy of the following amount of shares:

Quantity	Type of Transaction	Type of Securities
110250.00	Holding Difference 10/20/2021	ADS

Deficiency: Partial Documentation.

You either did not supply documentation to support some of the transactions listed on your Claim Form, or the documentation that you submitted with your Claim does not include some or all of the required information for the transactions listed in the chart below.

How to Resolve: You must provide acceptable documentation that includes all the required information for the transaction(s) listed below.

PLEASE NOTE: If you fail to respond or to the extent your response fails to cure the deficiency identified for a given transaction below, the remaining deficient transaction(s) will be rejected, along with any corresponding transaction(s) according to First-In, First-Out (“FIFO”) matching, and these transactions will not be considered in the calculation of your Claim’s Recognized Claim amount pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial Claim. For additional information, please reference Appendix A of the Settlement Notice.

The specific transaction(s) for which you must submit complete documentation are listed below.

Date	Quantity	Price per Share	Type of Transaction	Type of Security
06/29/2020	110250.00	\$1.07	Sale	ADS

Deficiency: No Recognized Claim Unless Defects Are Cured.

In accordance with the Plan of Allocation which is set forth in the Settlement Notice available on the settlement website, the Claim referenced above does not calculate to a Recognized Claim due to certain defects listed in this notice and therefore is not eligible to receive a distribution from the Net Settlement Fund.

Enclosed,
please find trade
"buy" confirmations
for LK
totaling
112,250 shares

112,250
Shares of
LK
proof of
trade
confirmations



E*TRADE Securities

Investment Account

TRADE CONFIRMATION

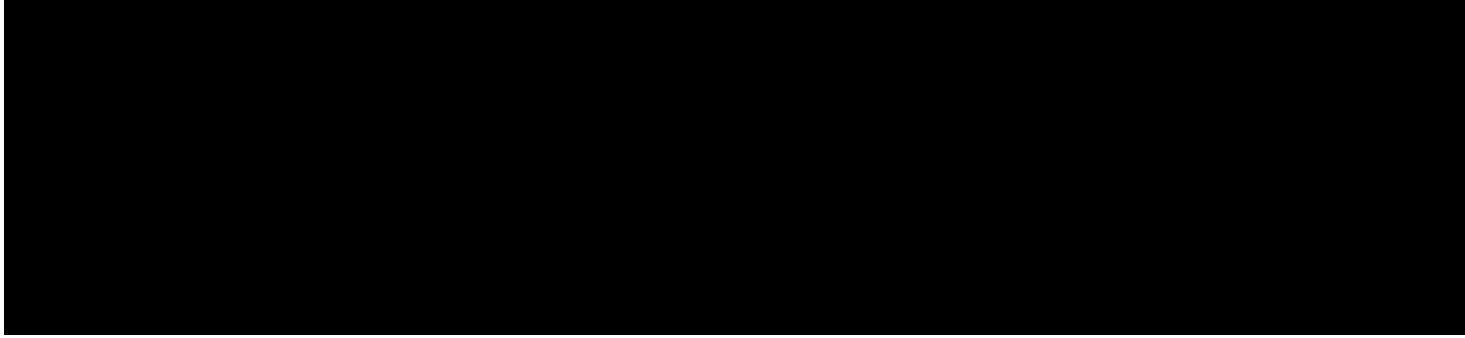
Account Number: [REDACTED]

Account Name: WILLIAM ABBATE

E*TRADE Securities LLC
[REDACTED]

Customer Update
Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit etrade.com/tax today.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							



▲ DETACH HERE
WILLIAM ABBATE
[REDACTED]

DETACH HERE ▲
Acct: [REDACTED]

Use This Deposit Slip

Please do not send cash

Dollars	Cents

TOTAL DEPOSIT

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

E*TRADE Securities LLC
[REDACTED]



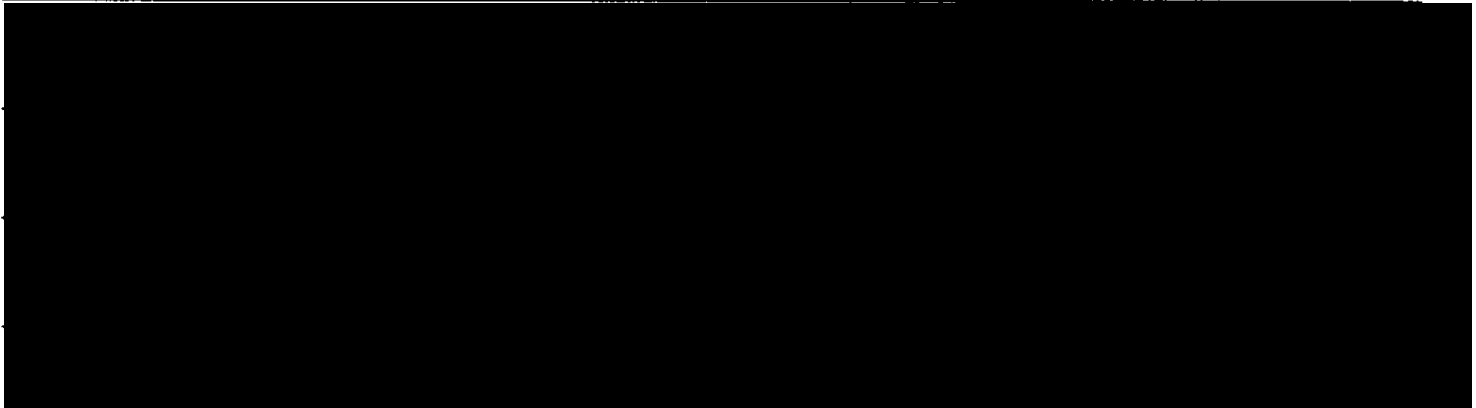


E*TRADE Securities
Investment Account

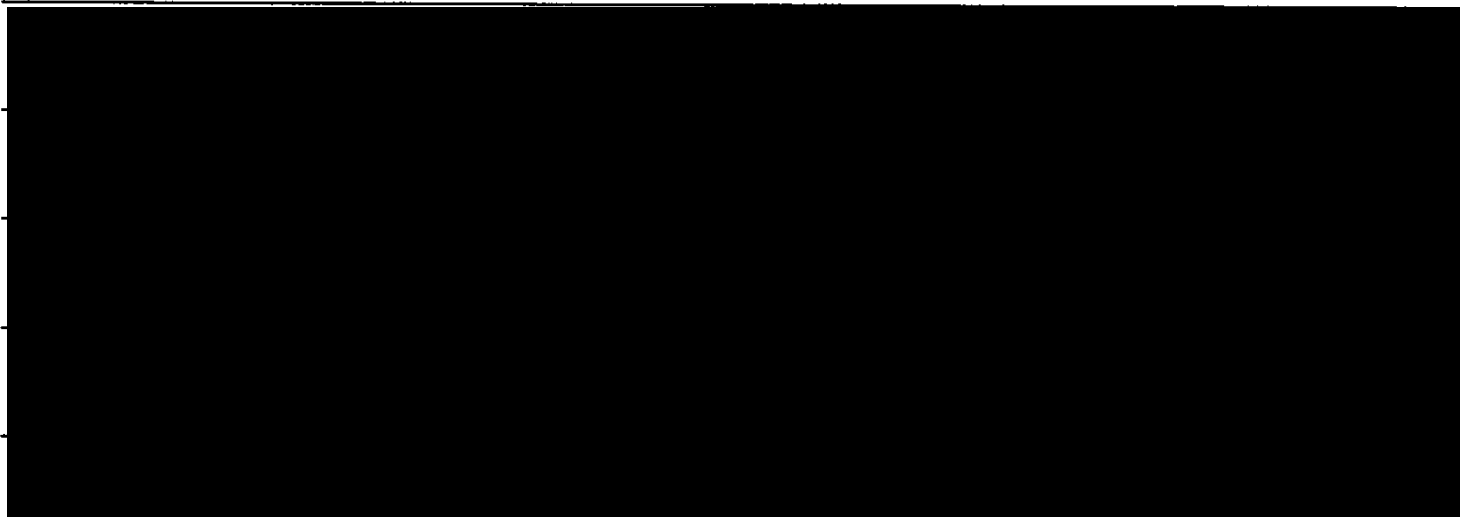
TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/27/20	01/29/20	6 1	LK	BUY	1,000	\$37.37	Margin	<u>PRINCIPAL</u>	<u>\$37,370.00</u>
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	<u>\$37,370.00</u>



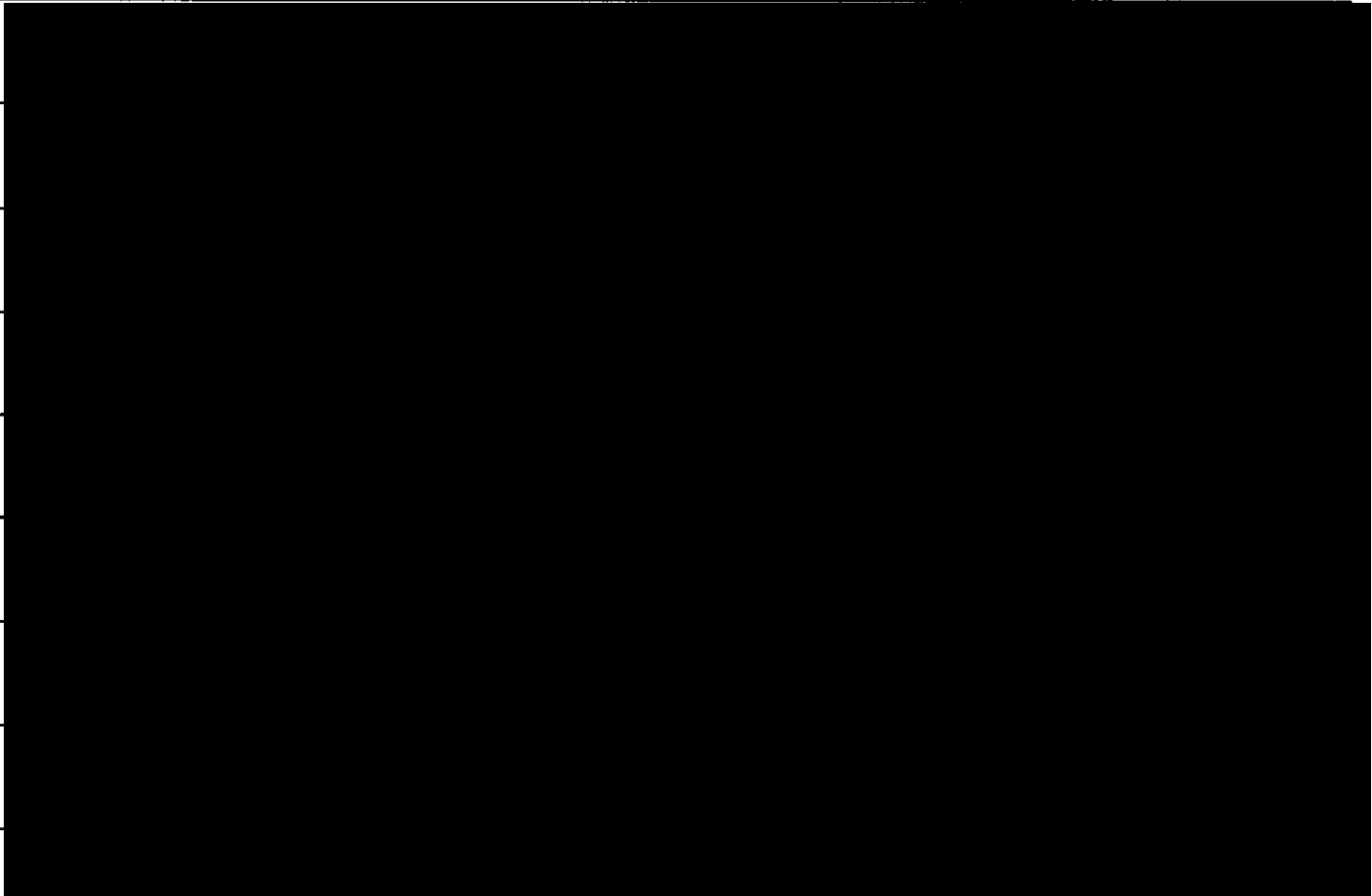


E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/27/20	01/29/20	3 1	LK	BUY	1,500	\$37.37	Margin	<u>PRINCIPAL</u>	<u>\$56,055.00</u>
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	<u>\$56,055.00</u>





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

01/29/20	01/31/20	6 1	LK	BUY	2,500	\$37.37	Margin	PRINCIPAL	\$93,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$93,425.00

[REDACTED]									
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E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

01/29/20	01/31/20	6 1	LK	BUY	2,500	\$37.37	Margin	PRINCIPAL	\$93,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$93,425.00





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name: WILLIAM ABBATE

E*TRADE Securities LLC
[REDACTED]

Customer Update
Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit etrade.com/tax today.

TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
01/30/20	02/03/20	3 1	LK	BUY	2,000	\$35.07	Margin	PRINCIPAL	\$70,140.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$70,140.00
01/30/20	02/03/20	3 1	LK	BUY	3,000	\$34.92	Margin	PRINCIPAL	\$104,760.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$104,760.00
01/30/20	02/03/20	3 1	LK	BUY	2,500	\$35.37	Margin	PRINCIPAL	\$88,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$88,425.00



▲ DETACH HERE
WILLIAM ABBATE
[REDACTED]

DETACH HERE ▲

Use This Deposit Slip Acct: [REDACTED]

Please do not send cash

Dollars	Cents

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

TOTAL DEPOSIT

E*TRADE Securities LLC
[REDACTED]





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
[REDACTED]									
01/30/20	02/03/20	6 1	LK	BUY	745	\$36.37	Margin	PRINCIPAL	\$27,095.65
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$27,095.65
01/30/20	02/03/20	6 1	LK	BUY	1,755	\$36.37	Margin	PRINCIPAL	\$63,829.35
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$63,829.35
01/30/20	02/03/20	6 1	LK	BUY	5,000	\$35.01	Margin	PRINCIPAL	\$175,050.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$175,050.00
01/30/20	02/03/20	6 1	LK	BUY	643	\$35.3699	Margin	PRINCIPAL	\$22,742.85
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$22,742.85
01/30/20	02/03/20	6 1	LK	BUY	1,857	\$35.37	Margin	PRINCIPAL	\$65,682.09
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$65,682.09



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

01/30/20	02/03/20	3 1	LK	BUY	2,500	\$35.37	Margin	<u>PRINCIPAL</u>	\$88,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$88,425.00
01/30/20	02/03/20	3 1	LK	BUY	2,500	\$35.37	Margin	<u>PRINCIPAL</u>	\$88,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$88,425.00

[REDACTED]									
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E*TRADE Securities LLC

[REDACTED]



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
[REDACTED]

Customer Update
Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit etrade.com/tax today.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
[REDACTED]									

01/31/20	02/04/20	3 1	LK	BUY	2,500	\$27.07	Margin	PRINCIPAL	\$67,675.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$67,675.00

01/31/20	02/04/20	3 1	LK	BUY	500	\$33.67	Margin	PRINCIPAL	\$16,835.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$16,835.00

01/31/20	02/04/20	3 1	LK	BUY	1,000	\$31.26	Margin	PRINCIPAL	\$31,260.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$31,260.00



▲ DETACH HERE
WILLIAM ABBATE
[REDACTED]

DETACH HERE ▲

Use This Deposit Slip Acct: [REDACTED]

Please do not send cash

Dollars	Cents

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

TOTAL DEPOSIT

E*TRADE Securities LLC
[REDACTED]



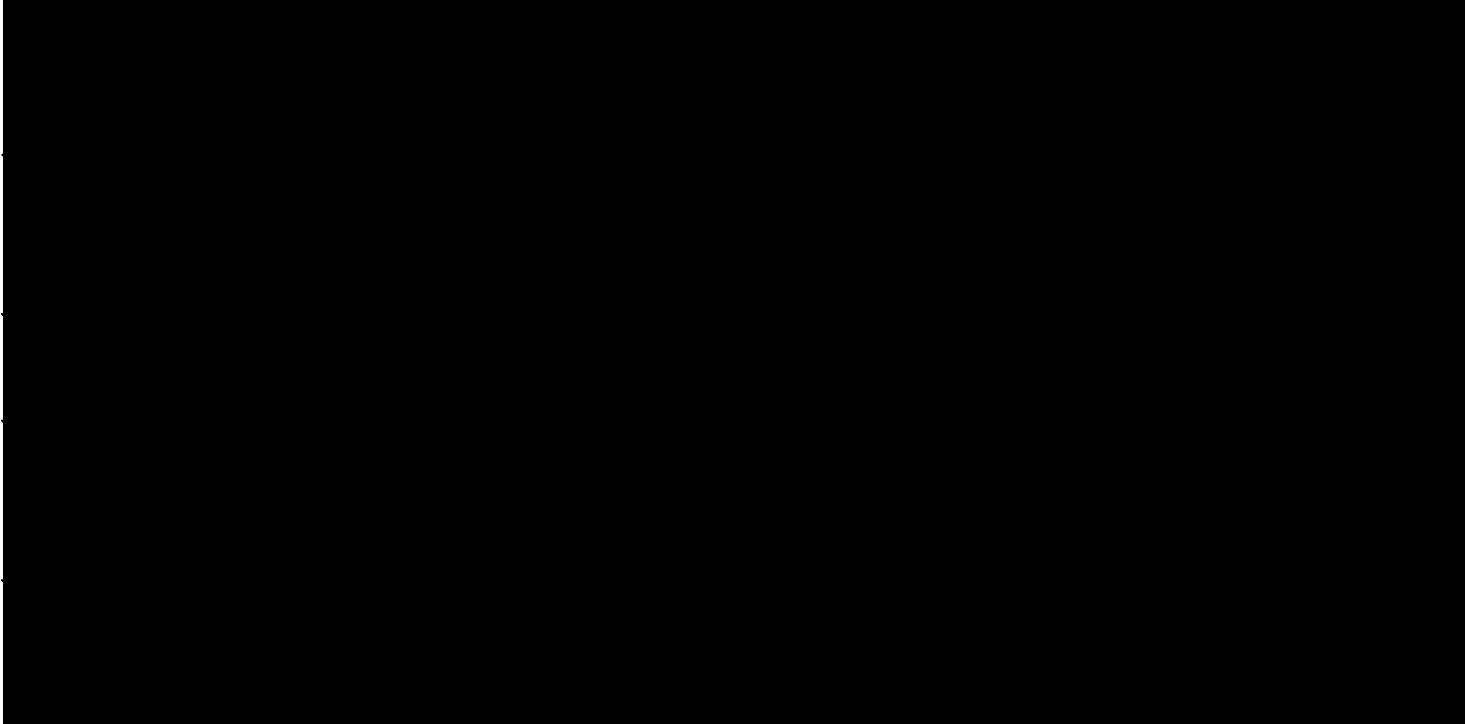


E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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01/31/20	02/04/20	6 1	LK	BUY	1,000	\$30.17	Margin	PRINCIPAL	\$30,170.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$30,170.00
01/31/20	02/04/20	6 1	LK	BUY	2,000	\$30.07	Margin	PRINCIPAL	\$60,140.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$60,140.00
01/31/20	02/04/20	6 1	LK	BUY	2,500	\$34.97	Margin	PRINCIPAL	\$87,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$87,425.00



Trading • Investing • Banking

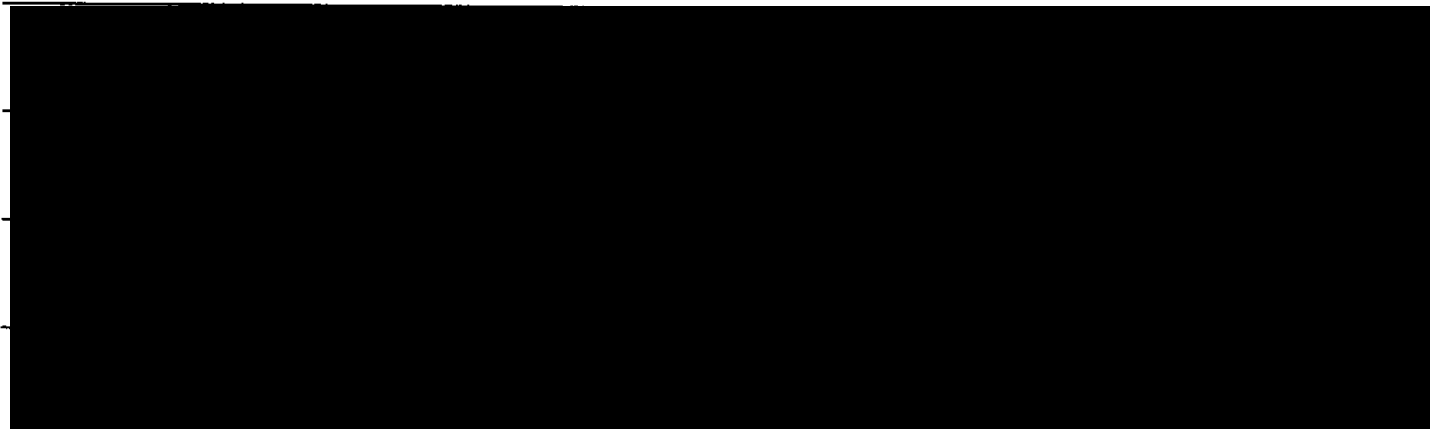
E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE			
01/31/20	02/04/20	6 1	LK	BUY	2,500	\$34.97	Margin	PRINCIPAL	\$87,425.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$87,425.00
01/31/20	02/04/20	6 1	LK	BUY	2,000	\$34.01	Margin	PRINCIPAL	\$68,020.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$68,020.00
01/31/20	02/04/20	6 1	LK	BUY	1,500	\$34.07	Margin	PRINCIPAL	\$51,105.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$51,105.00
01/31/20	02/04/20	6 1	LK	BUY	1,500	\$33.77	Margin	PRINCIPAL	\$50,655.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$50,655.00
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$33.80	Margin	PRINCIPAL	\$33,800.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$33,800.00
01/31/20	02/04/20	6 1	LK	BUY	2,000	\$33.47	Margin	PRINCIPAL	\$66,940.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$66,940.00
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$32.80	Margin	PRINCIPAL	\$32,800.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$32,800.00
01/31/20	02/04/20	6 1	LK	BUY	2,500	\$31.77	Margin	PRINCIPAL	\$79,425.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$79,425.00
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$31.07	Margin	PRINCIPAL	\$31,070.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$31,070.00
01/31/20	02/04/20	6 1	LK	BUY	500	\$31.105	Margin	PRINCIPAL	\$15,552.50	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$15,552.50
01/31/20	02/04/20	6 1	LK	BUY	1,000	\$30.51	Margin	PRINCIPAL	\$30,510.00	
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									NET AMOUNT	\$30,510.00





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/31/20	02/04/20	3 1	LK	BUY	500	\$30.77	Margin	PRINCIPAL	\$15,385.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$15,385.00
01/31/20	02/04/20	3 1	LK	BUY	500	\$30.37	Margin	PRINCIPAL	\$15,185.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$15,185.00
01/31/20	02/04/20	3 1	LK	BUY	500	\$32.25	Margin	PRINCIPAL	\$16,125.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$16,125.00





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
[REDACTED]

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
02/03/20	02/05/20	6 1	LK	BUY	1,500	\$31.37	Margin	PRINCIPAL	\$47,055.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$47,055.00
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$33.01	Margin	PRINCIPAL	\$82,525.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$82,525.00
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$32.77	Margin	PRINCIPAL	\$81,925.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$81,925.00
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$32.51	Margin	PRINCIPAL	\$81,275.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$81,275.00
02/03/20	02/05/20	6 1	LK	BUY	2,500	\$32.17	Margin	PRINCIPAL	\$80,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$80,425.00



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

02/03/20	02/05/20	3 1	LK	BUY	2,500	\$33.37	Margin	PRINCIPAL	\$83,425.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$83,425.00

[REDACTED]							
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Investment Account

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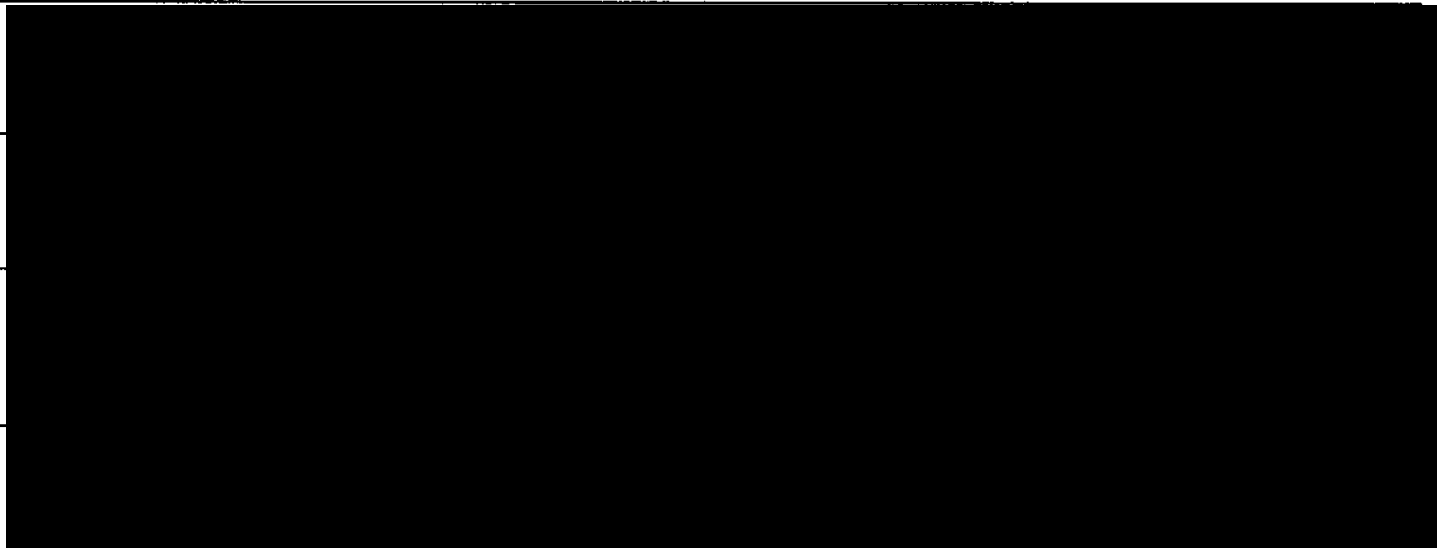
Account Number: [REDACTED]

Account Name: WILLIAM ABBATE

E*TRADE Securities LLC
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
03/20/20	03/24/20	3 1	LK	BUY	1,098	\$24.99	Margin	PRINCIPAL	\$27,439.02
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$27,439.02



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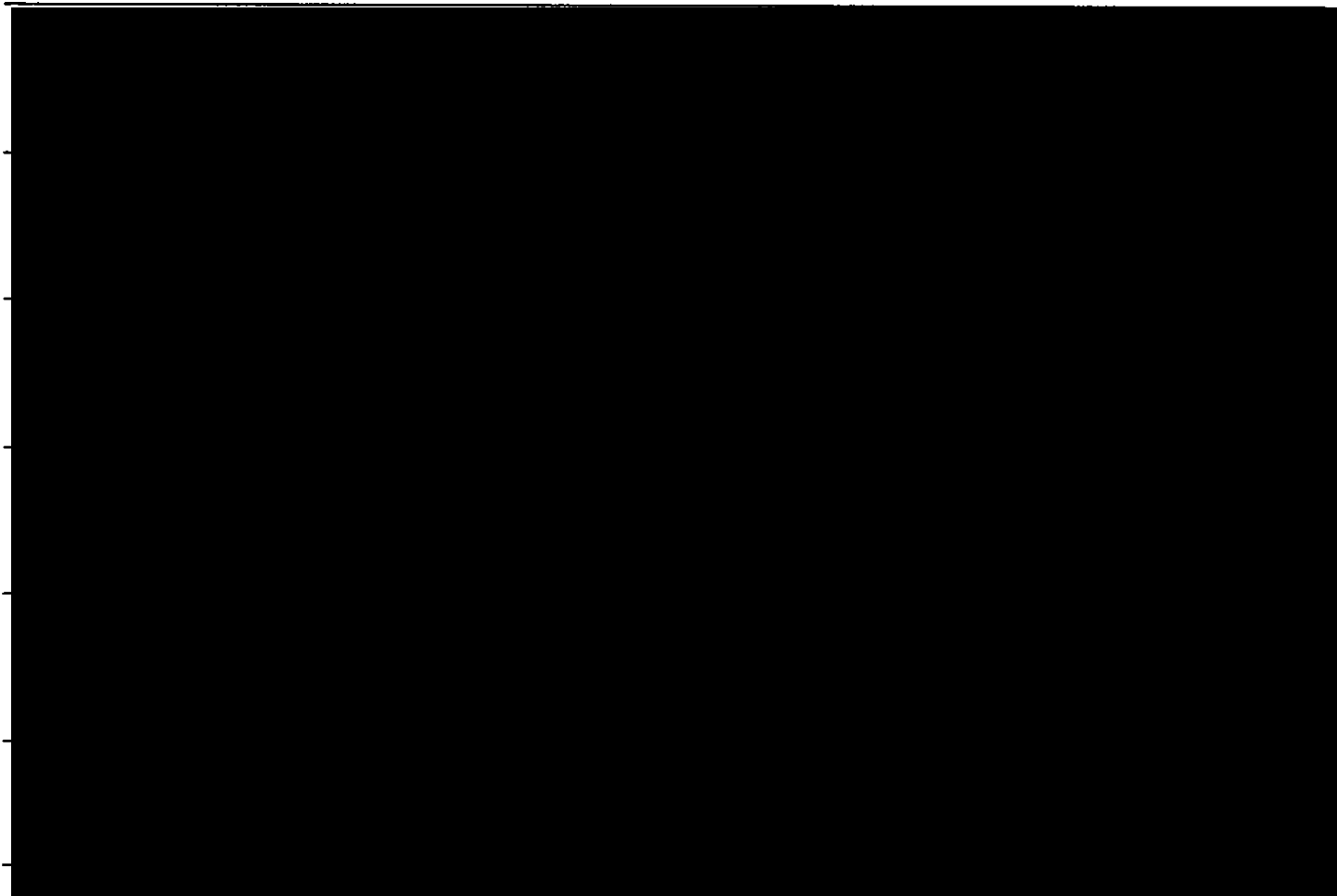
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TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
03/20/20	03/24/20	6 1	LK	BUY	3,902	\$24.99	Margin	PRINCIPAL	\$97,510.98
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$97,510.98





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
[REDACTED]							

03/23/20	03/25/20	3 1	LK	BUY	1,000	\$22.77	Margin	PRINCIPAL	\$22,770.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$22,770.00

03/23/20	03/25/20	3 1	LK	BUY	1,000	\$22.53	Margin	PRINCIPAL	\$22,530.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$22,530.00

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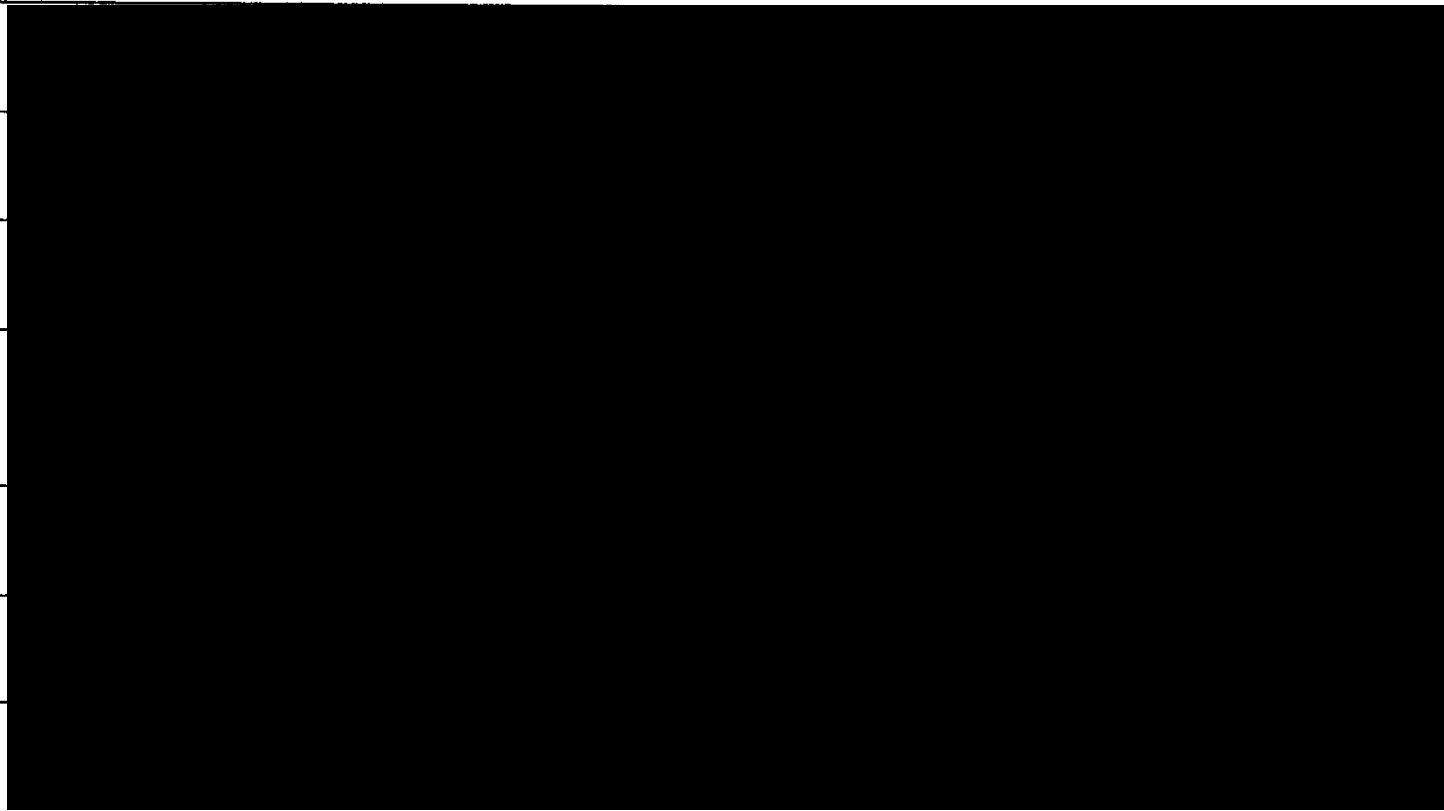
E*TRADE Securities

Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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03/23/20	03/25/20	6 1	LK	BUY	2,500	\$24.01	Margin	<u>PRINCIPAL</u>	\$60,025.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$60,025.00

03/23/20	03/25/20	6 1	LK	BUY	250	\$24.1825	Margin	<u>PRINCIPAL</u>	\$6,045.63
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$6,045.63

03/23/20	03/25/20	6 1	LK	BUY	54	\$24.02	Margin	<u>PRINCIPAL</u>	\$1,297.08
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$1,297.08

03/23/20	03/25/20	6 1	LK	BUY	946	\$24.10	Margin	<u>PRINCIPAL</u>	\$22,798.60
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$22,798.60

03/23/20	03/25/20	6 1	LK	BUY	500	\$22.4327	Margin	<u>PRINCIPAL</u>	\$11,216.35
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								<u>NET AMOUNT</u>	\$11,216.35



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Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE	PRINCIPAL	NET AMOUNT
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$22.6998	Margin	\$22,699.80	\$22,699.80
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$21.8199	Margin	\$21,819.90	\$21,819.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	200	\$18.95	Margin	\$3,790.00	\$3,790.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	800	\$19.00	Margin	\$15,200.00	\$15,200.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$18.87	Margin	\$18,870.00	\$18,870.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$18.9899	Margin	\$18,989.90	\$18,989.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$15.6399	Margin	\$15,639.90	\$15,639.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$15.08	Margin	\$15,080.00	\$15,080.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									

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[REDACTED]

[REDACTED]



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Investment Account

TRADE CONFIRMATION

Account Number: XXXXXXXXXX

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$13.70	Margin	PRINCIPAL	\$13,700.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$13,700.00
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$12.9899	Margin	PRINCIPAL	\$12,989.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$12,989.90
04/02/20	04/06/20	6 1	LK	BUY	1,000	\$11.65	Margin	PRINCIPAL	\$11,650.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$11,650.00
04/02/20	04/06/20	6 1	LK	BUY	2,500	\$5.56	Margin	PRINCIPAL	\$13,900.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$13,900.00
04/02/20	04/06/20	6 1	LK	BUY	2,500	\$3.96	Margin	PRINCIPAL	\$9,900.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$9,900.00
04/02/20	04/06/20	6 1	LK	BUY	2,690	\$4.53	Margin	PRINCIPAL	\$12,185.70
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$12,185.70
04/02/20	04/06/20	6 1	LK	BUY	2,210	\$4.54	Margin	PRINCIPAL	\$10,033.40
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$10,033.40
04/02/20	04/06/20	6 1	LK	BUY	100	\$4.55	Margin	PRINCIPAL	\$455.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$455.00



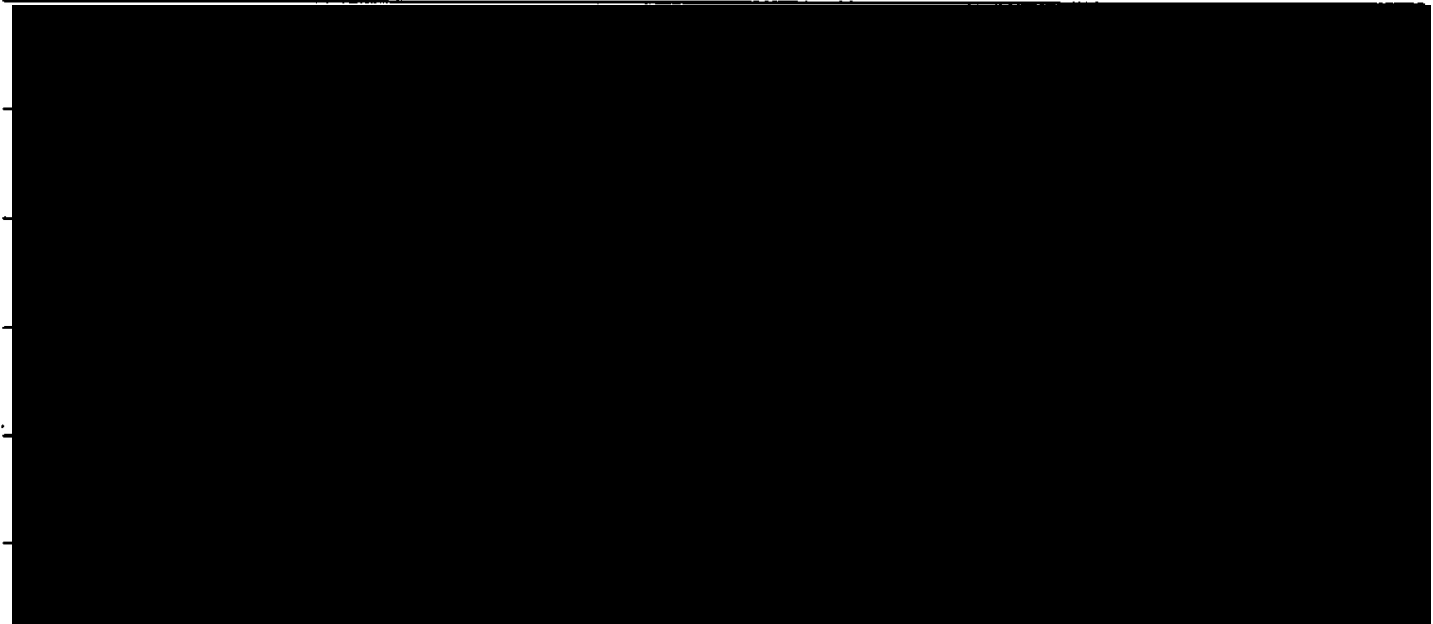


E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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04/03/20	04/07/20	6 1	LK	BUY	1,000	\$5.5072	Margin	PRINCIPAL	\$5,507.20
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$5,507.20
04/03/20	04/07/20	6 1	LK	BUY	1,100	\$5.505	Margin	PRINCIPAL	\$6,055.50
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$6,055.50
04/03/20	04/07/20	6 1	LK	BUY	300	\$5.5025	Margin	PRINCIPAL	\$1,650.75
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,650.75
04/03/20	04/07/20	6 1	LK	BUY	600	\$5.5078	Margin	PRINCIPAL	\$3,304.68
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$3,304.68



E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
04/03/20	04/07/20	6 1	LK	BUY	1,000	\$5.4537	Margin	PRINCIPAL	\$5,453.70
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$5,453.70
04/03/20	04/07/20	6 1	LK	BUY	1,000	\$5.4172	Margin	PRINCIPAL	\$5,417.20
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$5,417.20





E*TRADE Securities
Investment Account

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Account Number: [REDACTED]

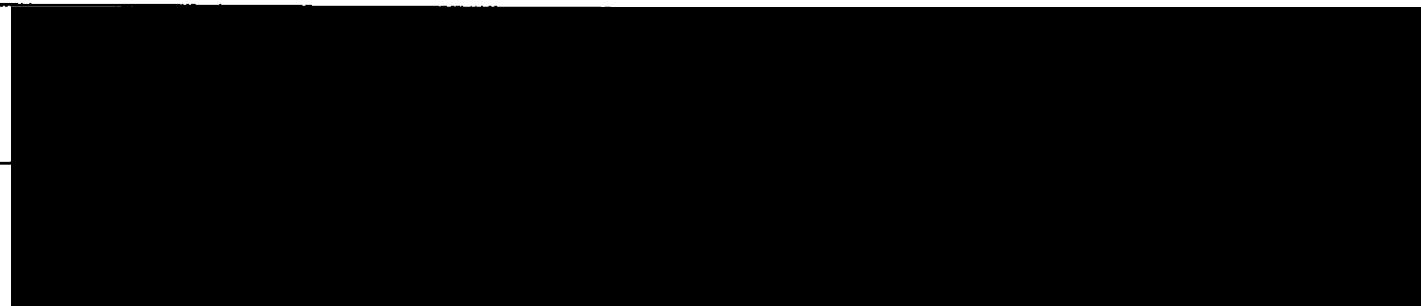
Account Name:
WILLIAM ABBATE

E*TRADE Securities LLC
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
05/22/20	05/27/20	6 1	LK	BUY	1,000	\$1.3699	Margin	PRINCIPAL	\$1,369.90
								NET AMOUNT	\$1,369.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
05/22/20	05/27/20	6 1	LK	BUY	1,000	\$1.3699	Margin	PRINCIPAL	\$1,369.90
								NET AMOUNT	\$1,369.90
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
05/22/20	05/27/20	6 1	LK	BUY	1,000	\$1.765	Margin	PRINCIPAL	\$1,765.00
								NET AMOUNT	\$1,765.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									
05/22/20	05/27/20	6 1	LK	BUY	500	\$1.67	Margin	PRINCIPAL	\$835.00
								NET AMOUNT	\$835.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES									



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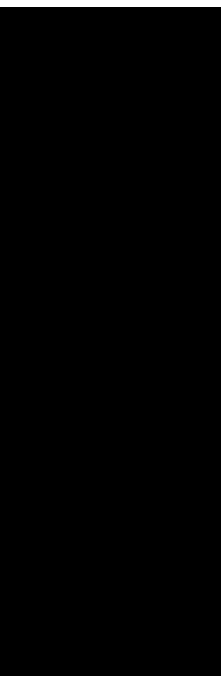
TRADE CONFIRMATION

Account Number: [REDACTED]

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
05/22/20	05/27/20	3 1	LK	BUY	1,000	\$1.51	Margin	PRINCIPAL	\$1,510.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$1,510.00
05/22/20	05/27/20	3 1	LK	BUY	500	\$1.55	Margin	PRINCIPAL	\$775.00
***LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES								NET AMOUNT	\$775.00
05/22/20	05/26/20	3 1		BUY	250	\$37	Margin	PRINCIPAL	\$9,250.00
CALL LK	07/17/20	2	LUCKIN COFFEE INC ADS					COMMISSION	\$125.00
OPEN CONTRACT								OPT REG FEE	\$4.10
								NET AMOUNT	\$9,379.10

112,250
Shares of
LK

William Abbate, ESQ.



Claim # 17888

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97228

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AMOUNT
\$5.79
R2305H130801109

Luckin Coffee Securities Litigation
c/o Epig Class Action & Claims Solutions, Inc
P.O. Box 5887
Portland, OR 97228-5887

Divider Page



Alpine Analytics Management Group, LLC



Claim #
17888

June 20th, 2022

Mr. William Abbate, Esq.



Dear Mr. Abbate,

Kindly share this with the Claims Administrator handling the Luckin Coffee, Inc. Class Action lawsuit. Alpine Analytics Management Group, LLC was a "Hedge Fund" and not a Broker Dealer. The majority of Class Action firms are accustomed to receiving financial documentation from their Class Action Claimants evidencing their Claimant's buys and sells through their client's personal or corporate "Brokerage Firm" statements and trading confirmations. Alpine had fewer than 100 clients during the 17+ years that Alpine was operational prior to closing our funds and winding down internal operations on December 31st, 2021. As you are aware, Alpine managed money on behalf of some of the wealthiest and most accredited individuals in the world. Alpine was never required to be registered with the Securities and Exchange Commission as Alpine always kept the total number of investors within the 100 person guideline in each fund. In addition, Alpine never sent out monthly statements like brokerage firms are required to do for active accounts because Alpine's Hedge Funds only reported performance once a year; always at year-end. In addition, Alpine had limitations on withdrawals, and only accepted new investors/monies bi-yearly. Alpine does not speak, nor have we ever spoken to any third parties with respect to our client's personal financial information or any client personal taxation matters.

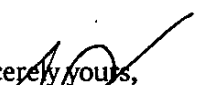


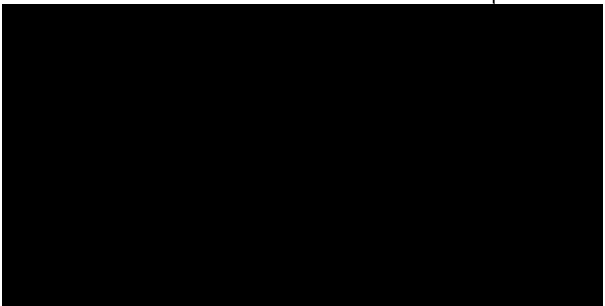
Mr. Abbate has shared with me that he recently provided the required documentation evidencing his purchases of 112,500 shares of Luckin Coffee Inc. from his ETrade Brokerage account. Mr. Abbate has also shared with me that he personally lost in excess of \$2.7MM on his Luckin Coffee Inc. investment. That's a far cry from the \$20-30K that Mr. Abbate claims he is slated to recover from his investment in this alleged fraud. So to be clear, it's already been documented by your firm through Mr. Abbate's submissions of his individual trading "buy" confirmations from his ETrade account that Mr. Abbate did indeed own 112,500 shares of Luckin Coffee, Inc. during the applicable case period. However, Mr. Abbate only delivered 110,250 shares of Luckin Coffee, Inc. to Alpine. So you will need to ask Mr. Abbate where the remaining 2,250 shares are.

In nearly 20 years of providing financial documentation to various clients of our different Hedge Funds for purposes of verifying liquidations in regard to trading in equities/options, etc for targeted companies of Class Action lawsuits, Alpine has never been asked to provide anything more than a sworn affidavit evidencing such information. I will have this new document notarized to authenticate that the information that I'm providing on Mr. Abbate's behalf is in fact "accurate and truthful."

Kindly allow this document to suffice with respect to the 110,250 shares of Luckin Coffee Inc. American Depository Shares (Formerly LK-symbol) that Mr. Abbate delivered to our Management Company: Alpine Analytics Management Group, LLC. As per Mr. Abbate's direct instructions at that time, all of the shares were liquidated on Mr. Abbate's behalf and all of the sales proceeds were applied to his Alpine account (ACCOUNT # [REDACTED] WILLIAM ABBATE). I have requested that Mr. Abbate share this document with you, the Claims administrator responsible for handling this class action suit: (LUCKIN COFFEE INC. AMERICAN DEPOSITORY RECEIPTS).

Please allow this document to serve as a sworn affidavit that we liquidated 110,250 shares of LK (Luckin Coffee, Inc.) at an average selling price per share of \$1.07 on June 29th, 2020 resulting in total proceeds of \$117,967.50 that were credited to Mr. Abbate's account (ACCOUNT # [REDACTED]) upon the trade settling.

Sincerely yours,

[REDACTED]





William Abbate

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JUN 23 22
AMOUNT

\$4.33

R2305M147761-03

In re Luckin Coffee Inc. Securities Litigation
c/o Epig Class Action & Claims Solutions, Inc.
P.O. Box 5887
Portland, OR 97228-5887

